

**UNITED STATES BANKRUPTCY COURT FOR THE NORTHERN DISTRICT OF  
TEXAS, DALLAS DIVISION**

**In Re:** Highland Capital Management, L.P      §    Case No. 19-34054-SGJ-11

Highland Capital Management Fund Advisors, L.P.

et al      §

Appellant      §

vs.      §

Highland Capital Management, L.P.,

§      3:21-CV-00538-N

Appellee      §

**[1943] Order confirming the fifth amended chapter 11 plan, Entered on 2/22/2021.**

**APPELLANT RECORD  
VOLUME 53**



| Item                                 | Bankruptcy<br>Docket Number | Description  |
|--------------------------------------|-----------------------------|--|
| <b>Pleadings and Items on Docket</b> |                             |  |
| Vol. 1<br>000001                     | 1                           | 1957 Notice of Appeal  |
|                                      | 2                           | 1943 Order (i) Confirming the Fifth Amended Plan of Reorganization of Highland Capital Management, L.P. (as Modified) and (ii) Granting Related Relief   |
| 000165<br>000326                     | 3                           | Docket Sheet of Bankruptcy Case No. 19-34054   |
| Vol. 2<br>000639                     | 4                           | 1606 Debtor's Notice of Filing of Plan Supplement for the Fifth Amended Plan of Reorganization of Highland Capital Management, L.P.  |
| 000666                               | 5                           | 1648 Notice of (I) Executory Contracts and Unexpired Leases to be Assumed by the Debtor Pursuant to the Fifth Amended Plan, (II) Cure Amounts, if Any, and (III) Related Procedures in Connection Therewith        |
| 000675                               | 6                           | 1656 Debtor's Notice of Filing of Plan Supplement for the Fifth Amended Plan of Reorganization of Highland Capital Management, L.P.  |
| 000820                               | 7                           | 1670 Objection to Confirmation of Fifth Amended Plan of Reorganization of Highland Capital Management, L.P.  |
| 000870                               | 8                           | 1719 Second Notice of (I) Executory Contracts and Unexpired Leases to be Assumed by the Debtor Pursuant to the Fifth Amended Plan, (II) Cure Amounts, if Any, and (III) Related Procedures in Connection Therewith |
| Vol. 3<br>000880                     | 9                           | 1749 Third Notice of (I) Executory Contracts and Unexpired Leases to be Assumed by the Debtor Pursuant to the Fifth Amended Plan, (II) Cure Amounts, if Any, and (III) Related Procedures in Connection Therewith  |
| 000886                               | 10                          | 1772 Certification of Patrick M. Leathem With Respect to the Tabulation of Votes on the Fifth Amended Plan of Reorganization of Highland Capital Management, L.P.  |
| 000901                               | 11                          | 1791 Notice of Withdrawal of Certain Executory Contracts and Unexpired Leases from List of Executory Contracts and Unexpired Leases to be Assumed by the Debtor Pursuant to the Fifth Amended Plan                 |
| 000906                               | 12                          | 1807 Debtor's Omnibus Reply to Objections to Confirmation of the Fifth Amended Chapter 11 Plan of Reorganization of Highland Capital Management  |
| 001031                               | 13                          | 1808 Debtor's Fifth Amended Plan of Reorganization of Highland Capital Management, L.P. (as Modified)  |
| Vol. 4<br>001097                     | 14                          | 1811 Notice of Filing Plan Supplement to the Fifth Amended Plan of Reorganization of Highland Capital Management, L.P. (with Technical Modifications)  |
| Vol. 5<br>001346                     | 15                          | 1814 Debtor's Memorandum of Law in Support of Confirmation of the Fifth Amended Plan of Reorganization of Highland Capital Management, L.P.  |

|                  |                                 |       |   |
|------------------|---------------------------------|-------|---|
| Vol 5            | 16                              | 1847  | Fourth Notice of (I) Executory Contracts and Unexpired Leases to be Assumed by the Debtor Pursuant to the Fifth Amended Plan, (II) Cure Amounts, if Any, and (III) Related Procedures in Connection Therewith |
| 001414           | 17                              | 1873  | Fifth Notice of (I) Executory Contracts and Unexpired Leases to be Assumed by the Debtor Pursuant to the Fifth Amended Plan, (II) Cure Amounts, if Any, and (III) Related Procedures in Connection Therewith  |
| 001421           | 18                              | 1875  | Debtor's Notice of Filing of Plan Supplement to the Fifth Amended Plan of Reorganization of Highland of Highland Capital Management, L.P. (As Modified)   |
| 001427           | 19                              | 1887  | Supplemental Certification of Patrick M. Leathem With Respect to the Tabulation of Votes on the Fifth Amended Plan of Reorganization of Highland Capital Management, L.P.                                     |
| 001475           | 20                              | 1671  | United States Trustee's Limited Objection to Confirmation of Debtors' Fifth Amended Plan of Reorganization  |
| 001482           | <b>Evidence and Transcripts</b> |       |   |
| 001488           | 21                              | 1894  | Transcript of February 2, 2021 Confirmation Hearing   |
| 001783           | 22                              | 1905  | Transcript of February 3, 2021 Confirmation Hearing   |
| 002040           | 23                              | 1917  | Transcript of February 8, 2021 Bench Ruling   |
| Vol 8            | 24                              | 1794  | All exhibits admitted into evidence during February 2 and February 3, 2021 Confirmation Hearing   |
| 002091           |                                 | 1795  |   |
| 002188           |                                 | 1822* |   |
| Vol 12 - 002931  |                                 | 1863  |   |
| Vol 50 - 013295  |                                 | 1866  |   |
| - 013297         |                                 | 1877  |   |
| Vol. 51 - 013373 |                                 | 1895  |   |
| Vol. 54 - 014182 |                                 | 1915  |   |
| Vol. 55 - 014506 |                                 |       |   |

\*1822 - Vol. 12 - 50 (39 VOLUMES)



RESPECTFULLY SUBMITTED this 22d day of March, 2021.

**MUNSCH HARDT KOPF & HARR, P.C.**

By: /s/ Davor Rukavina  
Davor Rukavina, Esq.  
Texas Bar No. 24030781  
3800 Ross Tower  
500 N. Akard Street  
Dallas, Texas 75201-6659  
Telephone: (214) 855-7500  
Facsimile: (214) 855-7584  
Email: [drukavina@munsch.com](mailto:drukavina@munsch.com)

**ATTORNEYS FOR HIGHLAND CAPITAL  
MANAGEMENT FUND ADVISORS, L.P. AND  
NEXPOINT ADVISORS, L.P.**

**CERTIFICATE OF SERVICE**

The undersigned hereby certifies that, on this the 22d day of March, 2021, true and correct copies of this document were electronically served by the Court's ECF system on parties entitled to notice thereof, including on counsel for the Debtor.

By: /s/ Davor Rukavina  
Davor Rukavina, Esq.

## **EXHIBIT KKKKKKK**



## WebCivil Supreme - eFiled Documents Detail

Court: **New York Supreme Court**  
Index Number: **0650097/2009**  
Case Name: **UBS SECURITIES vs. HIGHLAND CAPITAL MANAGEMENT LP**  
Case Type: **CD-ECONTRACT**  
Track: **Complex**

### Document List - Click on the document name to view the document

| Document # | Date Received/Filed | Document  | Description  | Motion # | Filing User       |
|------------|---------------------|---|--|----------|-------------------|
| 1          | 02/24/2009          | <a href="#">Summons</a>   | Summons  |          | GREGORY A MARKEL  |
| 2          |                     | <a href="#">Complaint</a>   | Complaint  |          | GREGORY A MARKEL  |
| 2-1        |                     | <a href="#">EXHIBIT(S)</a>  | Exhibit A  |          | GREGORY A MARKEL  |
| 2-2        |                     | <a href="#">EXHIBIT(S)</a>  | Exhibit B  |          | GREGORY A MARKEL  |
| 2-3        |                     | <a href="#">EXHIBIT(S)</a>  | Exhibit C  |          | GREGORY A MARKEL  |
| 2-4        |                     | <a href="#">EXHIBIT(S)</a>  | Exhibit D  |          | GREGORY A MARKEL  |
| 2-5        |                     | <a href="#">EXHIBIT(S)</a>  | Exhibit E  |          | GREGORY A MARKEL  |
| 2-6        |                     | <a href="#">EXHIBIT(S)</a>  | Exhibit F  |          | GREGORY A MARKEL  |
| 3          | 03/03/2009          | <a href="#">AFFIRMATION/AFFIDAVIT OF SERVICE</a>  | Affidavit of Service of Summons and Complaint on Defendant Highland Capital Management, L.P. Via Their Registered Agent: Corporation Service Company |          | GREGORY A MARKEL  |
| 4          | 03/10/2009          | <a href="#">AFFIRMATION/AFFIDAVIT OF SERVICE</a>  | Affidavit of Service of Summons and Complaint on Defendant Highland Special Opportunities Holding Company  |          | GREGORY A MARKEL  |
| 5          | 03/10/2009          | <a href="#">AFFIRMATION/AFFIDAVIT OF SERVICE</a>  | Affidavit of Service of Summons and Complaint on Defendant Highland CDO Opportunity Master Fund, L.P.  |          | GREGORY A MARKEL  |
| 6          | 03/17/2009          | <a href="#">STIPULATION - OTHER</a>   | Stipulation Re: Extending Time for Defendants to Answer, Move or Otherwise Respond to the Summons and Complaint                                      |          | HOWARD R HAWKINS  |
| 7          | 05/01/2009          | <a href="#">RJI -re: Notice of Motion (\$95. fee)</a>                                     | Request For Judicial Interference  | 001      | KIERAN M CORCORAN |
| 8          | 05/01/2009          | <a href="#">STATEMENT IN SUPPORT OF REQUEST FOR ASSIGNMENT TO THE COMMERCIAL DIVISION</a> | Statement in Support of Request for Assignment to the Commercial Division  | 001      | KIERAN M CORCORAN |
| 8-1        | 05/01/2009          | <a href="#">EXHIBIT(S)</a>  | Complaint  | 001      | KIERAN M CORCORAN |
| 9          | 05/01/2009          | <a href="#">NOTICE OF MOTION</a>  | Notice of Motion to Dismiss  | 001      | KIERAN M CORCORAN |
| 10         | 05/01/2009          | <a href="#">Memorandum Of Law In Support</a>  | Memorandum of Law in Support of Motion to Dismiss  | 001      | KIERAN M CORCORAN |
| 11         | 05/29/2009          | <a href="#">STIPULATION - OTHER</a>   | Stipulation Re: Adjournment of the Motion to Dismiss from June 1, 2009 to July 2, 2009   | 001      | GREGORY A MARKEL  |
| 12         | 05/29/2009          | <a href="#">NOTICE OF MOTION</a>  | Notice of Motion for Admission Pro Hac Vice Paul Lackey  | 002      | KIERAN M CORCORAN |
| 13         | 05/29/2009          | <a href="#">Affidavit or Affirmation in Support of Motion</a>                             | Affirmation of Kieran Corcoran   | 002      | KIERAN M CORCORAN |
| 14         | 05/29/2009          | <a href="#">Affidavit or Affirmation in Support of Motion</a>                             | Affidavit of Paul Lackey   | 002      | KIERAN M CORCORAN |
| 14-1       | 05/29/2009          | <a href="#">EXHIBIT(S)</a>  | Certificate of Good Standing   | 002      | KIERAN M CORCORAN |
| 15         | 05/29/2009          | <a href="#">Proposed Order</a>  | Proposed Order of Admission Pro Hac Vice   | 002      | KIERAN M CORCORAN |
| 16         | 05/29/2009          | <a href="#">NOTICE OF MOTION</a>  | Notice of Motion for Admission Pro Hac Vice Michael Aigen  | 003      | KIERAN M CORCORAN |
| 17         | 05/29/2009          | <a href="#">Affidavit or Affirmation in</a>   | Affirmation of Kieran Corcoran   | 003      | KIERAN M          |

013934

|      |            |  |   |     |                               |
|------|------------|--|---|-----|-------------------------------|
|      |            | <a href="#">Support of Motion</a>                                |   |     | CORCORAN                      |
| 18   | 05/29/2009 | <a href="#">Affidavit or Affirmation in Support of Motion</a>    | Affidavit of Michael Aigen  | 003 | KIERAN M CORCORAN             |
| 18-1 | 05/29/2009 | <a href="#">EXHIBIT(S)</a>                                       | Certificate of Good Standing  | 003 | KIERAN M CORCORAN             |
| 19   | 05/29/2009 | <a href="#">Proposed Order</a>                                   | Proposed Order Admitting Counsel Pro Hac Vice   | 003 | KIERAN M CORCORAN             |
| 20   | 06/11/2009 | <a href="#">Proposed So-Ordered Stipulation</a>                  | Stipulation and Proposed Order for Enlarged Briefs  |     | GREGORY A MARKEL              |
| 21   | 06/16/2009 | <a href="#">So Ordered Stipulation</a>                           | SO ORDERED STIPULATION entered in the office of the County Clerk on June 16, 2009   |     | Clifford Reig<br>court user   |
| 22   | 06/16/2009 | <a href="#">MEMORANDUM OF LAW IN OPPOSITION</a>                  | UBS'S Memorandum of Law in Opposition to Defendants' Motion to Dismiss  | 001 | GREGORY A MARKEL              |
| 23   | 06/16/2009 | <a href="#">AFFIDAVIT OR AFFIRMATION IN OPPOSITION TO MOTION</a> | Affidavit of Jason Jurgens in Opposition to Defendants' Motion to Dismiss   | 001 | GREGORY A MARKEL              |
| 24   | 06/24/2009 | <a href="#">Signed Decision</a>                                  | SIGNED DECISION entered in the office of the County Clerk on June 24, 2009  | 002 | Clifford Reig<br>court user   |
| 25   | 06/29/2009 | <a href="#">Signed Decision</a>                                  | SIGNED DECISION entered in the office of the County Clerk on June 29, 2009  | 003 | Clifford Reig<br>court user   |
| 26   | 07/01/2009 | <a href="#">Memorandum Of Law In Reply</a>                       | Defendants' Reply Memorandum of Law in Support of Motion to Dismiss   | 001 | KIERAN M CORCORAN             |
| 27   | 09/18/2009 | <a href="#">Letter / Correspondence</a>                          | Letter from Gregory A. Markel of Cadwalader to Kieran M. Corcoran, Esq. re: Allegations Against the Funds                         | 001 | GREGORY A MARKEL              |
| 28   | 10/08/2009 | <a href="#">Signed Order</a>                                     | SIGNED ORDER with Memorandum Decision entered in the office of the County Clerk on October 08, 2009                               | 001 | Carol Ann Boyce<br>court user |
| 29   | 10/08/2009 | <a href="#">Notice of Entry</a>                                  | Notice of Entry   | 001 | GREGORY A MARKEL              |
| 30   | 10/14/2009 | <a href="#">Proposed Order</a>                                   | Proposed Stipulation and Order for Production and Exchange of Confidential Information  |     | GREGORY A MARKEL              |
| 31   | 10/14/2009 | <a href="#">Letter / Correspondence</a>                          | Letter from Gregory A. Markel to Hon. Bernard J. Fried  |     | GREGORY A MARKEL              |
| 32   | 10/19/2009 | <a href="#">Answer</a>   | Answer of Defendants Highland CDO Opportunity Master Fund, L.P. and Highland Special Opportunities Holding Company                |     | KIERAN M CORCORAN             |
| 33   | 10/19/2009 | <a href="#">Answer</a>   | Answer of Defendant Highland Capital Management, L.P.   |     | KIERAN M CORCORAN             |
| 34   | 10/22/2009 | <a href="#">Notice of Appeal (Fee required - 65.00)</a>          | Notice of Appeal of Order Dated October 6, 2009   |     | KIERAN M CORCORAN             |
| 35   | 10/22/2009 | <a href="#">Pre-Argument Statement</a>                           | Pre Argument Statement  |     | KIERAN M CORCORAN             |
| 36   | 10/23/2009 | <a href="#">Letter / Correspondence</a>                          | Letter requesting Court's intervention to resolve discovery dispute   |     | GREGORY A MARKEL              |
| 37   | 10/28/2009 | <a href="#">Letter / Correspondence</a>                          | Letter to Hon. Bernard J. Fried   |     | KIERAN M CORCORAN             |
| 37-1 | 10/28/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit A   |     | KIERAN M CORCORAN             |
| 38   | 11/02/2009 | <a href="#">Answer</a>   | Amended Answer and Counterclaims of Highland Capital Management, L.P.   |     | KIERAN M CORCORAN             |
| 39   | 11/02/2009 | <a href="#">Answer</a>   | Amended Answer of CDO Fund and SOHC   |     | KIERAN M CORCORAN             |
| 40   | 11/02/2009 | <a href="#">Letter / Correspondence</a>                          | Letter to Hon. Bernard J. Fried from Defendants in Response to Plaintiffs' October 23, 2009 Letter                                |     | KIERAN M CORCORAN             |
| 40-1 | 11/02/2009 | <a href="#">EXHIBIT(S)</a>                                       | Affidavit of Andrei Dorenbaum   |     | KIERAN M CORCORAN             |
| 41   | 11/04/2009 | <a href="#">Letter / Correspondence</a>                          | Letter from Gregory A. Markel of Cadwalader in opposition to Highland's request for a stay pending appeal                         |     | GREGORY A MARKEL              |
| 41-1 | 11/04/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit A   |     | GREGORY A MARKEL              |
| 42   | 11/04/2009 | <a href="#">Letter / Correspondence</a>                          | Letter of Howard R. Hawkins, Jr. of Cadwalader in Reply to Defendants' Opposition to Motion to Compel                             |     | HOWARD R HAWKINS              |
| 44   | 12/02/2009 | <a href="#">NOTICE OF MOTION</a>                                 | Plaintiff Counterclaim Defendant UBS' Motion to Dismiss Highland Capital's Counterclaims  | 004 | JASON JURGENS                 |
| 44-1 | 11/23/2009 | <a href="#">EXHIBIT(S)</a>                                       | Affidavit of Jason Jurgens in Support of Plaintiff Counterclaim Defendant UBS' Motion to Dismiss Highland Capital's Counterclaims | 004 | JASON JURGENS                 |
| 44-2 | 11/23/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit A   | 004 | JASON JURGENS                 |
| 44-3 | 11/23/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit B   | 004 | JASON JURGENS                 |
| 44-4 | 11/23/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit C   | 004 | JASON JURGENS                 |
| 44-5 | 11/23/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit D   | 004 | JASON JURGENS                 |
| 44-6 | 11/23/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit E   | 004 | JASON JURGENS                 |
| 44-7 | 11/23/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit F   | 004 | JASON JURGENS                 |
| 44-8 | 11/23/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit G   | 004 | JASON JURGENS                 |

013935

|       |            |  |  |     |                               |
|-------|------------|--|--|-----|-------------------------------|
| 44-9  | 11/23/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit H  | 004 | JASON JURGENS                 |
| 44-10 | 11/23/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit I  | 004 | JASON JURGENS                 |
| 45    | 11/23/2009 | <a href="#">Affidavit or Affirmation</a>                         | Exhibit J to Affidavit of Jason Jurgens in Support of Plaintiff Counterclaim Defendant UBS' Motion to Dismiss Highland Capital's Counterclaims | 004 | JASON JURGENS                 |
| 45-1  | 11/23/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit K  | 004 | JASON JURGENS                 |
| 45-2  | 11/23/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit L  | 004 | JASON JURGENS                 |
| 45-3  | 11/23/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit M  | 004 | JASON JURGENS                 |
| 45-4  | 11/23/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit N  | 004 | JASON JURGENS                 |
| 45-5  | 11/23/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit O  | 004 | JASON JURGENS                 |
| 45-6  | 11/23/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit P  | 004 | JASON JURGENS                 |
| 45-7  | 11/23/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit Q  | 004 | JASON JURGENS                 |
| 46    | 11/23/2009 | <a href="#">Memorandum Of Law In Support</a>                     | Memorandum of Law in Support of Plaintiff Counterclaim Defendant UBS' Motion to Dismiss Highland Capital's Counterclaims                       | 004 | JASON JURGENS                 |
| 47    | 12/08/2009 | <a href="#">Signed Order</a>                                     | SIGNED ORDER entered in the office of the County Clerk on December 08, 2009  |     | Carol Ann Boyce<br>court user |
| 48    | 12/08/2009 | <a href="#">Notice of Entry</a>                                  | Notice of Entry of Order dated December 4, 2009  |     | JASON JURGENS                 |
| 49    | 12/08/2009 | <a href="#">STIPULATION - OTHER</a>                              | Stipulation Adjourning Motion to Dismiss Highland Capital's Counterclaims from 12/9/09 to 12/30/09   | 004 | GREGORY A MARKEL              |
| 50    | 12/14/2009 | <a href="#">MEMORANDUM OF LAW IN OPPOSITION</a>                  | Highland Capital Management, L.P.'s Memorandum of Law in Opposition to Motion to Dismiss Counterclaims   | 004 | KIERAN M CORCORAN             |
| 51    | 12/14/2009 | <a href="#">AFFIDAVIT OR AFFIRMATION IN OPPOSITION TO MOTION</a> | Affidavit of Philip Braner in Opposition to Motion to Dismiss Counterclaims  | 004 | KIERAN M CORCORAN             |
| 51-1  | 12/14/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit A to Affidavit of Philip Braner  | 004 | KIERAN M CORCORAN             |
| 51-2  | 12/14/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit B to Affidavit of Philip Braner  | 004 | KIERAN M CORCORAN             |
| 51-3  | 12/14/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit C to Affidavit of Philip Braner  | 004 | KIERAN M CORCORAN             |
| 51-4  | 12/14/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit D to Affidavit of Philip Braner  | 004 | KIERAN M CORCORAN             |
| 51-5  | 12/14/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit E to Affidavit of Philip Braner  | 004 | KIERAN M CORCORAN             |
| 51-6  | 12/14/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit F to Affidavit of Philip Braner  | 004 | KIERAN M CORCORAN             |
| 51-7  | 12/14/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit G to Affidavit of Philip Braner  | 004 | KIERAN M CORCORAN             |
| 52    | 12/14/2009 | <a href="#">AFFIDAVIT OR AFFIRMATION IN OPPOSITION TO MOTION</a> | Affidavit of Kevin Latimer in Opposition to Motion to Dismiss Counterclaims  | 004 | KIERAN M CORCORAN             |
| 53    | 12/14/2009 | <a href="#">AFFIDAVIT OR AFFIRMATION IN OPPOSITION TO MOTION</a> | Affidavit of Isaac Leventon in Opposition to Motion to Dismiss Counterclaims   | 004 | KIERAN M CORCORAN             |
| 54    | 12/14/2009 | <a href="#">AFFIDAVIT OR AFFIRMATION IN OPPOSITION TO MOTION</a> | Affidavit of Isaac Leventon  | 004 | KIERAN M CORCORAN             |
| 54-1  | 12/14/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit A to Leventon Affidavit  | 004 | KIERAN M CORCORAN             |
| 54-2  | 12/14/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit B to Leventon Affidavit  | 004 | KIERAN M CORCORAN             |
| 54-3  | 12/14/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit C to Leventon Affidavit  | 004 | KIERAN M CORCORAN             |
| 54-4  | 12/14/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit D to Leventon Affidavit  | 004 | KIERAN M CORCORAN             |
| 54-5  | 12/14/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit E to Leventon Affidavit  | 004 | KIERAN M CORCORAN             |
| 54-6  | 12/14/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit F to Leventon Affidavit  | 004 | KIERAN M CORCORAN             |
| 54-7  | 12/14/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit G to Leventon Affidavit  | 004 | KIERAN M CORCORAN             |
| 54-8  | 12/14/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit H to Leventon Affidavit  | 004 | KIERAN M CORCORAN             |
| 54-9  | 12/14/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit I to Leventon Affidavit  | 004 | KIERAN M CORCORAN             |
| 54-10 | 12/14/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit J to Leventon Affidavit  | 004 | KIERAN M CORCORAN             |
| 55    | 12/14/2009 | <a href="#">AFFIDAVIT OR AFFIRMATION IN OPPOSITION TO MOTION</a> | Affidavit of Isaac Leventon  | 004 | KIERAN M CORCORAN             |

013936



|      |            |  |   |     |                                |
|------|------------|--|---|-----|--------------------------------|
| 55-1 | 12/14/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit K to Leventon Affidavit   | 004 | KIERAN M CORCORAN              |
| 56   | 12/14/2009 | <a href="#">AFFIDAVIT OR AFFIRMATION IN OPPOSITION TO MOTION</a> | Affidavit of Gibran Mahmud in Opposition to Motion to Dismiss Counterclaims   | 004 | KIERAN M CORCORAN              |
| 56-1 | 12/14/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit A to Mahmud Affidavit   | 004 | KIERAN M CORCORAN              |
| 57   | 12/14/2009 | <a href="#">AFFIDAVIT OR AFFIRMATION IN OPPOSITION TO MOTION</a> | Affidavit of Paul M. Roos in Opposition to Motion to Dismiss Counterclaims  | 004 | KIERAN M CORCORAN              |
| 57-1 | 12/14/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit A to Roos Affidavit   | 004 | KIERAN M CORCORAN              |
| 57-2 | 12/14/2009 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit B to Roos Affidavit   | 004 | KIERAN M CORCORAN              |
| 58   | 12/18/2009 | <a href="#">Letter / Correspondence</a>                          | Letter from Gregory A. Markel to Judge Fried regarding Discovery Dispute Concerning the Scope of Discovery  |     | GREGORY A MARKEL               |
| 59   | 12/21/2009 | Document Deleted   |   |     |                                |
| 60   | 12/21/2009 | <a href="#">Signed Order</a>                                     | SIGNED ORDER entered in the office of the County Clerk on December 21, 2009   |     | Clifford Reig court user       |
| 61   | 12/21/2009 | <a href="#">STIPULATION - OTHER</a>                              | Stipulation and [Proposed] Scheduling Order   |     | GREGORY A MARKEL               |
| 62   | 12/21/2009 | <a href="#">STIPULATION - OTHER</a>                              | [Proposed] Scheduling Order   |     | GREGORY A MARKEL               |
| 63   | 12/21/2009 | <a href="#">Letter / Correspondence</a>                          | Letter from Kieran M. Corcoran to Hon. Bernard J. Fried dated December 21, 2009   |     | KIERAN M CORCORAN              |
| 64   | 12/22/2009 | <a href="#">Signed Decision</a>                                  | entered in the office of the County Clerk on December 22, 2009  |     | Clifford Reig court user       |
| 65   | 12/22/2009 | <a href="#">Signed Order</a>                                     | SIGNED ORDER entered in the office of the County Clerk on December 22, 2009 secheduling order   |     | Clifford Reig court user       |
| 66   | 12/22/2009 | <a href="#">Letter / Correspondence</a>                          | Letter from Gregory A. Markel of Cadwalader to Judge Fried Responding to Defendants' Counsel's Letter of December 21, 2009 Concerning New Discovery |     | GREGORY A MARKEL               |
| 67   | 12/23/2009 | <a href="#">STIPULATION - OTHER</a>                              | STIPULATION entered in the office of the County Clerk on December 23, 2009  |     | Darnell A. McIntosh court user |
| 68   | 01/08/2010 | <a href="#">NOTICE OF MOTION</a>                                 | Notice of Motion to Admit Baron Oursler Pro Hac Vice  | 005 | KIERAN M CORCORAN              |
| 69   | 01/08/2010 | <a href="#">Affidavit or Affirmation in Support of Motion</a>    | Affirmation of Kieran Corcoran  | 005 | KIERAN M CORCORAN              |
| 70   | 01/08/2010 | <a href="#">Affidavit or Affirmation</a>                         | Affidavit of Baron T. Oursler   | 005 | KIERAN M CORCORAN              |
| 70-1 | 01/08/2010 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit A to Affidavit of Baron T. Oursler  | 005 | KIERAN M CORCORAN              |
| 71   | 01/08/2010 | <a href="#">Memorandum Of Law In Reply</a>                       | UBS'S Reply Memorandum of Law in Support of it's Motion to Dismiss Highland Capital's Counterclaims   | 004 | GREGORY A MARKEL               |
| 72   | 01/08/2010 | <a href="#">Affidavit or Affirmation</a>                         | Affidavit of Pamela Bergquist   | 004 | GREGORY A MARKEL               |
| 72-1 | 01/08/2010 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit A   | 004 | GREGORY A MARKEL               |
| 72-2 | 01/08/2010 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit B   | 004 | GREGORY A MARKEL               |
| 72-3 | 01/08/2010 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit C   | 004 | GREGORY A MARKEL               |
| 72-4 | 01/08/2010 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit D   | 004 | GREGORY A MARKEL               |
| 72-5 | 01/08/2010 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit E   | 004 | GREGORY A MARKEL               |
| 72-6 | 01/08/2010 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit F   | 004 | GREGORY A MARKEL               |
| 72-7 | 01/08/2010 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit G   | 004 | GREGORY A MARKEL               |
| 72-8 | 01/08/2010 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit H   | 004 | GREGORY A MARKEL               |
| 72-9 | 01/08/2010 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit I   | 004 | GREGORY A MARKEL               |
| 73   | 01/08/2010 | <a href="#">Affidavit or Affirmation</a>                         | Exhibit J to Affidavit of Pamela Bergquist  | 004 | GREGORY A MARKEL               |
| 73-1 | 01/08/2010 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit K   | 004 | GREGORY A MARKEL               |
| 73-2 | 01/08/2010 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit L   | 004 | GREGORY A MARKEL               |
| 73-3 | 01/08/2010 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit M   | 004 | GREGORY A MARKEL               |
| 73-4 | 01/08/2010 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit N   | 004 | GREGORY A MARKEL               |
| 73-5 | 01/08/2010 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit O   | 004 | GREGORY A MARKEL               |
| 73-6 | 01/08/2010 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit P   | 004 | GREGORY A MARKEL               |
| 73-7 | 01/08/2010 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit Q   | 004 | GREGORY A MARKEL               |
| 74   | 01/15/2010 | <a href="#">Letter / Correspondence</a>                          | Letter from Gregory A. Markel to Judge Fried Parties  |     | GREGORY A                      |

013937

|       |            |  |   |     |                               |
|-------|------------|--|---|-----|-------------------------------|
|       |            |  | negotiated a proposed order that memorializes the Court's decision at January 4, 2010 telephonic conference [attached]  |     | MARKEL                        |
| 75    | 01/15/2010 | <a href="#">Proposed Order</a>                           | Proposed Order  |     | GREGORY A MARKEL              |
| 76    | 01/21/2010 | <a href="#">Signed Order</a>                             | SIGNED ORDER entered in the office of the County Clerk on January 21, 2010  |     | Carol Ann Boyce<br>court user |
| 77    | 02/16/2010 | <a href="#">Letter / Correspondence</a>                  | Letter from Gregory A. Markel of Cadwalader to Hon. Bernard J. Fried Regarding Plaintiffs Counsel's Request for Permission to Amend the Complaint, or in the Alternative a Teleconference to Address this Request at the Court's Earliest Convenience |     | GREGORY A MARKEL              |
| 78    | 02/17/2010 | <a href="#">Letter / Correspondence</a>                  | Letter to Hon. Bernard J. Fried Regarding Proposed Amendment  |     | KIERAN M CORCORAN             |
| 79    | 02/18/2010 | <a href="#">Letter / Correspondence</a>                  | LETTER / CORRESPONDENCE entered in the office of the County Clerk on February 18, 2010 SO ORDERED   |     | Clifford Reig<br>court user   |
| 80    | 02/18/2010 | <a href="#">Notice of Entry</a>                          | Notice of Entry of Decision and Order of Supreme Court, Appellate Division, First Department, entered February 18, 2010, dismissing Complaint against Highland Capital Management, L.P.   | 001 | KIERAN M CORCORAN             |
| 81    | 02/19/2010 | <a href="#">Proposed Judgment</a>                        | Proposed Judgment Dismissing Complaint Against Highland Capital Management, L.P.  | 001 | KIERAN M CORCORAN             |
| 82    | 02/19/2010 | <a href="#">Certificate Requesting Entry of Judgment</a> | Certificate Requesting Entry of Judgment Dismissing Complaint Against Highland Capital Management, L.P.   | 001 | KIERAN M CORCORAN             |
| 83    | 02/19/2010 | <a href="#">Bill of Costs</a>                            | Bill of Costs   | 001 | KIERAN M CORCORAN             |
| 84    | 02/22/2010 | <a href="#">JUDGMENT</a>                                 | JUDGMENT entered in the office of the County Clerk on February 22, 2010   |     | Erlon Hodge<br>court user     |
| 85    | 02/23/2010 | <a href="#">Notice of Entry</a>                          | Notice of Entry of Judgment Dismissing Complaint Against Highland Capital Management, L.P.  |     | Paul B Lackey                 |
| 86    | 02/23/2010 | <a href="#">Letter / Correspondence</a>                  | Letter to Judge Fried in advance of February 26 Status Conference   |     | GREGORY A MARKEL              |
| 86-1  | 02/23/2010 | <a href="#">EXHIBIT(S)</a>                               | Exhibit A   |     | GREGORY A MARKEL              |
| 86-2  | 02/23/2010 | <a href="#">EXHIBIT(S)</a>                               | Exhibit B   |     | GREGORY A MARKEL              |
| 86-3  | 02/23/2010 | <a href="#">EXHIBIT(S)</a>                               | Exhibit C   |     | GREGORY A MARKEL              |
| 86-4  | 02/23/2010 | <a href="#">EXHIBIT(S)</a>                               | Exhibit D   |     | GREGORY A MARKEL              |
| 86-5  | 02/23/2010 | <a href="#">EXHIBIT(S)</a>                               | Exhibit E   |     | GREGORY A MARKEL              |
| 86-6  | 02/23/2010 | <a href="#">EXHIBIT(S)</a>                               | Exhibit F   |     | GREGORY A MARKEL              |
| 87    | 02/23/2010 | <a href="#">Letter / Correspondence</a>                  | P Lackey letter to Hon. Bernard J. Fried  |     | Paul B Lackey                 |
| 87-1  | 02/23/2010 | <a href="#">EXHIBIT(S)</a>                               | Exhibit A   |     | Paul B Lackey                 |
| 87-2  | 02/23/2010 | <a href="#">EXHIBIT(S)</a>                               | Exhibit B   |     | Paul B Lackey                 |
| 87-3  | 02/23/2010 | <a href="#">EXHIBIT(S)</a>                               | Exhibit C   |     | Paul B Lackey                 |
| 88    | 02/24/2010 | <a href="#">Letter / Correspondence</a>                  | Letter from Gregory A. Markel of Cadwalader to Hon. Fried in Reply to Lackey Letter dated February 23   |     | GREGORY A MARKEL              |
| 89    | 02/24/2010 | <a href="#">Letter / Correspondence</a>                  | 2010.02.24 P. Lackey letter to the Hon. Bernard J. Fried  |     | Paul B Lackey                 |
| 89-1  | 02/24/2010 | <a href="#">EXHIBIT(S)</a>                               | Exhibit A   |     | Paul B Lackey                 |
| 89-2  | 02/24/2010 | <a href="#">EXHIBIT(S)</a>                               | Exhibit B   |     | Paul B Lackey                 |
| 89-3  | 02/24/2010 | <a href="#">EXHIBIT(S)</a>                               | Exhibit C   |     | Paul B Lackey                 |
| 89-4  | 02/24/2010 | <a href="#">EXHIBIT(S)</a>                               | Exhibit D   |     | Paul B Lackey                 |
| 89-5  | 02/24/2010 | <a href="#">EXHIBIT(S)</a>                               | Exhibit E   |     | Paul B Lackey                 |
| 89-6  | 02/24/2010 | <a href="#">EXHIBIT(S)</a>                               | Exhibit F   |     | Paul B Lackey                 |
| 89-7  | 02/24/2010 | <a href="#">EXHIBIT(S)</a>                               | Exhibit G   |     | Paul B Lackey                 |
| 89-8  | 02/24/2010 | <a href="#">EXHIBIT(S)</a>                               | Exhibit H   |     | Paul B Lackey                 |
| 89-9  | 02/24/2010 | <a href="#">EXHIBIT(S)</a>                               | Exhibit I   |     | Paul B Lackey                 |
| 89-10 | 02/24/2010 | <a href="#">EXHIBIT(S)</a>                               | Exhibit J   |     | Paul B Lackey                 |
| 90    | 02/24/2010 | <a href="#">Letter / Correspondence</a>                  | 2010.02.24 P. Lackey letter to the Hon. Bernard J. Fried  |     | Paul B Lackey                 |
| 90-1  | 02/24/2010 | <a href="#">EXHIBIT(S)</a>                               | Exhibit K   |     | Paul B Lackey                 |
| 90-2  | 02/24/2010 | <a href="#">EXHIBIT(S)</a>                               | Exhibit L   |     | Paul B Lackey                 |
| 90-3  | 02/24/2010 | <a href="#">EXHIBIT(S)</a>                               | Exhibit M   |     | Paul B Lackey                 |
| 90-4  | 02/24/2010 | <a href="#">EXHIBIT(S)</a>                               | Exhibit N   |     | Paul B Lackey                 |
| 90-5  | 02/24/2010 | <a href="#">EXHIBIT(S)</a>                               | Exhibit O   |     | Paul B Lackey                 |
| 90-6  | 02/24/2010 | <a href="#">EXHIBIT(S)</a>                               | Exhibit P   |     | Paul B Lackey                 |
| 90-7  | 02/24/2010 | <a href="#">EXHIBIT(S)</a>                               | Exhibit Q   |     | Paul B Lackey                 |
| 90-8  | 02/24/2010 | <a href="#">EXHIBIT(S)</a>                               | Exhibit R   |     | Paul B Lackey                 |
| 91    | 03/05/2010 | <a href="#">Letter / Correspondence</a>                  | Letter from Gregory A. Markel of Cadwalader to Justice Fried regarding March 8, 2010 telephonic conference  |     | GREGORY A MARKEL              |
| 92    | 03/08/2010 | <a href="#">Letter / Correspondence</a>                  | SO ORDERED LETTER / CORRESPONDENCE entered in the office of the County Clerk on March 08, 2010  |     | Carol Ann Boyce<br>court user |
| 93    | 03/12/2010 | <a href="#">Letter / Correspondence</a>                  | Joint Letter from Gregory A. Markel of Cadwalader to Judge Fried, Concerning Joint Request for Adjournment of Orders to Show Cause Briefing Schedule  |     | GREGORY A MARKEL              |

013938

|       |            |   |   |     |                                |
|-------|------------|---|---|-----|--------------------------------|
| 94    | 03/12/2010 | <a href="#">Signed Order</a>                            | SIGNED ORDER entered in the office of the County Clerk on March 12, 2010 LETTER SO ORDERED  |     | Gregory Reig<br>court user     |
| 95    | 03/15/2010 | <a href="#">Letter / Correspondence</a>                 | Joint Letter from Greogry A. Markel of Cadwalader to Judge Fried Regarding One Day Extension for Filing of the Parties' Time to File Their Respection Motions, Pursuant to CPLR 3025(b), for Leave to Amend their Pleadings |     | GREGORY A MARKEL               |
| 96    | 03/18/2010 | <a href="#">PROPOSED ORDER TO SHOW CAUSE</a>            | Proposed Order to Show Cause Requesting Leave to Amend Complaint  | 006 | GREGORY A MARKEL               |
| 97    | 03/17/2010 | <a href="#">Affidavit or Affirmation</a>                | Affidavit of Pamela Bergquist   | 006 | GREGORY A MARKEL               |
| 97-1  | 03/17/2010 | <a href="#">EXHIBIT(S)</a>                              | Exhibits A F to Affidavit of Pamela Bergquist   | 006 | GREGORY A MARKEL               |
| 98    | 03/17/2010 | <a href="#">Affidavit or Affirmation</a>                | Affidavit of Jason Jurgens  | 006 | GREGORY A MARKEL               |
| 98-1  | 03/17/2010 | Document Deleted  |   |     |                                |
| 98-2  | 03/17/2010 | <a href="#">EXHIBIT(S)</a>                              | Exhibits to Proposed First Amended Complaint  | 006 | GREGORY A MARKEL               |
| 98-3  | 03/17/2010 | <a href="#">EXHIBIT(S)</a>                              | Exhibits B FF to Affidavit of Jason Jurgens   | 006 | GREGORY A MARKEL               |
| 99    | 03/17/2010 | Document Deleted  |   |     |                                |
| 100   | 03/17/2010 | <a href="#">Affidavit or Affirmation</a>                | Affidavit of Timothy E. LeRoux  | 006 | GREGORY A MARKEL               |
| 101   | 03/17/2010 | <a href="#">PROPOSED ORDER TO SHOW CAUSE</a>            | Proposed Order to Show Cause  | 007 | KIERAN M CORCORAN              |
| 102   | 03/17/2010 | <a href="#">Affidavit or Affirmation</a>                | Affidavit of Philip Braner  | 007 | KIERAN M CORCORAN              |
| 102-1 | 03/17/2010 | <a href="#">EXHIBIT(S)</a>                              | Exhibit A to Affidavit  | 007 | KIERAN M CORCORAN              |
| 102-2 | 03/17/2010 | <a href="#">EXHIBIT(S)</a>                              | Exhibit B to Affidavit  | 007 | KIERAN M CORCORAN              |
| 102-3 | 03/17/2010 | <a href="#">EXHIBIT(S)</a>                              | Exhibit C to Affidavit  | 007 | KIERAN M CORCORAN              |
| 102-4 | 03/17/2010 | <a href="#">EXHIBIT(S)</a>                              | Exhibit D to Affidavit  | 007 | KIERAN M CORCORAN              |
| 103   | 03/17/2010 | <a href="#">Memorandum Of Law In Support</a>            | Memorandum of Law in Support of Fund Defendants' Motion for Leave to File Second Amended Answer and Counterclaims   | 007 | KIERAN M CORCORAN              |
| 104   | 03/17/2010 | <a href="#">Memorandum Of Law In Support</a>            | Memorandum of Law in Support of Plaintiffs' Motion for Leave to File the Proposed First Amended Complaint   | 006 | GREGORY A MARKEL               |
| 105   | 03/18/2010 | <a href="#">Attachment to previously filed document</a> | Exhibit A to Affidavit of Jason Jurgens Proposed First Amended Complaint  | 006 | GREGORY A MARKEL               |
| 106   | 03/19/2010 | <a href="#">Order to Show Cause</a>                     | signed osc, seq. # 007  | 007 | Nancy A Horowitz<br>court user |
| 107   | 03/23/2010 | <a href="#">Signed Decision</a>                         | SIGNED DECISION entered in the office of the County Clerk on March 23, 2010   | 004 | Dawn Crawford<br>court user    |
| 108   | 03/23/2010 | <a href="#">Letter / Correspondence</a>                 | Letter from Gregory A. Markel of Cadwalader to Judge Fried Re: Clarification of Plaintiffs UBS' Position on its Motion to Dismiss the Counterclaim not being Moot   |     | GREGORY A MARKEL               |
| 109   | 03/23/2010 | <a href="#">Order to Show Cause</a>                     | signed osc, seq. # 006  | 006 | Nancy A Horowitz<br>court user |
| 110   | 03/26/2010 | <a href="#">Letter / Correspondence</a>                 | Letter from Gregory A. Markel of Cadwalader to Judge Fried Regarding a Request for an Order from the Court Compelling Defendants to Move Forward with Discovery, and to Comply with Their Discovery Obligations             |     | GREGORY A MARKEL               |
| 110-1 | 03/26/2010 | <a href="#">EXHIBIT(S)</a>                              | Exhibits A E  |     | GREGORY A MARKEL               |
| 111   | 04/05/2010 | <a href="#">Letter / Correspondence</a>                 | Letter to Justice Fried from Kieran Corcoran, dated April 5, 2010   |     | KIERAN M CORCORAN              |
| 111-1 | 04/05/2010 | <a href="#">EXHIBIT(S)</a>                              | Exhibit A to dated April 5, 2010  |     | KIERAN M CORCORAN              |
| 111-2 | 04/05/2010 | <a href="#">EXHIBIT(S)</a>                              | Exhibit B to dated April 5, 2010  |     | KIERAN M CORCORAN              |
| 111-3 | 04/05/2010 | <a href="#">EXHIBIT(S)</a>                              | Exhibit C to dated April 5, 2010  |     | KIERAN M CORCORAN              |
| 111-4 | 04/05/2010 | <a href="#">EXHIBIT(S)</a>                              | Exhibit D to dated April 5, 2010  |     | KIERAN M CORCORAN              |
| 111-5 | 04/05/2010 | <a href="#">EXHIBIT(S)</a>                              | Exhibit E to dated April 5, 2010  |     | KIERAN M CORCORAN              |
| 112   | 04/12/2010 | <a href="#">Letter / Correspondence</a>                 | Letter from Gregory A. Markel of Cadwalader to Judge Fried Regarding Plaintiff's Response and Opposition to Defendant's Letter dated 4/5/10 seeking a Cross Motion to Vacate this Court's 12/4/09 Order                     |     | GREGORY A MARKEL               |
| 112-1 | 04/12/2010 | <a href="#">EXHIBIT(S)</a>                              | Exhibit A February 26, 2010 Transcript  |     | GREGORY A MARKEL               |
| 113   | 04/14/2010 | <a href="#">MEMORANDUM OF LAW IN OPPOSITION</a>         | Fund Defendants' Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend   | 006 | KIERAN M CORCORAN              |
| 114   | 04/14/2010 | <a href="#">MEMORANDUM OF LAW IN OPPOSITION</a>         | Highland Capital's Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend   | 006 | KIERAN M CORCORAN              |

013939

|       |            |   |   |     |                             |
|-------|------------|---|---|-----|-----------------------------|
| 115   | 04/14/2010 | <a href="#">Affidavit or Affirmation</a>        | Affirmation of Kieran M Corcoran  | 006 | KIERAN M CORCORAN           |
| 115-1 | 04/14/2010 | <a href="#">EXHIBIT(S)</a>                      | Corcoran Aff Ex A   | 006 | KIERAN M CORCORAN           |
| 115-2 | 04/14/2010 | <a href="#">EXHIBIT(S)</a>                      | Corcoran Aff Ex B   | 006 | KIERAN M CORCORAN           |
| 115-3 | 04/14/2010 | <a href="#">EXHIBIT(S)</a>                      | Corcoran Aff Ex C   | 006 | KIERAN M CORCORAN           |
| 115-4 | 04/14/2010 | <a href="#">EXHIBIT(S)</a>                      | Corcoran Aff Ex D   | 006 | KIERAN M CORCORAN           |
| 115-5 | 04/14/2010 | <a href="#">EXHIBIT(S)</a>                      | Corcoran Aff Ex E   | 006 | KIERAN M CORCORAN           |
| 115-6 | 04/14/2010 | <a href="#">EXHIBIT(S)</a>                      | Corcoran Aff Ex F   | 006 | KIERAN M CORCORAN           |
| 115-7 | 04/14/2010 | <a href="#">EXHIBIT(S)</a>                      | Corcoran Aff Ex G   | 006 | KIERAN M CORCORAN           |
| 115-8 | 04/14/2010 | <a href="#">EXHIBIT(S)</a>                      | Corcoran Aff Ex H   | 006 | KIERAN M CORCORAN           |
| 116   | 04/14/2010 | <a href="#">Affidavit or Affirmation</a>        | Affirmation of Kieran M Corcoran  | 006 | KIERAN M CORCORAN           |
| 116-1 | 04/14/2010 | <a href="#">EXHIBIT(S)</a>                      | Corcoran Aff Ex I   | 006 | KIERAN M CORCORAN           |
| 116-2 | 04/14/2010 | <a href="#">EXHIBIT(S)</a>                      | Corcoran Aff Ex J   | 006 | KIERAN M CORCORAN           |
| 116-3 | 04/14/2010 | <a href="#">EXHIBIT(S)</a>                      | Corcoran Aff Ex K   | 006 | KIERAN M CORCORAN           |
| 116-4 | 04/14/2010 | <a href="#">EXHIBIT(S)</a>                      | Corcoran Aff Ex L   | 006 | KIERAN M CORCORAN           |
| 116-5 | 04/14/2010 | <a href="#">EXHIBIT(S)</a>                      | Corcoran Aff Ex M   | 006 | KIERAN M CORCORAN           |
| 116-6 | 04/14/2010 | <a href="#">EXHIBIT(S)</a>                      | Corcoran Aff Ex N   | 006 | KIERAN M CORCORAN           |
| 116-7 | 04/14/2010 | <a href="#">EXHIBIT(S)</a>                      | Corcoran Aff Ex O   | 006 | KIERAN M CORCORAN           |
| 116-8 | 04/14/2010 | <a href="#">EXHIBIT(S)</a>                      | Corcoran Aff Ex P   | 006 | KIERAN M CORCORAN           |
| 116-9 | 04/14/2010 | <a href="#">EXHIBIT(S)</a>                      | Corcoran Aff Ex Q   | 006 | KIERAN M CORCORAN           |
| 117   | 04/14/2010 | <a href="#">Affidavit or Affirmation</a>        | Affidavit of Scott Ellington  | 006 | KIERAN M CORCORAN           |
| 117-1 | 04/14/2010 | <a href="#">EXHIBIT(S)</a>                      | Ellington Aff Ex A  | 006 | KIERAN M CORCORAN           |
| 117-2 | 04/14/2010 | <a href="#">EXHIBIT(S)</a>                      | Ellington Aff Ex B  | 006 | KIERAN M CORCORAN           |
| 117-3 | 04/14/2010 | <a href="#">EXHIBIT(S)</a>                      | Ellington Aff Ex C  | 006 | KIERAN M CORCORAN           |
| 118   | 04/14/2010 | <a href="#">MEMORANDUM OF LAW IN OPPOSITION</a> | Memorandum of Law in Opposition to the Fund Counterparties' Motion for Leave to File the Proposed Second Amended Answer and Counterclaims                                     | 007 | GREGORY A MARKEL            |
| 119   | 04/14/2010 | <a href="#">Affidavit or Affirmation</a>        | Affidavit of Timothy LeRoux   | 007 | GREGORY A MARKEL            |
| 120   | 04/14/2010 | <a href="#">Affidavit or Affirmation</a>        | Affidavit of Daniel Levinson  | 007 | GREGORY A MARKEL            |
| 120-1 | 04/14/2010 | <a href="#">EXHIBIT(S)</a>                      | Exhibit A to Affidavit of Daniel Levinson   | 007 | GREGORY A MARKEL            |
| 121   | 04/14/2010 | <a href="#">Affidavit or Affirmation</a>        | Affidavit of Joshua M. Bennett  | 007 | GREGORY A MARKEL            |
| 121-1 | 04/14/2010 | <a href="#">EXHIBIT(S)</a>                      | Exhibits A J to Affidavit of Joshua M. Bennett  | 007 | GREGORY A MARKEL            |
| 122   | 04/16/2010 | <a href="#">Signed Decision</a>                 | SIGNED DECISION entered in the office of the County Clerk on April 16, 2010   |     | Clifford Reig<br>court user |
| 123   | 04/26/2010 | <a href="#">Letter / Correspondence</a>         | Letter from Gregory A. Markel to Judge Fried requesting page limit extension for UBS reply memorandum in support of motion for leave to file proposed First Amended Complaint | 007 | GREGORY A MARKEL            |
| 124   | 04/27/2010 | <a href="#">Letter / Correspondence</a>         | Letter to Hon. Bernard J. Fried dated 4.27.10   | 006 | KIERAN M CORCORAN           |
| 125   | 04/27/2010 | <a href="#">Signed Decision</a>                 | SIGNED DECISION entered in the office of the County Clerk on April 27, 2010   |     | Clifford Reig<br>court user |
| 126   | 04/28/2010 | <a href="#">Memorandum Of Law In Reply</a>      | Reply Memorandum of Law in Support of the Fund Defendants' Motion for Leave to File Second Amended Answer and Counterclaims   | 007 | Paul B Lackey               |
| 127   | 04/28/2010 | <a href="#">Affidavit or Affirmation</a>        | Affidavit of Philip Braner  | 007 | Paul B Lackey               |
| 128   | 04/28/2010 | <a href="#">Letter / Correspondence</a>         | Letter From Gregory A. Markel to Judge Fried  | 006 | GREGORY A MARKEL            |
| 129   | 04/28/2010 | <a href="#">Memorandum Of Law In Support</a>    | Reply Memorandum of Law in Further Support of Motion for Leave to File the First Amended Complaint  | 006 | GREGORY A MARKEL            |
| 130   | 04/28/2010 | <a href="#">Affidavit or Affirmation</a>        | Reply Affidavit of Jason Jurgens  | 006 | GREGORY A MARKEL            |
| 130-1 | 04/28/2010 | <a href="#">EXHIBIT(S)</a>                      | Exhibit A   | 006 | GREGORY A MARKEL            |
| 130-2 | 04/28/2010 | <a href="#">EXHIBIT(S)</a>                      | Exhibit B   | 006 | GREGORY A                   |

013940

|       |            |  |  |     |                                  |
|-------|------------|--|--|-----|----------------------------------|
| 130-3 | 04/28/2010 | <a href="#">EXHIBIT(S)</a>                       | Exhibit C  | 006 | GREGORY A MARKEL                 |
| 130-4 | 04/28/2010 | <a href="#">EXHIBIT(S)</a>                       | Exhibit D  | 006 | GREGORY A MARKEL                 |
| 130-5 | 04/28/2010 | <a href="#">EXHIBIT(S)</a>                       | Exhibit E  | 006 | GREGORY A MARKEL                 |
| 131   | 04/30/2010 | <a href="#">Notice of Entry</a>                  | Notice of Entry of Order dated April 15, 2010  |     | Paul B Lackey                    |
| 132   | 05/03/2010 | <a href="#">Remittitur</a>                       | REMITTITUR entered in the office of the County Clerk on Mar 09, 2010   |     | Erlon Hodge<br>court user        |
| 133   | 05/04/2010 | <a href="#">Letter / Correspondence</a>          | Cover Letter from Gregory A. Markel of Cadwalader to Judge Fried Regarding Supplemental Reply Memorandum of Law                                      | 006 | GREGORY A MARKEL                 |
| 134   | 05/04/2010 | <a href="#">Memorandum Of Law In Reply</a>       | UBS's Supplemental Memorandum of Law in Reply to the Highland Parties' Arguments Concerning UBS's Claims Against the New Defendants                  | 006 | GREGORY A MARKEL                 |
| 135   | 05/04/2010 | <a href="#">Affidavit or Affirmation</a>         | Supplemental Reply Affidavit of Jason Jurgens  | 006 | GREGORY A MARKEL                 |
| 135-1 | 05/04/2010 | <a href="#">EXHIBIT(S)</a>                       | EXHIBIT A to Supplemental Reply Affidavit of Jason Jurgens   |     | GREGORY A MARKEL                 |
| 135-2 | 05/04/2010 | <a href="#">EXHIBIT(S)</a>                       | EXHIBIT B to Supplemental Reply Affidavit of Jason Jurgens   |     | GREGORY A MARKEL                 |
| 136   | 05/04/2010 | <a href="#">Affidavit or Affirmation</a>         | Affidavit of Fraser Hughes   | 006 | GREGORY A MARKEL                 |
| 137   | 05/11/2010 | <a href="#">Letter / Correspondence</a>          | Letter from Gregory A. Markel of Cadwalader to Judge Fried Regarding O'Brien v. City of Syracuse.  | 006 | GREGORY A MARKEL                 |
| 138   | 05/11/2010 | <a href="#">Letter / Correspondence</a>          | K. Corcoran letter to Justice Fried, dated May 11, 2010  | 006 | KIERAN M CORCORAN                |
| 139   | 05/12/2010 | <a href="#">Letter / Correspondence</a>          | Letter from Gregory A. Markel of Cadwalader to Justice Fried re: Rule 18 and Res Judicata  | 006 | GREGORY A MARKEL                 |
| 140   | 05/12/2010 | <a href="#">Letter / Correspondence</a>          | Letter from Gregory A. Markel of Cadwalader to Justice Fried regarding Discovery   |     | GREGORY A MARKEL                 |
| 141   | 05/12/2010 | <a href="#">Letter / Correspondence</a>          | K. Corcoran letter to Justice Fried, dated May 12, 2010  | 006 | KIERAN M CORCORAN                |
| 142   | 05/14/2010 | <a href="#">Letter / Correspondence</a>          | K. Corcoran letter to Justice Fried, dated May 14, 2010  |     | KIERAN M CORCORAN                |
| 143   | 06/21/2010 | <a href="#">Signed Order</a>                     | SIGNED ORDER entered in the office of the County Clerk on June 21, 2010  | 007 | Dawn Crawford<br>court user      |
| 144   | 06/21/2010 | <a href="#">Signed Order</a>                     | SIGNED ORDER entered in the office of the County Clerk on June 21, 2010  | 006 | Christine Cerabone<br>court user |
| 145   | 06/28/2010 | <a href="#">NOTICE OF ENTRY</a>                  | Notice of Entry Motion Sequence (006)  | 006 | GREGORY A MARKEL                 |
| 146   | 06/28/2010 | <a href="#">NOTICE OF ENTRY</a>                  | Notice of Entry Motion Seq (007)   | 007 | GREGORY A MARKEL                 |
| 147   | 06/28/2010 | <a href="#">SUMMONS (PRE RJ1) (AMENDED)</a>      | Amended Summons  |     | GREGORY A MARKEL                 |
| 148   | 06/28/2010 | <a href="#">COMPLAINT (AMENDED)</a>              | First Amended Complaint  |     | GREGORY A MARKEL                 |
| 148-1 | 06/28/2010 | <a href="#">EXHIBIT(S)</a>                       | Exhibit A  |     | GREGORY A MARKEL                 |
| 148-2 | 06/28/2010 | <a href="#">EXHIBIT(S)</a>                       | Exhibit B  |     | GREGORY A MARKEL                 |
| 148-3 | 06/28/2010 | <a href="#">EXHIBIT(S)</a>                       | Exhibit C  |     | GREGORY A MARKEL                 |
| 148-4 | 06/28/2010 | <a href="#">EXHIBIT(S)</a>                       | Exhibit D  |     | GREGORY A MARKEL                 |
| 148-5 | 06/28/2010 | <a href="#">EXHIBIT(S)</a>                       | Exhibit E  |     | GREGORY A MARKEL                 |
| 148-6 | 06/28/2010 | <a href="#">EXHIBIT(S)</a>                       | Exhibit F  |     | GREGORY A MARKEL                 |
| 148-7 | 06/28/2010 | <a href="#">EXHIBIT(S)</a>                       | Exhibit G  |     | GREGORY A MARKEL                 |
| 148-8 | 06/28/2010 | <a href="#">EXHIBIT(S)</a>                       | Exhibit H  |     | GREGORY A MARKEL                 |
| 148-9 | 06/28/2010 | <a href="#">EXHIBIT(S)</a>                       | Exhibit I  |     | GREGORY A MARKEL                 |
| 149   | 06/30/2010 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a> | Letter to Hon. Bernard J. Fried  | 006 | KIERAN M CORCORAN                |
| 150   | 07/02/2010 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a> | Letter from Gregory A. Markel of Cadwalader to Justice Fried in Response to June 30, 2010 Letter from Counsel for Fund Counterparties                |     | GREGORY A MARKEL                 |
| 151   | 07/08/2010 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a> | Letter from Gregory A. Markel of Cadwalader to Judge Fried Re: UBS Plaintiffs' Request for Permission to File Motion Pursuant to CPLR Section 602(a) |     | GREGORY A MARKEL                 |
| 151-1 | 07/08/2010 | <a href="#">EXHIBIT(S)</a>                       | [Proposed] Memorandum of Law   |     | GREGORY A MARKEL                 |
| 151-2 | 07/08/2010 | <a href="#">EXHIBIT(S)</a>                       | [Proposed] Affidavit of Gregory A. Market  |     | GREGORY A MARKEL                 |
| 152   | 07/13/2010 | <a href="#">AFFIRMATION/AFFIDAVIT</a>            | Affidavit of Service, Re: Letter Dated 7/8/10 from   |     | GREGORY A                        |

013941



|       |            |  |  |     |                   |
|-------|------------|--|--|-----|-------------------|
|       |            | <a href="#">NOTICE OF SERVICE</a>                                | Gregory A. Markel, of Cadwalader, Wickersham & Taft LLP, With Exhibits A and B   |     | GREGORY A MARKEL  |
| 153   | 07/13/2010 | <a href="#">NOTICE OF MOTION</a>                                 | UBS Parties Motion to Consolidate Actions  | 008 | GREGORY A MARKEL  |
| 154   | 07/13/2010 | <a href="#">MEMORANDUM OF LAW IN SUPPORT</a>                     | Memorandum of Law in Support of UBS's Motion to Consolidate Actions  | 008 | GREGORY A MARKEL  |
| 155   | 07/13/2010 | <a href="#">AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION</a>    | Affidavit of Gregory A. Markel in Support of UBS's Motion to Consolidate Actions   | 008 | GREGORY A MARKEL  |
| 155-1 | 07/13/2010 | <a href="#">EXHIBIT(S)</a>                                       | [REDACTED] Exhibits A E of Affidavit of Gregory A. Markel in Support of Motion to Consolidate Actions  | 008 | GREGORY A MARKEL  |
| 156   | 07/14/2010 | <a href="#">NOTICE OF APPEARANCE (POST RJ)</a>                   | Notice of Appearance of Daniel B. Tehrani  |     | DANIEL B TEHRANI  |
| 157   | 07/14/2010 | <a href="#">NOTICE OF APPEARANCE (POST RJ)</a>                   | Notice of Appearance of Andrew Weissmann   |     | DANIEL B TEHRANI  |
| 158   | 07/14/2010 | <a href="#">AFFIRMATION/AFFIDAVIT OF SERVICE</a>                 | Certificate of Service   |     | DANIEL B TEHRANI  |
| 159   | 07/19/2010 | <a href="#">NOTICE OF MOTION</a>                                 | CDO Fund and SOHC's Notice of Motion to Dismiss the First Amended Complaint  | 009 | KIERAN M CORCORAN |
| 160   | 07/19/2010 | <a href="#">AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION</a>    | Affirmation of K. Corcoran in Support of CDO Fund and SOHC's Motion to Dismiss the First Amended Complaint   | 009 | KIERAN M CORCORAN |
| 160-1 | 07/19/2010 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit 1 to Affirmation of K. Corcoran Transcript of Proceedings of 2010.05.07  | 009 | KIERAN M CORCORAN |
| 161   | 07/19/2010 | <a href="#">ANSWER</a>   | CDO Fund and SOHC's Answer to the First Amended Complaint  |     | KIERAN M CORCORAN |
| 162   | 07/22/2010 | <a href="#">MEMORANDUM OF LAW IN OPPOSITION</a>                  | Memorandum of Law in Opposition to UBS's Motion to Consolidate Actions   | 008 | KIERAN M CORCORAN |
| 163   | 07/23/2010 | <a href="#">NOTICE OF APPEAL</a>                                 | CDO Fund and SOHC's Notice of Appeal of Order Dated June 17, 2010  |     | KIERAN M CORCORAN |
| 164   | 07/23/2010 | <a href="#">PRE-ARGUMENT STATEMENT</a>                           | CDO Fund and SOHC's Pre Argument Statement   |     | KIERAN M CORCORAN |
| 165   | 07/23/2010 | <a href="#">NOTICE OF APPEAL</a>                                 | Highland Capital's Notice of Appeal of Order Dated June 17, 2010   |     | KIERAN M CORCORAN |
| 166   | 07/23/2010 | <a href="#">PRE-ARGUMENT STATEMENT</a>                           | Highland Capital's Pre Argument Statement  |     | KIERAN M CORCORAN |
| 167   | 07/28/2010 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>                 | Letter from Gregory Markel to Judge Fried Identifying Discovery Matters we Propose the Court Address at July 29, 2010 Conference   |     | GREGORY A MARKEL  |
| 167-1 | 07/28/2010 | <a href="#">EXHIBIT(S)</a>                                       | Exhibits A G   |     | GREGORY A MARKEL  |
| 167-2 | 07/28/2010 | <a href="#">EXHIBIT(S)</a>                                       | Exhibits H M   |     | GREGORY A MARKEL  |
| 168   | 07/28/2010 | <a href="#">MEMORANDUM OF LAW IN REPLY</a>                       | UBS'S REPLY MEMORANDUM OF LAW IN FURTHER SUPPORT OF ITS MOTION TO CONSOLIDATE ACTIONS  | 008 | GREGORY A MARKEL  |
| 169   | 07/28/2010 | <a href="#">NOTICE OF MOTION</a>                                 | Highland Financial, Strand and Credit Opportunities' Notice of Motion to Dismiss the First Amended Complaint   | 010 | KIERAN M CORCORAN |
| 170   | 07/28/2010 | <a href="#">MEMORANDUM OF LAW IN SUPPORT</a>                     | Memorandum of Law in Support of Highland Financial, Strand and Credit Opportunities' Motion to Dismiss the First Amended Complaint   | 010 | KIERAN M CORCORAN |
| 171   | 07/28/2010 | <a href="#">AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION</a>    | Affirmation of K. Corcoran in Support of Highland Financial, Strand and Credit Opportunities' Motion to Dismiss the First Amended Complaint  | 010 | KIERAN M CORCORAN |
| 171-1 | 07/28/2010 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit 1 to Affirmation of K. Corcoran Affidavit of Fraser Hughes   | 010 | KIERAN M CORCORAN |
| 171-2 | 07/28/2010 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit 2 to Affirmation of K. Corcoran Highland Capital's Memorandum of Law of 2010.04.14   | 010 | KIERAN M CORCORAN |
| 171-3 | 07/28/2010 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit 3 to Affirmation of K. Corcoran Affirmation of K. Corcoran of 2010.04.14   | 010 | KIERAN M CORCORAN |
| 171-4 | 07/28/2010 | <a href="#">EXHIBIT(S)</a>                                       | Exhibits 3(A) (D) to Affirmation of K. Corcoran Exhibits (A) (D) to Affirmation of K. Corcoran of 2010.04.14   | 010 | KIERAN M CORCORAN |
| 171-5 | 07/28/2010 | <a href="#">EXHIBIT(S)</a>                                       | Exhibits 3(E) (Q) to Affirmation of K. Corcoran Exhibits (E) (Q) to Affirmation of K. Corcoran of 2010.04.14   | 010 | KIERAN M CORCORAN |
| 171-6 | 07/28/2010 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit 4 to Affirmation of K. Corcoran Hashem Opinion   | 010 | KIERAN M CORCORAN |
| 172   | 07/28/2010 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>                 | Letter from Kieran M. Corcoran to Hon. Bernard J. Fried dated July 28, 2010  |     | KIERAN M CORCORAN |
| 173   | 07/28/2010 | <a href="#">AFFIDAVIT OR AFFIRMATION IN OPPOSITION TO MOTION</a> | Affidavit of Gregory A. Markel in Opposition to Motion to Dismiss the Complaint  | 009 | GREGORY A MARKEL  |
| 173-1 | 07/28/2010 | Document Deleted   |  |     |                   |
| 173-2 | 07/28/2010 | Document Deleted   |  |     |                   |
| 173-3 | 07/28/2010 | Document Deleted   |  |     |                   |
| 173-4 | 07/28/2010 | Document Deleted   |  |     |                   |
| 174   | 07/29/2010 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>                 | Letter from Gregory A. Markel of Cadwalader to Judge Fried Re:UBS Plaintiffs' Filing of Opposition to Defendant Highland CDO Opportunity Master Fund, L.P. and Highland Special Opportunities Holding Companies' Motion to Dismiss the First Amended Complaint | 009 | GREGORY A MARKEL  |

|       |            |  |   |     |                              |
|-------|------------|--|---|-----|------------------------------|
| 175   | 08/02/2010 | <a href="#">NOTICE OF CROSS APPEAL</a>                           | Notice of Cross Appeal of Order dated June 17, 2010   | 006 | GREGORY A MARKEL             |
| 176   | 08/02/2010 | <a href="#">PRE-ARGUMENT STATEMENT</a>                           | Civil Pre Argument Statement  | 006 | GREGORY A MARKEL             |
| 176-1 | 08/02/2010 | <a href="#">EXHIBIT(S)</a>                                       | Order dated June 17, 2010   | 006 | GREGORY A MARKEL             |
| 177   | 08/06/2010 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>                 | Letter From Gregory A. Markel to Judge Fried Regarding Stipulation and Proposed Order for Appointment of Special Master   |     | GREGORY A MARKEL             |
| 178   | 08/06/2010 | <a href="#">ORDER ( PROPOSED )</a>                               | Stipulation and [Proposed] Order for Appointment of Special Master  |     | GREGORY A MARKEL             |
| 179   | 08/09/2010 | <a href="#">DECISION + ORDER ON MOTION</a>                       | MOTION entered in the office of the County Clerk on August 09, 2010   | 009 | Clifford Reig<br>court user  |
| 180   | 08/12/2010 | <a href="#">STIPULATION - SO ORDERED</a>                         | SO ORDERED STIPULATION entered in the office of the County Clerk on August 12, 2010   |     | Erlon Hodge<br>court user    |
| 181   | 08/13/2010 | <a href="#">NOTICE OF ENTRY</a>                                  | Notice of Entry (Motion Sequence 009)   | 009 | KIERAN M CORCORAN            |
| 182   | 08/13/2010 | <a href="#">NOTICE OF APPEAL</a>                                 | Funds' Notice of Appeal   | 009 | KIERAN M CORCORAN            |
| 183   | 08/13/2010 | <a href="#">PRE-ARGUMENT STATEMENT</a>                           | Funds' Pre Argument Statement   | 009 | KIERAN M CORCORAN            |
| 184   | 08/13/2010 | <a href="#">AFFIRMATION/AFFIDAVIT OF SERVICE</a>                 | Affidavit of Service of (a) Amended Summons, First Amended Redacted Complaint and Redacted Exhibits and (b) Amended Summons, First Amended Unredacted Complaint and Unredacted Exhibits upon Highland Capital Management, L.P., Highland CDO Opportunity Master |     | JASON JURGENS                |
| 185   | 08/13/2010 | <a href="#">AFFIRMATION/AFFIDAVIT OF SERVICE</a>                 | Affidavit of Service of Amended Summons, First Amended Unredacted Complaint and Unredacted Exhibits upon Highland Financial Partners, L.P., Highland Credit Opportunities CDO, L.P., Strand Advisors, Inc.  |     | JASON JURGENS                |
| 186   | 08/13/2010 | <a href="#">AFFIRMATION/AFFIDAVIT OF SERVICE</a>                 | Affidavit of Service of Amended Summons and First Amended Complaint [Redacted] upon Highland Credit Strategies Fund   |     | JASON JURGENS                |
| 187   | 08/13/2010 | <a href="#">AFFIRMATION/AFFIDAVIT OF SERVICE</a>                 | Affidavit of Service of Amended Summons and First Redacted Complaint upon Highland Crusader Offshore Fund, L.P.   |     | JASON JURGENS                |
| 188   | 08/27/2010 | <a href="#">STIPULATION - OTHER - ( REQUEST TO SO ORDER )</a>    | Stipulation Adjourning Defendant Highland Credit Strategies Fund's Time to Answer, Move or Otherwise Respond to Summons and First Amended Complaint to September 3, 2010  |     | ANDREW WEISSMANN             |
| 189   | 09/01/2010 | <a href="#">STIPULATION - OTHER - ( REQUEST TO SO ORDER )</a>    | Stipulation and Proposed Order  |     | ANDREW WEISSMANN             |
| 190   | 09/10/2010 | <a href="#">LETTER/CORRESPONDENCE - SO ORDERED</a>               | SO ORDERED LETTER/CORRESPONDENCE entered in the office of the County Clerk on September 10, 2010  |     | Matthew Koenig<br>court user |
| 191   | 09/10/2010 | <a href="#">STIPULATION - OTHER - ( REQUEST TO SO ORDER )</a>    | Stipulation and Proposed Order  |     | ANDREW WEISSMANN             |
| 192   | 09/13/2010 | <a href="#">NOTICE OF CROSS APPEAL</a>                           | Notice of Cross Appeal From Order Dated August 5, 2010  | 009 | GREGORY A MARKEL             |
| 193   | 09/13/2010 | <a href="#">PRE-ARGUMENT STATEMENT</a>                           | Cross Appeal Preargument Statement  | 009 | GREGORY A MARKEL             |
| 194   | 09/17/2010 | <a href="#">AFFIDAVIT OR AFFIRMATION IN OPPOSITION TO MOTION</a> | "[Redacted] Exhibit 1 and Exhibit 2 Part 1 to Affidavit of Gregory A. Markel in Opposition to Motion to Dismiss the Complaint, dated July 28, 2010 (redacted version of Document No. 173 1)"  | 010 | GREGORY A MARKEL             |
| 194-1 | 09/17/2010 | <a href="#">EXHIBIT(S)</a>                                       | "[Redacted] Exhibit 2 Part 2 to Affidavit of Gregory A. Markel in Opposition to Motion to Dismiss the Complaint, dated July 28, 2010 (redacted version of Document No. 173 2)"  | 010 | GREGORY A MARKEL             |
| 194-2 | 09/17/2010 | <a href="#">EXHIBIT(S)</a>                                       | "[Redacted] Exhibit 2 Part 3 to Affidavit of Gregory A. Markel in Opposition to Motion to Dismiss the Complaint, dated July 28, 2010 (redacted version of Document No. 173 3 )" "   | 010 | GREGORY A MARKEL             |
| 194-3 | 09/17/2010 | <a href="#">EXHIBIT(S)</a>                                       | "[Redacted] Exhibits 3 10 to Affidavit of Gregory A. Markel in Opposition to Motion to Dismiss the Complaint, dated July 28, 2010 (redacted version of Document No. 173 4)"   | 010 | GREGORY A MARKEL             |
| 195   | 09/21/2010 | <a href="#">STIPULATION - SO ORDERED</a>                         | SO ORDERED STIPULATION entered in the office of the County Clerk on September 21, 2010  |     | Erlon Hodge<br>court user    |
| 196   | 09/21/2010 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>                 | Letter From Gregory A. Markel of Cadwalader to Justice Fried Requesting Extension of Page Limitation on Memorandum of Law in Support of Response to Motion to Dismiss First Amended Complaint   | 010 | GREGORY A MARKEL             |
| 197   | 09/22/2010 | <a href="#">STIPULATION - OTHER - ( REQUEST TO SO ORDER )</a>    | Stipulation and Proposed Order  |     | ANDREW WEISSMANN             |
| 198   | 09/22/2010 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>                 | Letter From Gregory A. Markel of Cadwalader to Justice Fried Enclosing Revised Proposed Scheduling and Case Management Order  |     | GREGORY A MARKEL             |
| 198-1 | 09/22/2010 | <a href="#">EXHIBIT(S)</a>                                       | Proposed Scheduling Order   |     | GREGORY A MARKEL             |
| 199   | 09/23/2010 | <a href="#">LETTER/CORRESPONDENCE</a>                            | SO ORDERED LETTER/CORRESPONDENCE entered in the   |     | Matthew                      |

013943

|                |            |   |  |     |  |
|----------------|------------|---|--|-----|--|
|                |            | <u>SO ORDERED</u>                                       | Office of the County Clerk on September 23, 2010   |     | <u>court user</u>                        |
| 200            | 09/24/2010 | <u>NOTICE OF MOTION</u>                                 | Notice of Motion on Consent to Admit Counsel Pro Hac Vice  | 011 | KIERAN M CORCORAN                        |
| 201            | 09/24/2010 | <u>AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION</u>    | Affirmation in Support of Motion to Admit Counsel  | 011 | KIERAN M CORCORAN                        |
| 202            | 09/24/2010 | <u>AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION</u>    | Affidavit in Support of Motion to Admit Counsel  | 011 | KIERAN M CORCORAN                        |
| 203            | 09/24/2010 | <u>MEMORANDUM OF LAW IN OPPOSITION</u>                  | UBS's Memorandum Of Law In Opposition To The Highland Entities' Motion To Dismiss The First Amended Complaint [Redacted]   | 010 | GREGORY A MARKEL                         |
| 204            | 09/24/2010 | <u>AFFIDAVIT OR AFFIRMATION IN OPPOSITION TO MOTION</u> | Affidavit of Jason Jurgens in Opposition to the Highland Entities' Motion to Dismiss the First Amended Complaint   | 010 | GREGORY A MARKEL                         |
| 204-1          | 09/24/2010 | <u>EXHIBIT(S)</u>                                       | A [Redacted]   | 010 | GREGORY A MARKEL                         |
| 204-2          | 09/24/2010 | <u>EXHIBIT(S)</u>                                       | B [Redacted]   | 010 | GREGORY A MARKEL                         |
| 204-3          | 09/24/2010 | <u>EXHIBIT(S)</u>                                       | C  | 010 | GREGORY A MARKEL                         |
| 204-4          | 09/24/2010 | <u>EXHIBIT(S)</u>                                       | D  | 010 | GREGORY A MARKEL                         |
| 204-5          | 09/24/2010 | <u>EXHIBIT(S)</u>                                       | E  | 010 | GREGORY A MARKEL                         |
| 204-6          | 09/24/2010 | <u>EXHIBIT(S)</u>                                       | F  | 010 | GREGORY A MARKEL                         |
| 204-7          | 09/24/2010 | <u>EXHIBIT(S)</u>                                       | G  | 010 | GREGORY A MARKEL                         |
| 204-8          | 09/24/2010 | <u>EXHIBIT(S)</u>                                       | H to Y [Redacted]  | 010 | GREGORY A MARKEL                         |
| 205            | 09/24/2010 | <u>AFFIDAVIT OR AFFIRMATION IN OPPOSITION TO MOTION</u> | Affidavit of Fraser Hughes In Opposition to the Highland Entities' Motion to Dismiss the First Amended Complaint   | 010 | GREGORY A MARKEL                         |
| 206            | 09/27/2010 | <u>Signed Order</u>                                     | CASE SCHEDULING ORDER entered in the office of the County Clerk on September 27, 2010  |     | Darnell A. McIntosh<br><u>court user</u> |
| 207            | 09/27/2010 | <u>STIPULATION - SO ORDERED</u>                         | SO ORDERED STIPULATION entered in the office of the County Clerk on September 27, 2010   |     | Clifford Reig<br><u>court user</u>       |
| 208            | 10/06/2010 | <u>LETTER / CORRESPONDENCE TO JUDGE</u>                 | Letter From Gregory A. Markel of Cadwalader to Justice Fried Requesting Change of Time of Oral Argument on Motion to Dismiss on October 19, 2010                                     | 010 | GREGORY A MARKEL                         |
| 209            | 10/07/2010 | <u>DECISION + ORDER ON MOTION</u>                       | MOTION entered in the office of the County Clerk on October 07, 2010   | 011 | Ellen Chin<br><u>court user</u>          |
| 210            | 10/08/2010 | <u>STIPULATION - SO ORDERED</u>                         | SO ORDERED STIPULATION entered in the office of the County Clerk on October 08, 2010   |     | Clifford Reig<br><u>court user</u>       |
| 211            | 10/08/2010 | <u>STIPULATION - PARTIAL DISCONTINUANCE (POST RJJ)</u>  | Notice of Discontinuance of Action as to Defendant Highland Credit Strategies Fund   |     | GREGORY A MARKEL                         |
| 212            | 10/12/2010 | <u>MEMORANDUM OF LAW IN REPLY</u>                       | Reply Memorandum of Law in Support of Highland Financial, Strand and Credit Opportunities' Motion to Dismiss the First Amended Complaint   | 010 | KIERAN M CORCORAN                        |
| 213            | 11/04/2010 | <u>DECISION + ORDER ON MOTION</u>                       | MOTION entered in the office of the County Clerk on November 04, 2010  | 008 | Dawn Crawford<br><u>court user</u>       |
| 214            | 11/04/2010 | <u>NOTICE OF ENTRY</u>                                  | Notice of Entry of Order of Judge Fried Signed 11/1/10 and Entered on 11/4/10  |     | GREGORY A MARKEL                         |
| 215            | 02/07/2011 | <u>NOTICE OF APPEARANCE (POST RJJ)</u>                  | Notice of Appearance of Schindler Cohen & Hochman LLP  |     | EMILY ALEXANDRA POLER                    |
| 216            | 03/02/2011 | <u>TRANSCRIPT OF PROCEEDINGS</u>                        | TRANSCRIPT OF PROCEEDINGS entered in the office of the County Clerk on March 02, 2011  |     | Matthew Koenig<br><u>court user</u>      |
| 217            | 03/03/2011 | <u>DECISION + ORDER ON MOTION</u>                       | re: motion no. 010, DECISION + ORDER ON MOTION entered in the office of the County Clerk on March 03, 2011   | 010 | Dawn Crawford<br><u>court user</u>       |
| 218            | 03/03/2011 | <u>NOTICE OF ENTRY</u>                                  | Notice of Entry  |     | GREGORY A MARKEL                         |
| 219            | 03/14/2011 | <u>LETTER / CORRESPONDENCE TO JUDGE</u>                 | Letter to Hon. Justice Fried dated 3.14.11 confirming rescheduling of conference   |     | KIERAN M CORCORAN                        |
| 220            | 03/21/2011 | <u>ANSWER</u>   | Answer of Highland Financial Partners, L.P., Highland Credit Opportunities CDO, L.P., Highland Crusader Offshore Partners, L.P. and Strand Advisors, Inc. to First Amended Complaint |     | KIERAN M CORCORAN                        |
| 221            | 03/22/2011 | <u>LETTER/CORRESPONDENCE - SO ORDERED</u>               | re: app no. 016, SO ORDERED LETTER/CORRESPONDENCE entered in the office of the County Clerk on March 22, 2011  |     | Darnell A. McIntosh<br><u>court user</u> |
| 222<br>PENDING | 03/22/2011 | <u>NOTICE OF MOTION</u>                                 | Notice of Motion for the Issuance of a Commission  | 012 | EMILY ALEXANDRA POLER                    |
| 223            | 03/22/2011 | <u>AFFIRMATION</u>                                      | Affirmation of Emily A. Poler  | 012 | EMILY ALEXANDRA POLER                    |

013944

|                |            |  |  |     |                              |
|----------------|------------|--|--|-----|------------------------------|
|                |            |  |  |     | ALEXANDRA POLER              |
| 224            | 03/22/2011 | <a href="#">COMMISSION (PROPOSED)</a>                            | Commission to Take Deposition and for the Production of Documents  | 012 | EMILY ALEXANDRA POLER        |
| 225            | 03/22/2011 | <a href="#">ORDER ( PROPOSED )</a>                               | Proposed Order Directing the Issuance of Commission To Take Deposition and for the Production of Documents   | 012 | EMILY ALEXANDRA POLER        |
| 226            | 03/28/2011 | <a href="#">AFFIDAVIT OR AFFIRMATION IN OPPOSITION TO MOTION</a> | Affidavit of Kevin P Robinowitz in Opposition to Plaintiffs' Motion for the Issuance of a Commission for the Production of Documents by and Deposition of Kevin Dillon                     | 012 | KIERAN M CORCORAN            |
| 227            | 03/30/2011 | <a href="#">ORDER - OTHER</a>                                    | OTHER ORDER entered in the office of the County Clerk on March 30, 2011  |     | Matthew Koenig<br>court user |
| 228            | 03/30/2011 | <a href="#">ORDER - OTHER</a>                                    | OTHER ORDER entered in the office of the County Clerk on March 30, 2011  |     | Matthew Koenig<br>court user |
| 229<br>PENDING | 04/01/2011 | <a href="#">NOTICE OF APPEAL</a>                                 | Notice of Appeal of Order dated March 1, 2011  |     | KIERAN M CORCORAN            |
| 230            | 04/01/2011 | <a href="#">PRE-ARGUMENT STATEMENT</a>                           | Pre-Argument Statement   |     | KIERAN M CORCORAN            |
| 231            | 04/06/2011 | <a href="#">MEMORANDUM OF LAW IN REPLY</a>                       | UBS Securities LLC and UBS AG, London Branch's Reply Memorandum of Law in Further Support of Their Motion for a Commission   | 012 | EMILY ALEXANDRA POLER        |
| 232            | 04/06/2011 | <a href="#">AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION</a>    | Affirmation of Emily A. Poler  | 012 | EMILY ALEXANDRA POLER        |
| 233            | 04/06/2011 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit A to Affirmation of Emily A. Poler   | 012 | EMILY ALEXANDRA POLER        |
| 234            | 04/06/2011 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit B to Affirmation of Emily A. Poler   | 012 | EMILY ALEXANDRA POLER        |
| 235            | 04/12/2011 | <a href="#">SUR-REPLY</a>  | Defendants' Sur-Reply in Further Opposition to Plaintiffs' Motion for the Issuance of a Commission for the Production of Documents by and Deposition of Kevin Dillon - REDACTED            | 012 | KIERAN M CORCORAN            |
| 236            | 04/12/2011 | <a href="#">AFFIDAVIT OR AFFIRMATION IN OPPOSITION TO MOTION</a> | Affidavit of Kevin P. Robinowitz in Further Opposition to Plaintiffs' Motion for the Issuance of a Commission for the Production of Documents by and Deposition of Kevin Dillon - REDACTED | 012 | KIERAN M CORCORAN            |
| 237            | 04/14/2011 | <a href="#">ORDER - INTERIM</a>                                  | re: motion no. 012, INTERIM ORDER entered in the office of the County Clerk on April 14, 2011  | 012 | Clifford Reig<br>court user  |
| 238            | 05/09/2011 | <a href="#">DECISION + ORDER ON MOTION</a>                       | re: motion no. 012, DECISION + ORDER ON MOTION entered in the office of the County Clerk on May 09, 2011   | 012 | Dawn Crawford<br>court user  |
| 239            | 05/09/2011 | <a href="#">NOTICE OF ENTRY</a>                                  | Notice of Entry of Judgment  | 012 | EMILY ALEXANDRA POLER        |
| 240            | 05/11/2011 | <a href="#">STIPULATION - OTHER</a>                              | Stipulation to File Second Amended Complaint   |     | GREGORY A MARKEL             |
| 240-1          | 05/11/2011 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit A - Second Amended Complaint   |     | GREGORY A MARKEL             |
| 240-2          | 05/11/2011 | <a href="#">EXHIBIT(S)</a>                                       | Exhibits A-I to Exhibit A  |     | GREGORY A MARKEL             |
| 241            | 05/11/2011 | <a href="#">SUMMONS (PRE RJI) (AMENDED)</a>                      | Second Amended Summons   |     | GREGORY A MARKEL             |
| 242            | 05/11/2011 | <a href="#">COMPLAINT (AMENDED)</a>                              | Second Amended Complaint   |     | GREGORY A MARKEL             |
| 242-1          | 05/11/2011 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit A  |     | GREGORY A MARKEL             |
| 242-2          | 05/11/2011 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit B  |     | GREGORY A MARKEL             |
| 242-3          | 05/11/2011 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit C  |     | GREGORY A MARKEL             |
| 242-4          | 05/11/2011 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit D  |     | GREGORY A MARKEL             |
| 242-5          | 05/11/2011 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit E  |     | GREGORY A MARKEL             |
| 242-6          | 05/11/2011 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit F  |     | GREGORY A MARKEL             |
| 242-7          | 05/11/2011 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit G  |     | GREGORY A MARKEL             |
| 242-8          | 05/11/2011 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit H  |     | GREGORY A MARKEL             |
| 242-9          | 05/11/2011 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit I  |     | GREGORY A MARKEL             |
| 243            | 05/26/2011 | <a href="#">AFFIRMATION/AFFIDAVIT OF SERVICE</a>                 | Affidavit of Service of Second Amended Summons (Dated May 11, 2011) and Second Amended Complaint, Including Exhibits A - I (Dated May 11, 2011)  |     | GREGORY A MARKEL             |
| 244            | 05/31/2011 | <a href="#">LETTER /</a>   | 2011.05.31 Letter from K. Robinowitz to Justice Fried  |     | BARON T                      |

013945



|       |            | <a href="#">COURRESPONDENCE TO JUDGE</a>                      |   |     | <a href="#">COURSER</a>                        |
|-------|------------|---|---|-----|--|
| 245   | 05/31/2011 | <a href="#">ANSWER</a>  | Answer of CDO Fund and SOHC to Second Amended Complaint   |     | BARON T OURSLER                                |
| 246   | 05/31/2011 | <a href="#">ANSWER</a>  | Answer of Highland Financial, Credit Opportunities, Credit Strategies, Crusader and Strand to Second Amended Complaint                  |     | BARON T OURSLER                                |
| 247   | 06/03/2011 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>              | Redacted Letter from Gregory A. Markel of Cadwalader to Justice Fried in Response to Defendants' May 31, 2011 Letter (Document No. 244) |     | GREGORY A MARKEL                               |
| 247-1 | 06/03/2011 | <a href="#">EXHIBIT(S)</a>                                    | --none--  |     | GREGORY A MARKEL                               |
| 248   | 06/27/2011 | <a href="#">ORDER - COMMISSION</a>                            | ORDER RE: COMMISSION entered in the office of the County Clerk on June 27, 2011   |     | Matthew Koenig<br><a href="#">court user</a>   |
| 249   | 06/27/2011 | <a href="#">COMMISSION</a>                                    | COMMISSION entered in the office of the County Clerk on June 27, 2011   |     | Matthew Koenig<br><a href="#">court user</a>   |
| 250   | 08/01/2011 | <a href="#">NOTICE OF ENTRY</a>                               | Notice of Entry of Decision and Order of Appellate Division, First Department dated July 21, 2011                                       | 006 | KIERAN M CORCORAN                              |
| 251   | 08/10/2011 | <a href="#">REMITTITUR</a>                                    | REMITTITUR entered in the office of the County Clerk on August 10, 2011   |     | Matthew Koenig<br><a href="#">court user</a>   |
| 252   | 09/30/2011 | <a href="#">NOTICE OF MOTION</a>                              | Notice of Motion for Issuance of Commission Regarding Walter R. Louis   | 013 | GREGORY A MARKEL                               |
| 252-1 | 09/30/2011 | <a href="#">AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION</a> | Affidavit of Ellen M. Halstead in Support of Motion for Commission Regarding Walter R. Louis  | 013 | GREGORY A MARKEL                               |
| 252-2 | 09/30/2011 | <a href="#">ORDER ( PROPOSED )</a>                            | [Proposed] Order  | 013 | GREGORY A MARKEL                               |
| 252-3 | 09/30/2011 | <a href="#">COMMISSION (PROPOSED)</a>                         | Proposed Commission   | 013 | GREGORY A MARKEL                               |
| 253   | 09/30/2011 | <a href="#">NOTICE OF MOTION</a>                              | Notice of Motion for Issuance of Commissions Regarding Richard Buttimer and UNCC  | 014 | GREGORY A MARKEL                               |
| 253-1 | 09/30/2011 | <a href="#">AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION</a> | Affidavit of Ellen M. Halstead in Support of Motion for Issuance of Commission Regarding Richard Buttimer and UNCCn                     | 014 | GREGORY A MARKEL                               |
| 253-2 | 09/30/2011 | <a href="#">ORDER ( PROPOSED )</a>                            | [Proposed] Order for Buttimer   | 014 | GREGORY A MARKEL                               |
| 253-3 | 09/30/2011 | <a href="#">ORDER ( PROPOSED )</a>                            | [Proposed] Order for UNCC   | 014 | GREGORY A MARKEL                               |
| 253-4 | 09/30/2011 | <a href="#">COMMISSION (PROPOSED)</a>                         | Proposed Commission for Buttimer  | 014 | GREGORY A MARKEL                               |
| 253-5 | 09/30/2011 | <a href="#">EXHIBIT(S)</a>                                    | Exhibit A to Proposed Commission for Buttimer   | 014 | GREGORY A MARKEL                               |
| 253-6 | 09/30/2011 | <a href="#">COMMISSION (PROPOSED)</a>                         | Proposed Commission for UNCC  | 014 | GREGORY A MARKEL                               |
| 253-7 | 09/30/2011 | <a href="#">EXHIBIT(S)</a>                                    | Exhibit A to Proposed Commission for UNCC   | 014 | GREGORY A MARKEL                               |
| 254   | 10/31/2011 | <a href="#">DECISION + ORDER ON MOTION</a>                    | re: motion no. 014, DECISION + ORDER ON MOTION entered in the office of the County Clerk on October 31, 2011                            | 014 | Clifford Reig<br><a href="#">court user</a>    |
| 255   | 11/01/2011 | <a href="#">DECISION + ORDER ON MOTION</a>                    | re: motion no. 013, DECISION + ORDER ON MOTION entered in the office of the County Clerk on November 01, 2011                           | 013 | Clifford Reig<br><a href="#">court user</a>    |
| 256   | 11/10/2011 | <a href="#">NOTICE OF APPEARANCE (POST RJ)</a>                | Notice of Appearance of Andrew Clubok, Esq. on behalf of Plaintiffs UBS Securities LLC and UBS AG, London Branch                        |     | MAURA M KLUGMAN                                |
| 257   | 11/21/2011 | <a href="#">ORDER TO SHOW CAUSE ( PROPOSED )</a>              | Proposed Order to Show Cause  | 015 | EMILY ALEXANDRA POLER                          |
| 258   | 11/21/2011 | <a href="#">AFFIRMATION</a>                                   | Affirmation of Emily A. Poler   | 015 | EMILY ALEXANDRA POLER                          |
| 259   | 11/21/2011 | <a href="#">EXHIBIT(S)</a>                                    | Exhibit A through E to Affirmation of Emily A. Poler  | 015 | EMILY ALEXANDRA POLER                          |
| 260   | 11/21/2011 | <a href="#">COMMISSION (PROPOSED)</a>                         | Proposed Commission to Take Discovery of Non-Party Witness Outside NY   | 015 | EMILY ALEXANDRA POLER                          |
| 261   | 11/21/2011 | <a href="#">ORDER ( PROPOSED )</a>                            | Proposed Order Directing the Issuance of a Commission to Take Discovery   | 015 | EMILY ALEXANDRA POLER                          |
| 262   | 12/01/2011 | <a href="#">ORDER TO SHOW CAUSE</a>                           | ORDER TO SHOW CAUSE entered in the office of the County Clerk on December 01, 2011  | 015 | Nancy A Horowitz<br><a href="#">court user</a> |
| 263   | 12/12/2011 | <a href="#">AFFIDAVIT</a>                                     | Affidavit of Kevin Robinwitz dated December 9, 2011 Providing Consent to Issuance of Commission   | 015 | KIERAN M CORCORAN                              |
| 264   | 12/16/2011 | <a href="#">DECISION + ORDER ON MOTION</a>                    | re: motion no. 015, DECISION + ORDER ON MOTION entered in the office of the County Clerk on December 16, 2011                           | 015 | Clifford Reig<br><a href="#">court user</a>    |
| 265   | 02/21/2012 | <a href="#">NOTICE OF MOTION</a>                              | Notice for the Issuance of Commissions - Bank of NY Mellon Trust Company  | 016 | MARK W RASMUSSEN                               |

013946



|       |            |   |   |     |                             |
|-------|------------|---|---|-----|-----------------------------|
| 265-1 | 02/21/2012 | <a href="#">AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION</a> | Rasmussen Affirmation In Support of Motion for Issuance of Commissions - Bank of NY Mellon Trust Company  | 016 | MARK W RASMUSSEN            |
| 265-2 | 02/21/2012 | <a href="#">EXHIBIT(S)</a>                                    | Exhibit to Rasmussen Affirmation  | 016 | MARK W RASMUSSEN            |
| 266   | 02/21/2012 | <a href="#">NOTICE OF MOTION</a>                              | Notice of Motion for the Issuance of Commissions - Nexbank, SSB and Nexbank Securities, Inc.  | 017 | MARK W RASMUSSEN            |
| 266-1 | 02/21/2012 | <a href="#">AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION</a> | Rasmussen Affirmation in Support of Motion for the Issuance of Commissions - Nexbank, SSB and Nexbank Securities, Inc.  | 017 | MARK W RASMUSSEN            |
| 266-2 | 02/21/2012 | <a href="#">EXHIBIT(S)</a>                                    | Exhibits to Rasmussen Affirmation   | 017 | MARK W RASMUSSEN            |
| 267   | 02/21/2012 | <a href="#">NOTICE OF MOTION</a>                              | Notice of Motion for the Issuance of a Commission - State Street Bank and Trust Company   | 018 | MARK W RASMUSSEN            |
| 267-1 | 02/21/2012 | <a href="#">AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION</a> | Rasmussen Affirmation In Support of Motion for the Issuance of a Commission - State Street Bank and Trust Company   | 018 | MARK W RASMUSSEN            |
| 267-2 | 02/21/2012 | <a href="#">EXHIBIT(S)</a>                                    | Exhibits to Rasmussen Affirmation   | 018 | MARK W RASMUSSEN            |
| 268   | 02/22/2012 | <a href="#">NOTICE OF MOTION</a>                              | Notice of Motion for the Issuance of a Commission for the Deposition of Kevin I. Dowd   | 020 | MARK W RASMUSSEN            |
| 268-1 | 02/22/2012 | <a href="#">AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION</a> | Affirmation of Rasmussen In Support of Motion for the Issuance of a Commission for the Deposition of Kevin I. Dowd  | 020 | MARK W RASMUSSEN            |
| 268-2 | 02/22/2012 | <a href="#">EXHIBIT(S)</a>                                    | Exhibit to Rasmussen Affirmation  | 020 | MARK W RASMUSSEN            |
| 269   | 02/22/2012 | <a href="#">NOTICE OF MOTION</a>                              | Notice of Motion for the Issuance of a Commission for the Deposition of Charles McQueary  | 021 | MARK W RASMUSSEN            |
| 269-1 | 02/22/2012 | <a href="#">AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION</a> | Affirmation of Rasmussen in Support of Motion for the Issuance of a Commission for the Deposition of Charles McQueary   | 021 | MARK W RASMUSSEN            |
| 269-2 | 02/22/2012 | <a href="#">EXHIBIT(S)</a>                                    | Exhibit to Rasmussen Affirmation  | 021 | MARK W RASMUSSEN            |
| 270   | 02/22/2012 | <a href="#">NOTICE OF MOTION</a>                              | Notice of Motion for the Issuance of a Commission for the Deposition of Michael Zarrilli  | 022 | MARK W RASMUSSEN            |
| 270-1 | 02/22/2012 | <a href="#">AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION</a> | Affirmation of Rasmussen In Support of Motion for the Issuance of a Commission for the Deposition of Michael Zarrilli   | 022 | MARK W RASMUSSEN            |
| 270-2 | 02/22/2012 | <a href="#">EXHIBIT(S)</a>                                    | Exhibit to Rasmussen Affirmation  | 022 | MARK W RASMUSSEN            |
| 271   | 02/27/2012 | <a href="#">NOTICE OF MOTION</a>                              | NOTICE OF MOTION FOR THE ISSUANCE OF COMMISSIONS FOR THE DEPOSITIONS OF GIBRAN MAHMUD, PAUL ROOS, PAUL KAUFFMAN, PATRICK DAUGHERTY, CHRIS HALPIN, KURTIS PLUMER, RYAN GRATEKE, BRIAN COX, KEN MCGOVERN, AND TODD TRAVERS                          | 019 | MARK W RASMUSSEN            |
| 271-1 | 02/27/2012 | <a href="#">AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION</a> | Rasmussen Affirmation to NOTICE OF MOTION FOR THE ISSUANCE OF COMMISSIONS FOR THE DEPOSITIONS OF GIBRAN MAHMUD, PAUL ROOS, PAUL KAUFFMAN, PATRICK DAUGHERTY, CHRIS HALPIN, KURTIS PLUMER, RYAN GRATEKE, BRIAN COX, KEN MCGOVERN, AND TODD TRAVERS | 019 | MARK W RASMUSSEN            |
| 271-2 | 02/27/2012 | <a href="#">EXHIBIT(S)</a>                                    | Exhibit to Rasmussen Affirmation  | 019 | MARK W RASMUSSEN            |
| 272   | 03/06/2012 | <a href="#">DECISION + ORDER ON MOTION</a>                    | re: motion no. 022, DECISION + ORDER ON MOTION entered in the office of the County Clerk on March 06, 2012  | 022 | Clifford Reig court user    |
| 273   | 03/06/2012 | <a href="#">DECISION + ORDER ON MOTION</a>                    | re: motion no. 020, DECISION + ORDER ON MOTION entered in the office of the County Clerk on March 06, 2012  | 020 | Clifford Reig court user    |
| 274   | 03/07/2012 | <a href="#">DECISION + ORDER ON MOTION</a>                    | re: motion no. 016, DECISION + ORDER ON MOTION entered in the office of the County Clerk on March 07, 2012  | 016 | Clifford Reig court user    |
| 275   | 03/07/2012 | <a href="#">DECISION + ORDER ON MOTION</a>                    | re: motion no. 017, DECISION + ORDER ON MOTION entered in the office of the County Clerk on March 07, 2012  | 017 | Clifford Reig court user    |
| 276   | 03/07/2012 | Document Deleted  |   |     |                             |
| 277   | 03/07/2012 | <a href="#">DECISION + ORDER ON MOTION</a>                    | re: motion no. 018, DECISION + ORDER ON MOTION entered in the office of the County Clerk on March 07, 2012  | 018 | Clifford Reig court user    |
| 278   | 03/12/2012 | <a href="#">DECISION + ORDER ON MOTION</a>                    | re: motion no. 019, DECISION + ORDER ON MOTION entered in the office of the County Clerk on March 12, 2012  | 019 | Ellen Chin court user       |
| 279   | 03/21/2012 | <a href="#">NOTICE OF ENTRY</a>                               | Notice of Entry of Decision and Order of Supreme Court, Appellate Division, First Department dated March 13, 2012   | 010 | KIERAN M CORCORAN           |
| 280   | 03/29/2012 | <a href="#">DECISION + ORDER ON MOTION</a>                    | re: motion no. 021, DECISION + ORDER ON MOTION entered in the office of the County Clerk on March 29, 2012  | 021 | Clifford Reig court user    |
| 281   | 04/02/2012 | <a href="#">REMITTITUR</a>                                    | REMITTITUR entered in the office of the County Clerk on April 02, 2012  |     | Michael Carlucci court user |
| 282   | 04/11/2012 | <a href="#">ORDER ( PROPOSED )</a>                            | [Proposed] Second Amended Scheduling Order  |     | MARK W                      |

013947

|     |            |  |   |     |   |
|-----|------------|--|---|-----|---|
| 283 | 04/12/2012 | <a href="#">ORDER - OTHER</a>                                    | OTHER ORDER entered in the office of the County Clerk on April 12, 2012   |     | RASMUSSEN<br>Vernon<br>Hutchinson<br>court user |
| 284 | 05/25/2012 | <a href="#">NOTICE OF MOTION</a>                                 | Notice of Motion to Dismiss Second Amended Complaint  | 023 | KIERAN M CORCORAN                               |
| 285 | 05/25/2012 | <a href="#">AFFIRMATION</a>                                      | Affirmation of Kieran M. Corcoran dated May 25, 2012 in Support of Motion to Dismiss Second Amended Complaint   | 023 | KIERAN M CORCORAN                               |
| 286 | 05/25/2012 | <a href="#">EXHIBIT(S)</a>                                       | Exhibits to Affirmation of Kieran M. Corcoran dated May 25, 2012  | 023 | KIERAN M CORCORAN                               |
| 287 | 05/25/2012 | <a href="#">MEMORANDUM OF LAW IN SUPPORT</a>                     | Memorandum of Law in Support of Motion to Dismiss Second Amended Complaint  | 023 | KIERAN M CORCORAN                               |
| 288 | 06/20/2012 | <a href="#">STIPULATION - ADJOURNMENT OF MOTION</a>              | Stipulation Extending Return Date of Motion to Dismiss  | 023 | KIERAN M CORCORAN                               |
| 289 | 06/29/2012 | <a href="#">NOTICE OF MOTION</a>                                 | Notice of Motion for the Issuance of a Commission for the Deposition of Michael Colvin  | 024 | MARK W RASMUSSEN                                |
| 290 | 06/29/2012 | <a href="#">AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION</a>    | Rasmussen Affirmation in Support of Motion for the Issuance of a Commission for the Deposition of Michael Colvin  | 024 | MARK W RASMUSSEN                                |
| 291 | 06/29/2012 | <a href="#">EXHIBIT(S)</a>                                       | Exhibit to Rasmussen Affirmation  | 024 | MARK W RASMUSSEN                                |
| 292 | 07/05/2012 | <a href="#">STIPULATION - ADJOURNMENT OF MOTION</a>              | Stipulation Extending Return Date of Motion to Dismiss  | 023 | MARK W RASMUSSEN                                |
| 293 | 07/05/2012 | <a href="#">AFFIDAVIT OR AFFIRMATION IN OPPOSITION TO MOTION</a> | Affirmation of Kieran M. Corcoran in Opposition to Motion for Commission  | 024 | KIERAN M CORCORAN                               |
| 294 | 07/06/2012 | <a href="#">AFFIDAVIT OR AFFIRMATION IN REPLY</a>                | Reply Affirmation of Rasmussen in Further Support of Motion for the Issuance of a Commission for the Deposition of Colvin   | 024 | MARK W RASMUSSEN                                |
| 295 | 07/11/2012 | <a href="#">ORDER - INTERIM</a>                                  | Re: Motion #024, INTERIM DECISION/ORDER entered in the office of the County Clerk on July 11, 2012  | 024 | Vernon<br>Hutchinson<br>court user              |
| 296 | 07/12/2012 | <a href="#">ORDER ( PROPOSED )</a>                               | Proposed Third Amended Scheduling Order   |     | MARK W RASMUSSEN                                |
| 297 | 07/13/2012 | <a href="#">MEMORANDUM OF LAW IN OPPOSITION</a>                  | Plaintiffs' Memorandum of Law in Opposition to Motion to Dismiss of Defendants Highland Credit Strategies Master Fund, L.P., And Highland Crusader Offshore Partners, L.P. [Redacted]     | 023 | ANDREW BRIAN CLUBOK                             |
| 298 | 07/13/2012 | <a href="#">AFFIDAVIT OR AFFIRMATION IN OPPOSITION TO MOTION</a> | Affirmation of Mark W. Rasmussen [Redacted]   | 023 | MARK W RASMUSSEN                                |
| 299 | 07/13/2012 | <a href="#">EXHIBIT(S)</a>                                       | Exhibits 1 - 3 to Affirmation of Mark W. Rasmussen [Redacted]   | 023 | MARK W RASMUSSEN                                |
| 300 | 07/16/2012 | <a href="#">ORDER - OTHER</a>                                    | OTHER ORDER entered in the office of the County Clerk on July 16, 2012  |     | Vernon<br>Hutchinson<br>court user              |
| 301 | 07/23/2012 | <a href="#">DECISION + ORDER ON MOTION</a>                       | re: motion no. 024, DECISION + ORDER ON MOTION entered in the office of the County Clerk on July 23, 2012   | 024 | Gregory<br>Gonsieski<br>court user              |
| 302 | 08/06/2012 | <a href="#">MEMORANDUM OF LAW IN REPLY</a>                       | Reply Memorandum of Law in Support of Motion to Dismiss   | 023 | KIERAN M CORCORAN                               |
| 303 | 12/05/2012 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>                 | Joint letter to Justice Friedman, pursuant to Commercial Division Rule 24(c)  |     | ANDREW BRIAN CLUBOK                             |
| 304 | 12/13/2012 | <a href="#">TRANSCRIPT OF PROCEEDINGS</a>                        | Transcript of 12.12.2012 Hearing on Motions to Dismiss  |     | KIERAN M CORCORAN                               |
| 305 | 01/24/2013 | <a href="#">ORDER ( PROPOSED )</a>                               | Fourth Amended Scheduling Order   |     | ANDREW BRIAN CLUBOK                             |
| 306 | 02/05/2013 | <a href="#">ORDER - OTHER</a>                                    | OTHER ORDER entered in the office of the County Clerk on February 5, 2013   |     | Debora F<br>Baker<br>court user                 |
| 307 | 02/27/2013 | <a href="#">TRANSCRIPT OF PROCEEDINGS</a>                        | 12.11.2012 Transcript of Status Conference  |     | KIERAN M CORCORAN                               |
| 308 | 06/10/2013 | <a href="#">ORDER ( PROPOSED )</a>                               | --none--  |     | KIERAN M CORCORAN                               |
| 309 | 07/02/2013 | <a href="#">OTHER COURT FILED DOCUMENT</a>                       | AMENDED SCHEDULING ORDER dated 6/28/13 entered in the office of the County Clerk on July 2, 2013  |     | Debora F<br>Baker<br>court user                 |
| 310 | 07/23/2013 | <a href="#">CONSENT TO CHANGE ATTORNEY (POST RJJ)</a>            | --none--  |     | ANDREW J MELNICK                                |
| 311 | 08/02/2013 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>                 | Letter to Justice Friedman pursuant to Commerical Division Rule 24(c) [Redacted]  |     | ANDREW BRIAN CLUBOK                             |
| 312 | 08/16/2013 | <a href="#">AFFIRMATION</a>                                      | Affirmation of Notification   |     | JEFFREY GREG LANDIS                             |
| 313 | 08/19/2013 | <a href="#">ORDER TO SHOW CAUSE ( PROPOSED )</a>                 | Order to Show Cause for Entry of an Order Under N.Y. Debtor and Creditor Law Section 279 and for Entry of a Temporary Restraining Order to Restrain Defendants from Transferring Property | 025 | ANDREW BRIAN CLUBOK                             |

|     |            |   |  |     |                                |
|-----|------------|---|--|-----|--------------------------------|
| 314 | 08/19/2013 | <u>AFFIDAVIT OR AFFIRMATION IN SUPPORT</u>              | Affidavits of Jeffrey Landis in Support of Plaintiffs' Order to Show Cause for Entry of an Order Under N.Y. Debtor and Creditor Law Section 279 and for Entry of a Temporary Restraining Order to Restrain Defendants from Transferring Property | 025 | ANDREW BRIAN CLUBOK            |
| 315 | 08/19/2013 | <u>MEMORANDUM OF LAW IN SUPPORT</u>                     | Plaintiffs' Memorandum of Law in Support of Order to Show Cause for Entry of an Order Under N.Y. Debtor and Creditor Law Section 279 and for Entry of a Temporary Restraining Order to Restrain Defendants from Transferring Property            | 025 | ANDREW BRIAN CLUBOK            |
| 316 | 08/20/2013 | <u>ORDER TO SHOW CAUSE</u>                              | re: motion no. 025, ORDER TO SHOW CAUSE entered in the office of the County Clerk on August 20, 2013   | 025 | Jane Phelan<br>court user      |
| 317 | 08/21/2013 | <u>ORDER TO SHOW CAUSE</u>                              | re: motion no. 025, ORDER TO SHOW CAUSE entered in the office of the County Clerk on August 21, 2013   | 025 | Edward Kvarantan<br>court user |
| 318 | 08/22/2013 | <u>AFFIRMATION/AFFIDAVIT OF SERVICE</u>                 | Affidavits of Service of signed Order to Show Cause and all supporting papers  | 025 | ANDREW BRIAN CLUBOK            |
| 319 | 08/27/2013 | <u>STIPULATION - OTHER - (REQUEST TO SO ORDER.)</u>     | Stipulation re: Order to Show Cause [Redacted]   | 025 | JEFFREY GREG LANDIS            |
| 320 | 09/03/2013 | <u>NOTE OF ISSUE:WITHOUT JURY</u>                       | Note of Issue  |     | ANDREW BRIAN CLUBOK            |
| 321 | 09/04/2013 | <u>TRANSCRIPT OF PROCEEDINGS</u>                        | Redacted Transcript of the 8/19/13 Hearing regarding Order to Show Cause seeking a TRO   | 025 | ANDREW BRIAN CLUBOK            |
| 322 | 09/06/2013 | <u>MEMORANDUM OF LAW IN OPPOSITION</u>                  | --none--   | 025 | KIERAN M CORCORAN              |
| 323 | 09/06/2013 | <u>AFFIDAVIT OR AFFIRMATION IN OPPOSITION TO MOTION</u> | --none--   | 025 | KIERAN M CORCORAN              |
| 324 | 09/06/2013 | <u>AFFIDAVIT OR AFFIRMATION IN OPPOSITION TO MOTION</u> | --none--   | 025 | KIERAN M CORCORAN              |
| 325 | 09/10/2013 | <u>DEMAND FOR JURY TRIAL (N.O.I. PREVIOUSLY PAID)</u>   | --none--   |     | KIERAN M CORCORAN              |
| 326 | 09/13/2013 | <u>MEMORANDUM OF LAW IN REPLY</u>                       | Plaintiffs' Reply Memorandum of Law in Support of Order to Show Cause and Temporary Restraining Order [Redacted]   | 025 | ANDREW BRIAN CLUBOK            |
| 327 | 09/13/2013 | <u>AFFIDAVIT OR AFFIRMATION IN REPLY</u>                | Second Affirmation of Jeffrey Landis in Support of Plaintiffs' Order to Show Cause and Temporary Restraining Order [Redacted]  | 025 | JEFFREY GREG LANDIS            |
| 328 | 09/23/2013 | <u>LETTER / CORRESPONDENCE TO JUDGE</u>                 | --none--   | 025 | KIERAN M CORCORAN              |
| 329 | 09/26/2013 | <u>STIPULATION - OTHER - (REQUEST TO SO ORDER.)</u>     | --none--   |     | KIERAN M CORCORAN              |
| 330 | 10/02/2013 | <u>TRANSCRIPT OF PROCEEDINGS</u>                        | --none--   | 025 | KIERAN M CORCORAN              |
| 331 | 10/07/2013 | <u>STIPULATION - SO ORDERED</u>                         | SO ORDERED STIPULATION entered in the office of the County Clerk on October 7, 2013  |     | Debora F Baker<br>court user   |
| 332 | 10/17/2013 | <u>NOTICE OF MOTION</u>                                 | --none--   | 026 | KIERAN M CORCORAN              |
| 333 | 10/17/2013 | <u>AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION</u>    | --none--   | 026 | KIERAN M CORCORAN              |
| 334 | 10/17/2013 | <u>AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION</u>    | --none--   | 026 | KIERAN M CORCORAN              |
| 335 | 10/17/2013 | <u>AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION</u>    | --none--   | 026 | KIERAN M CORCORAN              |
| 336 | 10/17/2013 | <u>MEMORANDUM OF LAW IN SUPPORT</u>                     | --none--   | 026 | KIERAN M CORCORAN              |
| 337 | 10/17/2013 | <u>STATEMENT OF MATERIAL FACTS</u>                      | --none--   | 026 | KIERAN M CORCORAN              |
| 338 | 10/17/2013 | <u>NOTICE OF MOTION</u>                                 | --none--   | 027 | KIERAN M CORCORAN              |
| 339 | 10/17/2013 | <u>AFFIDAVIT OR AFFIRMATION IN SUPPORT</u>              | --none--   | 027 | KIERAN M CORCORAN              |
| 340 | 10/17/2013 | <u>AFFIDAVIT OR AFFIRMATION IN SUPPORT</u>              | --none--   | 027 | KIERAN M CORCORAN              |
| 341 | 10/17/2013 | <u>MEMORANDUM OF LAW IN SUPPORT</u>                     | --none--   | 027 | KIERAN M CORCORAN              |
| 342 | 10/17/2013 | <u>STATEMENT OF MATERIAL FACTS</u>                      | --none--   | 027 | KIERAN M CORCORAN              |
| 343 | 11/12/2013 | <u>LETTER / CORRESPONDENCE TO JUDGE</u>                 | --none--   |     | KIERAN M CORCORAN              |
| 344 | 11/12/2013 | <u>TRANSCRIPT OF PROCEEDINGS</u>                        | Transcript of telephone conference held before Justice Friedman on November 8, 2013  |     | ANDREW BRIAN CLUBOK            |

|     |            |  |   |     |                              |
|-----|------------|--|---|-----|------------------------------|
| 345 | 11/22/2013 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>                 | Letter  |     | ANDREW BRIAN CLUBOK          |
| 346 | 11/22/2013 | <a href="#">MEMORANDUM OF LAW IN OPPOSITION</a>                  | Plaintiffs' Memorandum of Law In Opposition to Defendants Highland Capital Management, L.P., Highland Financial Partners, L.P., Highland Credit Strategies Master Fund, L.P., Highland Crusader Offshore Partners, L.P., Highland Credit Opportunities CDO, L.P | 026 | ANDREW BRIAN CLUBOK          |
| 347 | 11/22/2013 | <a href="#">AFFIDAVIT OR AFFIRMATION IN OPPOSITION TO MOTION</a> | Affirmation of Jeffrey Landis in Support of Plaintiffs' Memo of Law In Opposition to Defendants HFP, et al.   | 026 | ANDREW BRIAN CLUBOK          |
| 348 | 11/22/2013 | <a href="#">MEMORANDUM OF LAW IN OPPOSITION</a>                  | Plaintiffs' Memorandum of Law in Opposition to Defendants Highland CDO Opportunity Master Fund and Highland Special Opportunities Holding Company's Motion for Summary Judgment   | 027 | ANDREW BRIAN CLUBOK          |
| 349 | 11/22/2013 | <a href="#">AFFIDAVIT OR AFFIRMATION IN OPPOSITION TO MOTION</a> | Affirmation of Jeffrey Landis in Support of Plaintiffs' Memo of Law in Opposition to Defendants Fund's Motion for Summary Judgment  | 027 | ANDREW BRIAN CLUBOK          |
| 350 | 11/25/2013 | <a href="#">OTHER COURT FILED DOCUMENT</a>                       | OTHER COURT FILED DOCUMENT entered in the office of the County Clerk on November 25, 2013   |     | Debora F Baker<br>court user |
| 351 | 11/25/2013 | <a href="#">DECISION + ORDER ON MOTION</a>                       | re: motion no. 023, DECISION + ORDER ON MOTION entered in the office of the County Clerk on November 25, 2013   | 023 | Clifford Reig<br>court user  |
| 352 | 11/25/2013 | <a href="#">DECISION + ORDER ON MOTION</a>                       | re: motion no. 025, DECISION + ORDER ON MOTION entered in the office of the County Clerk on November 25, 2013   | 025 | Clifford Reig<br>court user  |
| 353 | 11/25/2013 | <a href="#">NOTICE OF ENTRY</a>                                  | Notice of Entry of Amended Decision & Order - Motion Sequence 23  | 023 | ANDREW BRIAN CLUBOK          |
| 354 | 11/25/2013 | <a href="#">NOTICE OF ENTRY</a>                                  | Notice of Entry of Amended Decision & Order - Motion Sequence 25  | 025 | ANDREW BRIAN CLUBOK          |
| 355 | 11/25/2013 | <a href="#">NOTICE OF APPEAL</a>                                 | --none--  | 025 | ANDREW BRIAN CLUBOK          |
| 356 | 11/25/2013 | <a href="#">PRE-ARGUMENT STATEMENT</a>                           | --none--  | 025 | ANDREW BRIAN CLUBOK          |
| 357 | 11/27/2013 | <a href="#">AFFIRMATION/AFFIDAVIT OF SERVICE</a>                 | Affirmation of Service of Opposition to Motion for Summary Judgment   |     | MICHAEL ALEXANDER ONUFER     |
| 358 | 11/27/2013 | <a href="#">AFFIRMATION/AFFIDAVIT OF SERVICE</a>                 | Affirmation of Service of Notice of Appeal and Pre-argument Statement   |     | DAVID EDWARD MYRE            |
| 359 | 12/06/2013 | <a href="#">NOTICE OF CROSS APPEAL</a>                           | Notice of Cross Appeal of Highland Credit Strategies Master Fund, L.P. and Highland Crusader Offshore Partners, L.P.  | 023 | KIERAN M CORCORAN            |
| 360 | 12/06/2013 | <a href="#">PRE-ARGUMENT STATEMENT</a>                           | Pre-Argument Statement of Highland Credit Strategies Master Fund, L.P. and Highland Crusader Offshore Partners, L.P.  | 023 | KIERAN M CORCORAN            |
| 361 | 12/11/2013 | <a href="#">MEMORANDUM OF LAW IN REPLY</a>                       | Reply Memorandum of Law of Defendants   | 026 | KIERAN M CORCORAN            |
| 362 | 12/11/2013 | <a href="#">AFFIDAVIT OR AFFIRMATION IN SUPPORT</a>              | Affirmation of Kieran M. Corcoran in Support of Reply Memorandum of Law   | 026 | KIERAN M CORCORAN            |
| 363 | 12/11/2013 | <a href="#">AFFIDAVIT OR AFFIRMATION IN REPLY</a>                | Reply Affidavit of Clifford Stoops  | 026 | KIERAN M CORCORAN            |
| 364 | 12/11/2013 | <a href="#">MEMORANDUM OF LAW IN REPLY</a>                       | Reply Memorandum of Law of Defendants in Support of Summary Judgment  | 027 | KIERAN M CORCORAN            |
| 365 | 12/11/2013 | <a href="#">AFFIDAVIT OR AFFIRMATION IN SUPPORT</a>              | Affirmation of Kieran M. Corcoran in Support of Reply Memorandum of Law   | 027 | KIERAN M CORCORAN            |
| 366 | 12/11/2013 | <a href="#">AFFIDAVIT OR AFFIRMATION IN REPLY</a>                | Reply Affidavit of Clifford Stoops  | 027 | KIERAN M CORCORAN            |
| 367 | 01/30/2014 | <a href="#">NOTICE OF ENTRY</a>                                  | Notice of Entry of Decision and Order of the Appellate Division, First Department entered on January 30, 2014   |     | ANDREW BRIAN CLUBOK          |
| 368 | 03/06/2014 | <a href="#">TRANSCRIPT OF PROCEEDINGS</a>                        | Feb. 14, 2014 Hearing Transcript  | 026 | ANDREW BRIAN CLUBOK          |
| 369 | 03/06/2014 | <a href="#">TRANSCRIPT OF PROCEEDINGS</a>                        | Feb. 14, 2014 Hearing Transcript  | 027 | ANDREW BRIAN CLUBOK          |
| 370 | 10/22/2014 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>                 | --none--  | 026 | KIERAN M CORCORAN            |
| 371 | 10/22/2014 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>                 | --none--  | 027 | KIERAN M CORCORAN            |
| 372 | 10/27/2014 | <a href="#">ORDER - INTERIM</a>                                  | Re: Motion #026, INTERIM DECISION/ORDER entered in the office of the County Clerk on October 27, 2014   | 026 | Debora F Baker<br>court user |
| 373 | 10/27/2014 | <a href="#">ORDER - INTERIM</a>                                  | Re: Motion #027, INTERIM DECISION/ORDER entered   | 027 | Debora F                     |

013950

|     |            |   |   |     |  |
|-----|------------|---|---|-----|--|
|     |            |   | In the office of the County Clerk on October 27, 2014   |     | court user<br>Julie<br>Guadalupe<br>court user |
| 374 | 11/21/2014 | <a href="#">STIPULATION - SO ORDERED</a>                | SO ORDERED STIPULATION entered in the office of the County Clerk on November 21, 2014           |     |  |
| 375 | 12/22/2014 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>        | --none--  | 026 | KIERAN M CORCORAN                              |
| 376 | 12/22/2014 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>        | --none--  | 027 | KIERAN M CORCORAN                              |
| 377 | 01/16/2015 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>        | --none--  | 026 | KIERAN M CORCORAN                              |
| 378 | 01/16/2015 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>        | --none--  | 027 | KIERAN M CORCORAN                              |
| 379 | 02/19/2015 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>        | --none--  | 026 | KIERAN M CORCORAN                              |
| 380 | 02/19/2015 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>        | --none--  | 027 | KIERAN M CORCORAN                              |
| 381 | 02/20/2015 | <a href="#">LETTER/CORRESPONDENCE - SO ORDERED</a>      | SO ORDERED LETTER/CORRESPONDENCE entered in the office of the County Clerk on February 20, 2015 |     | Debora F<br>Baker<br>court user                |
| 382 | 03/05/2015 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>        | --none--  | 026 | KIERAN M CORCORAN                              |
| 383 | 03/05/2015 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>        | --none--  | 027 | KIERAN M CORCORAN                              |
| 384 | 03/25/2015 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>        | --none--  | 026 | KIERAN M CORCORAN                              |
| 385 | 03/25/2015 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>        | --none--  | 027 | KIERAN M CORCORAN                              |
| 386 | 04/08/2015 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>        | --none--  | 026 | KIERAN M CORCORAN                              |
| 387 | 04/08/2015 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>        | --none--  | 027 | KIERAN M CORCORAN                              |
| 388 | 04/20/2015 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>        | --none--  | 026 | KIERAN M CORCORAN                              |
| 389 | 04/20/2015 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>        | --none--  | 027 | KIERAN M CORCORAN                              |
| 390 | 05/01/2015 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>        | --none--  | 026 | KIERAN M CORCORAN                              |
| 391 | 05/01/2015 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>        | --none--  | 027 | KIERAN M CORCORAN                              |
| 392 | 05/08/2015 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>        | --none--  | 026 | KIERAN M CORCORAN                              |
| 393 | 05/08/2015 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>        | --none--  | 027 | KIERAN M CORCORAN                              |
| 394 | 06/08/2015 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>        | --none--  | 026 | KIERAN M CORCORAN                              |
| 395 | 06/08/2015 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>        | --none--  | 027 | KIERAN M CORCORAN                              |
| 396 | 07/13/2015 | <a href="#">STIPULATION - DISCONTINUANCE (POST RJI)</a> | Stipulation of Discontinuance with Respect to Highland Credit Strategies Master Fund, L.P.      |     | ANDREW<br>BRIAN<br>CLUBOK                      |
| 397 | 07/21/2015 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>        | re status update  |     | ANDREW<br>BRIAN<br>CLUBOK                      |
| 398 | 01/07/2016 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>        | --none--  |     | ANDREW<br>BRIAN<br>CLUBOK                      |
| 399 | 01/07/2016 | <a href="#">STIPULATION - DISCONTINUANCE (POST RJI)</a> | Stipulation of Discontinuance with respect to Highland Crusader Offshore Partners, LP           |     | ANDREW<br>BRIAN<br>CLUBOK                      |
| 400 | 01/27/2016 | <a href="#">REMITTITUR</a>                              | --none--  |     | Matthew<br>Corkett<br>court user               |

013951



|     |            |   |  |     |                              |
|-----|------------|---|--|-----|------------------------------|
| 401 | 02/01/2017 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>              | Letter from Clubok to Justice Friedman attaching Citibank decision   |     | ANDREW BRIAN CLUBOK          |
| 402 | 01/26/2017 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>              | Letter from A. Clubok to Justice Friedman re motion for preference   |     | ANDREW BRIAN CLUBOK          |
| 403 | 02/01/2017 | <a href="#">LETTER/CORRESPONDENCE - SO ORDERED</a>            | --none--   |     | Debora F Baker<br>court user |
| 404 | 02/15/2017 | <a href="#">NOTICE OF MOTION</a>                              | Notice of Motion for a Trial Preference  | 028 | ANDREW BRIAN CLUBOK          |
| 405 | 02/15/2017 | <a href="#">AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION</a> | Affirmation of Carrie J. Bodner in Support of Plaintiffs' Motion for a Trial Preference with Exhibits                                    | 028 | ANDREW BRIAN CLUBOK          |
| 406 | 02/15/2017 | <a href="#">MEMORANDUM OF LAW IN SUPPORT</a>                  | Plaintiffs' Memorandum of Law in Support of Motion for Trial Preference Pursuant to CPLR 3403  | 028 | ANDREW BRIAN CLUBOK          |
| 407 | 02/16/2017 | <a href="#">AFFIRMATION/AFFIDAVIT OF SERVICE</a>              | M. Onufer's Affirmation of Service   | 028 | MICHAEL ALEXANDER ONUFER     |
| 408 | 02/24/2017 | <a href="#">MEMORANDUM OF LAW IN OPPOSITION</a>               | --none--   | 028 | KIERAN M CORCORAN            |
| 409 | 02/24/2017 | <a href="#">AFFIDAVIT OR AFFIRMATION IN SUPPORT</a>           | Affirmation of Kieran M. Corcoran in Support of Defendants' Memorandum of Law in Opposition to Plaintiffs' Motion for a Trial Preference | 028 | KIERAN M CORCORAN            |
| 410 | 02/24/2017 | <a href="#">AFFIRMATION/AFFIDAVIT OF SERVICE</a>              | --none--   | 028 | KIERAN M CORCORAN            |
| 411 | 03/24/2017 | <a href="#">DECISION + ORDER ON MOTION</a>                    | --none--   | 026 | Ellen Apple<br>court user    |
| 412 | 03/24/2017 | <a href="#">DECISION + ORDER ON MOTION</a>                    | --none--   | 027 | Ellen Apple<br>court user    |
| 413 | 03/27/2017 | <a href="#">NOTICE OF ENTRY</a>                               | Notice of Entry (Motion Seq. 026)  | 026 | ANDREW BRIAN CLUBOK          |
| 414 | 03/27/2017 | <a href="#">NOTICE OF ENTRY</a>                               | Notice of Entry (Motion Seq. 027)  | 027 | ANDREW BRIAN CLUBOK          |
| 415 | 04/07/2017 | <a href="#">DECISION + ORDER ON MOTION</a>                    | --none--   | 028 | Ellen Apple<br>court user    |
| 416 | 04/13/2017 | <a href="#">NOTICE OF APPEAL</a>                              | --none--   | 026 | KIERAN M CORCORAN            |
| 417 | 04/13/2017 | <a href="#">PRE-ARGUMENT STATEMENT</a>                        | --none--   | 026 | KIERAN M CORCORAN            |
| 418 | 04/13/2017 | <a href="#">NOTICE OF APPEAL</a>                              | --none--   | 027 | KIERAN M CORCORAN            |
| 419 | 04/13/2017 | <a href="#">PRE-ARGUMENT STATEMENT</a>                        | --none--   | 027 | KIERAN M CORCORAN            |
| 420 | 04/26/2017 | <a href="#">NOTICE OF CROSS APPEAL</a>                        | Notice of Cross Appeal   | 026 | ANDREW BRIAN CLUBOK          |
| 421 | 04/26/2017 | <a href="#">PRE-ARGUMENT STATEMENT</a>                        | Plaintiffs/Cross-Appellants UBS Securities LLC and UBS AG, London Branch's Pre-Argument Statement with Exhibit A                         | 026 | ANDREW BRIAN CLUBOK          |
| 422 | 04/26/2017 | <a href="#">NOTICE OF MOTION</a>                              | Notice of Motion   | 029 | ANDREW BRIAN CLUBOK          |
| 423 | 04/26/2017 | <a href="#">MEMORANDUM OF LAW IN SUPPORT</a>                  | Memorandum of Law in Support of Plaintiffs' Motion for Leave to Reargue  | 029 | ANDREW BRIAN CLUBOK          |
| 424 | 05/05/2017 | <a href="#">MEMORANDUM OF LAW IN OPPOSITION</a>               | --none--   | 029 | KIERAN M CORCORAN            |
| 425 | 05/11/2017 | <a href="#">MEMORANDUM OF LAW IN REPLY</a>                    | Reply Memorandum of Law in Support of Plaintiffs' Motion for Leave to Reargue [REDACTED]   | 029 | ANDREW BRIAN CLUBOK          |
| 426 | 05/12/2017 | <a href="#">NOTICE OF APPEARANCE (POST RJ1)</a>               | --none--   |     | DEBORAH DEITSCH-PEREZ        |
| 427 | 05/22/2017 | <a href="#">NOTICE OF MOTION</a>                              | --none--   | 030 | KIERAN M CORCORAN            |
| 428 | 05/22/2017 | <a href="#">MEMORANDUM OF LAW IN SUPPORT</a>                  | --none--   | 030 | KIERAN M CORCORAN            |
| 429 | 05/22/2017 | <a href="#">NOTICE OF MOTION</a>                              | Notice of Plaintiffs' Omnibus Motion in Limine   | 031 | ANDREW BRIAN CLUBOK          |
| 430 | 05/22/2017 | <a href="#">MEMORANDUM OF LAW IN SUPPORT</a>                  | Plaintiffs' Memorandum of Law in Support of their Omnibus Motion in Limine [Redacted]  | 031 | ANDREW BRIAN CLUBOK          |
| 431 | 05/22/2017 | <a href="#">AFFIDAVIT OR AFFIRMATION IN SUPPORT</a>           | Affirmation of Carrie J. Bodner in Support of Plaintiffs' Omnibus Motion in Limine   | 031 | ANDREW BRIAN CLUBOK          |
| 432 | 06/02/2017 | <a href="#">STIPULATION - OTHER - (REQUEST TO SO ORDER)</a>   | Stipulation Consenting to Counsel's Pro Hac Vice Application   |     | KIERAN M CORCORAN            |

013952

|     |            |   |   |     |                               |
|-----|------------|---|---|-----|-------------------------------|
| 433 | 06/02/2017 | <a href="#">AFFIRMATION</a>                                   | Affirmation of Kieran M. Corcoran in Support of Admitting Counsel Pro Hac Vice                |     | KIERAN M CORCORAN             |
| 434 | 06/02/2017 | <a href="#">AFFIDAVIT</a>                                     | Affidavit of Jan Michal Zapendowski in Support of Application to Admit Counsel Pro Hac Vice   |     | KIERAN M CORCORAN             |
| 435 | 06/02/2017 | <a href="#">AFFIDAVIT</a>                                     | Affidavit of Lawrence L. Budner in Support of Application to Admit Counsel Pro Hac Vice       |     | KIERAN M CORCORAN             |
| 436 | 06/02/2017 | <a href="#">AFFIDAVIT</a>                                     | Affidavit of Charles Jeffrey Price in Support of Application to Admit Counsel Pro Hac Vice    |     | KIERAN M CORCORAN             |
| 437 | 06/02/2017 | <a href="#">ORDER ( PROPOSED )</a>                            | --none--  |     | KIERAN M CORCORAN             |
| 438 | 06/13/2017 | <a href="#">MEMORANDUM OF LAW IN OPPOSITION</a>               | Please disregard Motion 30. Document #438 pertains to Motion #31.                             | 030 | KIERAN M CORCORAN             |
| 439 | 06/13/2017 | <a href="#">AFFIDAVIT OR AFFIRMATION IN SUPPORT</a>           | Please disregard Motion 30. Document #439 pertains to Motion #31.                             | 030 | KIERAN M CORCORAN             |
| 440 | 06/13/2017 | <a href="#">MEMORANDUM OF LAW IN OPPOSITION</a>               | Plaintiffs' Opposition to Defendants' Motion in Limine  | 030 | ANDREW BRIAN CLUBOK           |
| 441 | 06/13/2017 | <a href="#">AFFIDAVIT OR AFFIRMATION IN SUPPORT</a>           | Affirmation of C. Bodner in Support of Plaintiffs' Opposition to Defendants' Motion in Limine | 030 | ANDREW BRIAN CLUBOK           |
| 442 | 06/16/2017 | <a href="#">ORDER - OTHER</a>                                 | Pro Hac Vice - Lackey Hershman, LLP   |     | Debora F Baker<br>court user  |
| 443 | 07/05/2017 | <a href="#">TRANSCRIPT OF PROCEEDINGS</a>                     | June 27, 2017 Pre-Trial Conference Transcript   |     | ANDREW BRIAN CLUBOK           |
| 444 | 09/20/2017 | <a href="#">DECISION + ORDER ON MOTION</a>                    | --none--  | 029 | Ellen Apple<br>court user     |
| 445 | 09/21/2017 | <a href="#">NOTICE OF ENTRY</a>                               | Notice of Entry of Order Entered on 9/20/17   | 029 | ANDREW BRIAN CLUBOK           |
| 446 | 10/18/2017 | <a href="#">NOTICE OF APPEAL</a>                              | --none--  | 029 | KIERAN M CORCORAN             |
| 447 | 10/18/2017 | <a href="#">PRE-ARGUMENT STATEMENT</a>                        | --none--  | 029 | KIERAN M CORCORAN             |
| 448 | 11/24/2017 | <a href="#">REMITTITUR</a>                                    | --none--  |     | Janaud Miller<br>court user   |
| 449 | 01/12/2018 | <a href="#">DECISION + ORDER ON MOTION</a>                    | --none--  | 031 | Carol Ann Boyce<br>court user |
| 450 | 01/12/2018 | <a href="#">DECISION + ORDER ON MOTION</a>                    | --none--  | 030 | Kim Martin<br>court user      |
| 451 | 02/08/2018 | <a href="#">CONSENT TO CHANGE ATTORNEY (POST RJJ)</a>         | --none--  |     | GAYLE R KLEIN                 |
| 452 | 03/08/2018 | <a href="#">EXPARTE ORDER (PROPOSED)</a>                      | Order to Show Cause Admitting Counsel Pro Hac Vice  | 032 | GAYLE R KLEIN                 |
| 453 | 03/08/2018 | <a href="#">AFFIRMATION</a>                                   | Affirmation of Gayle R. Klein in Support of Admission Pro Hac Vice                            | 032 | GAYLE R KLEIN                 |
| 454 | 03/08/2018 | <a href="#">EXHIBIT(S)</a>                                    | Ex. 1 Affirmation of Gayle R. Klein in Support of Admission Pro Hac Vice                      | 032 | GAYLE R KLEIN                 |
| 455 | 03/08/2018 | <a href="#">EXHIBIT(S)</a>                                    | Ex. 2 Affirmation of Gayle R. Klein in Support of Admission Pro Hac Vice                      | 032 | GAYLE R KLEIN                 |
| 456 | 03/08/2018 | <a href="#">EXHIBIT(S)</a>                                    | Ex. 3 Affirmation of Gayle R. Klein in Support of Admission Pro Hac Vice                      | 032 | GAYLE R KLEIN                 |
| 457 | 03/08/2018 | <a href="#">STIPULATION - OTHER</a>                           | Stipulation Consenting to Pro Hac Vice Admission  | 032 | GAYLE R KLEIN                 |
| 458 | 03/12/2018 | <a href="#">DECISION + ORDER ON MOTION</a>                    | --none--  | 032 | Ellen Apple<br>court user     |
| 459 | 03/13/2018 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>              | Joint letter regarding June 4 trial   |     | GAYLE R KLEIN                 |
| 460 | 03/21/2018 | <a href="#">NOTICE OF ENTRY</a>                               | Notice of Entry   |     | ANDREW BRIAN CLUBOK           |
| 461 | 03/21/2018 | <a href="#">NOTICE OF ENTRY</a>                               | Notice of Entry   | 026 | ANDREW BRIAN CLUBOK           |
| 462 | 03/21/2018 | <a href="#">NOTICE OF ENTRY</a>                               | Notice of Entry   | 027 | ANDREW BRIAN CLUBOK           |
| 463 | 04/17/2018 | <a href="#">NOTICE OF MOTION</a>                              | --none--  | 033 | ANDREW BRIAN CLUBOK           |
| 464 | 04/17/2018 | <a href="#">AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION</a> | Affirmation of Andrew B. Clubok   | 033 | ANDREW BRIAN CLUBOK           |
| 465 | 04/17/2018 | <a href="#">AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION</a> | Affidavit of Elizabeth Deeley   | 033 | ANDREW BRIAN CLUBOK           |
| 466 | 04/17/2018 | <a href="#">EXHIBIT(S)</a>                                    | Certificate of Good Standing  | 033 | ANDREW BRIAN CLUBOK           |
| 467 | 04/17/2018 | <a href="#">STIPULATION - OTHER</a>                           | Stipulation Consenting to Pro Hac Vice Admission  | 032 | ANDREW BRIAN CLUBOK           |

013953

|     |            |  |  |     |                                     |
|-----|------------|--|--|-----|-------------------------------------|
| 468 | 04/17/2018 | <a href="#">ORDER ( PROPOSED )</a>               | (Proposed) Order Admitting Attorney Pro Hac Vice   | 033 | BRIAN CLUBOK<br>ANDREW BRIAN CLUBOK |
| 469 | 04/18/2018 | <a href="#">MEMORANDUM</a>                       | Defendants Memorandum of Law in Support of a Single Trial  |     | GAYLE R KLEIN                       |
| 470 | 04/18/2018 | <a href="#">EXHIBIT(S)</a>                       | Ex. A to Defendants Memorandum of Law in Support of a Single Trial                                 |     | GAYLE R KLEIN                       |
| 471 | 04/18/2018 | <a href="#">EXHIBIT(S)</a>                       | Ex. B to Defendants Memorandum of Law in Support of a Single Trial                                 |     | GAYLE R KLEIN                       |
| 472 | 04/18/2018 | <a href="#">PRE-TRIAL MEMORANDUM</a>             | Plaintiffs' Pre-Trial Brief in Support of Bifurcation of Trial                                     |     | ANDREW BRIAN CLUBOK                 |
| 473 | 04/18/2018 | <a href="#">AFFIRMATION</a>                      | Affirmation of Michael A. Onufer in Support of Plaintiffs Brief in Support of Bifurcation of Trial |     | ANDREW BRIAN CLUBOK                 |
| 474 | 04/18/2018 | <a href="#">EXHIBIT(S)</a>                       | Restructured Engagement Ltr.   |     | ANDREW BRIAN CLUBOK                 |
| 475 | 04/18/2018 | <a href="#">EXHIBIT(S)</a>                       | Restructured Cash Warehouse Agmt.  |     | ANDREW BRIAN CLUBOK                 |
| 476 | 04/18/2018 | <a href="#">EXHIBIT(S)</a>                       | Restructured Synthetic Warehouse Agmt.   |     | ANDREW BRIAN CLUBOK                 |
| 477 | 04/18/2018 | <a href="#">EXHIBIT(S)</a>                       | Confidential Pursuant to Protective Order  |     | ANDREW BRIAN CLUBOK                 |
| 478 | 04/18/2018 | <a href="#">EXHIBIT(S)</a>                       | Confidential Pursuant to Protective Order  |     | ANDREW BRIAN CLUBOK                 |
| 479 | 04/18/2018 | <a href="#">EXHIBIT(S)</a>                       | Confidential Pursuant to Protective Order  |     | ANDREW BRIAN CLUBOK                 |
| 480 | 04/18/2018 | <a href="#">EXHIBIT(S)</a>                       | 12/03/2008 Notice of Failed Collateral Deposit   |     | ANDREW BRIAN CLUBOK                 |
| 481 | 04/18/2018 | <a href="#">EXHIBIT(S)</a>                       | Confidential Pursuant to Protective Order  |     | ANDREW BRIAN CLUBOK                 |
| 482 | 04/18/2018 | <a href="#">EXHIBIT(S)</a>                       | 12/19/2008 Demand for Payment  |     | ANDREW BRIAN CLUBOK                 |
| 483 | 04/18/2018 | <a href="#">EXHIBIT(S)</a>                       | 12/19/2008 Demand for Payment  |     | ANDREW BRIAN CLUBOK                 |
| 484 | 04/18/2018 | <a href="#">EXHIBIT(S)</a>                       | Second Amended Complaint   |     | ANDREW BRIAN CLUBOK                 |
| 485 | 04/18/2018 | <a href="#">EXHIBIT(S)</a>                       | UBS v. HCM 2010 Complaint  |     | ANDREW BRIAN CLUBOK                 |
| 486 | 04/18/2018 | <a href="#">EXHIBIT(S)</a>                       | HCM Amended Answer & Counterclaims   |     | ANDREW BRIAN CLUBOK                 |
| 487 | 04/18/2018 | <a href="#">EXHIBIT(S)</a>                       | 11/10/2014 Corcoran Letter   |     | ANDREW BRIAN CLUBOK                 |
| 488 | 04/18/2018 | <a href="#">EXHIBIT(S)</a>                       | 11/13/2014 Clubok Letter   |     | ANDREW BRIAN CLUBOK                 |
| 489 | 04/18/2018 | <a href="#">EXHIBIT(S)</a>                       | 06/27/2017 Pretrial Conf. Tr.  |     | ANDREW BRIAN CLUBOK                 |
| 490 | 04/18/2018 | <a href="#">EXHIBIT(S)</a>                       | 10/31/2017 1st Dept Decision   |     | ANDREW BRIAN CLUBOK                 |
| 491 | 04/18/2018 | <a href="#">EXHIBIT(S)</a>                       | 03/15/2018 1st Dept Decision   |     | ANDREW BRIAN CLUBOK                 |
| 492 | 04/18/2018 | <a href="#">EXHIBIT(S)</a>                       | 03/13/2008 Joint Letter to J. Friedman   |     | ANDREW BRIAN CLUBOK                 |
| 493 | 04/18/2018 | <a href="#">AFFIRMATION/AFFIDAVIT OF SERVICE</a> | --none--   |     | ANDREW BRIAN CLUBOK                 |
| 494 | 05/04/2018 | <a href="#">TRANSCRIPT OF PROCEEDINGS</a>        | --none--   |     | ANDREW BRIAN CLUBOK                 |
| 495 | 05/04/2018 | <a href="#">EXHIBIT(S)</a>                       | Errata Sheet   |     | ANDREW BRIAN CLUBOK                 |

013954

|     |            |  |  |     |                               |
|-----|------------|--|--|-----|-------------------------------|
| 496 | 05/09/2018 | <a href="#">JOHN / CORRESPONDENCE TO JUDGE</a> | Joint Letter to Justice Friedman re: Pretrial Briefing and Schedule                        |     | ANDREW BRIAN CLUBOK           |
| 497 | 05/21/2018 | <a href="#">DECISION + ORDER ON MOTION</a>     | --none--   | 033 | Celia Rodriguez<br>court user |
| 498 | 06/04/2018 | <a href="#">PRE-TRIAL MEMORANDUM</a>           | Pre-Trial Brief  |     | GAYLE R KLEIN                 |
| 499 | 06/04/2018 | <a href="#">AFFIRMATION</a>                    | Klein Affirmation  |     | GAYLE R KLEIN                 |
| 500 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                     | UBS Annual Report  |     | GAYLE R KLEIN                 |
| 501 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                     | UBS May 18 Email   |     | GAYLE R KLEIN                 |
| 502 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                     | Proposed 2nd Amended Answer  |     | GAYLE R KLEIN                 |
| 503 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                     | UBS May 31 Email   |     | GAYLE R KLEIN                 |
| 504 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                     | Dudney Rebuttal Report   |     | GAYLE R KLEIN                 |
| 505 | 06/04/2018 | <a href="#">PRE-TRIAL MEMORANDUM</a>           | Plaintiffs' Pre-Trial Brief on Legal Issues  |     | ANDREW BRIAN CLUBOK           |
| 506 | 06/04/2018 | <a href="#">AFFIRMATION</a>                    | Affirmation of Michael A. Onufer in Support of Plaintiffs' Pre-Trial Brief on Legal Issues |     | ANDREW BRIAN CLUBOK           |
| 507 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                     | Engagement Letter  |     | ANDREW BRIAN CLUBOK           |
| 508 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                     | Cash Warehouse Agmt.   |     | ANDREW BRIAN CLUBOK           |
| 509 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                     | Synthetic Warehouse Agmt.  |     | ANDREW BRIAN CLUBOK           |
| 510 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                     | Confidential Pursuant to Protective Order  |     | ANDREW BRIAN CLUBOK           |
| 511 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                     | 5-1-2018 Telephonic Conference Transcript  |     | ANDREW BRIAN CLUBOK           |
| 512 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                     | Credit Default Swaps   |     | ANDREW BRIAN CLUBOK           |
| 513 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                     | AIG in Hindsight   |     | ANDREW BRIAN CLUBOK           |
| 514 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                     | Bloomberg Screen Shots   |     | ANDREW BRIAN CLUBOK           |
| 515 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                     | Confidential Pursuant to Protective Order  |     | ANDREW BRIAN CLUBOK           |
| 516 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                     | Confidential Pursuant to Protective Order  |     | ANDREW BRIAN CLUBOK           |
| 517 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                     | Confidential Pursuant to Protective Order  |     | ANDREW BRIAN CLUBOK           |
| 518 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                     | Confidential Pursuant to Protective Order  |     | ANDREW BRIAN CLUBOK           |
| 519 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                     | Confidential Pursuant to Protective Order  |     | ANDREW BRIAN CLUBOK           |
| 520 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                     | Confidential Pursuant to Protective Order  |     | ANDREW BRIAN CLUBOK           |
| 521 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                     | Confidential Pursuant to Protective Order  |     | ANDREW BRIAN CLUBOK           |
| 522 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                     | Confidential Pursuant to Protective Order  |     | ANDREW BRIAN CLUBOK           |
| 523 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                     | Original Synthetic Warehouse Agmt.   |     | ANDREW BRIAN CLUBOK           |
| 524 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                     | Confidential Pursuant to Protective Order  |     | ANDREW BRIAN CLUBOK           |
| 525 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                     | Confidential Pursuant to Protective Order  |     | ANDREW BRIAN CLUBOK           |

|     |            |  |   |                         |
|-----|------------|--|---|-------------------------|
| 526 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                       | Confidential Pursuant to Protective Order   | ANDREW BRIAN CLUBOK     |
| 527 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                       | Confidential Pursuant to Protective Order   | ANDREW BRIAN CLUBOK     |
| 528 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                       | Confidential Pursuant to Protective Order   | ANDREW BRIAN CLUBOK     |
| 529 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                       | Confidential Pursuant to Protective Order   | ANDREW BRIAN CLUBOK     |
| 530 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                       | Confidential Pursuant to Protective Order   | ANDREW BRIAN CLUBOK     |
| 531 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                       | Confidential Pursuant to Protective Order   | ANDREW BRIAN CLUBOK     |
| 532 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                       | UBS-00006056-57   | ANDREW BRIAN CLUBOK     |
| 533 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                       | Confidential Pursuant to Protective Order   | ANDREW BRIAN CLUBOK     |
| 534 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                       | Confidential Pursuant to Protective Order   | ANDREW BRIAN CLUBOK     |
| 535 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                       | Confidential Pursuant to Protective Order   | ANDREW BRIAN CLUBOK     |
| 536 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                       | Confidential Pursuant to Protective Order   | ANDREW BRIAN CLUBOK     |
| 537 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                       | Confidential Pursuant to Protective Order   | ANDREW BRIAN CLUBOK     |
| 538 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                       | Confidential Pursuant to Protective Order   | ANDREW BRIAN CLUBOK     |
| 539 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                       | Orbis Company Report  | ANDREW BRIAN CLUBOK     |
| 540 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                       | Confidential Pursuant to Protective Order   | ANDREW BRIAN CLUBOK     |
| 541 | 06/04/2018 | <a href="#">EXHIBIT(S)</a>                       | Confidential Pursuant to Protective Order   | ANDREW BRIAN CLUBOK     |
| 542 | 06/08/2018 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a> | June 8, 2018 Joint Letter   | ANDREW BRIAN CLUBOK     |
| 543 | 06/08/2018 | <a href="#">EXHIBIT(S)</a>                       | 6/8/18 Exhibit  | ANDREW BRIAN CLUBOK     |
| 544 | 06/11/2018 | <a href="#">NOTICE OF APPEARANCE (POST RJ)</a>   | Notice of Appearance of Alysha M. Naik  | ALYSHA M. NAIK          |
| 545 | 06/11/2018 | <a href="#">NOTICE OF APPEARANCE (POST RJ)</a>   | Notice of Appearance of Kuangyan Huang  | KUANGYAN HUANG          |
| 546 | 06/12/2018 | <a href="#">NOTICE OF APPEARANCE (POST RJ)</a>   | Notice of Appearance Jillian M. Raines  | JILLIAN MICHELE RAINES  |
| 547 | 06/12/2018 | <a href="#">NOTICE OF APPEARANCE (POST RJ)</a>   | Notice of Appearance John C. Briody   | JOHN CHRISTOPHER BRIODY |
| 548 | 06/12/2018 | <a href="#">NOTICE OF APPEARANCE (POST RJ)</a>   | Notice of Appearance Elizabeth V. Curran  | ELIZABETH CURRAN        |
| 549 | 06/12/2018 | <a href="#">ORDER ( PROPOSED )</a>               | [Proposed] Agreed Order Regarding Pretrial Evidence   | GAYLE R KLEIN           |
| 550 | 06/19/2018 | <a href="#">TRANSCRIPT OF PROCEEDINGS</a>        | Transcript of pre-trial conference held before Justice Friedman on June 12, 2018                        | ANDREW BRIAN CLUBOK     |
| 551 | 06/20/2018 | <a href="#">PRE-TRIAL MEMORANDUM</a>             | Plaintiffs' Pre-Trial Response Brief on Legal Issues  | ANDREW BRIAN CLUBOK     |
| 552 | 06/20/2018 | <a href="#">AFFIRMATION</a>                      | Supplemental Affirmation of Michael A. Onufer in Support of Plaintiffs' Pre-Trial Brief on Legal Issues | ANDREW BRIAN CLUBOK     |
| 553 | 06/20/2018 | <a href="#">EXHIBIT(S)</a>                       | Confidential Pursuant to Protective Order   | ANDREW BRIAN CLUBOK     |
| 554 | 06/20/2018 | <a href="#">EXHIBIT(S)</a>                       | Confidential Pursuant to Protective Order   | ANDREW BRIAN CLUBOK     |

013956



|     |            |   |   |     |                               |
|-----|------------|---|---|-----|-------------------------------|
| 555 | 06/20/2018 | <a href="#">EXHIBIT(S)</a>                                    | Confidential Pursuant to Protective Order                                       |     | ANDREW BRIAN CLUBOK           |
| 556 | 06/20/2018 | <a href="#">EXHIBIT(S)</a>                                    | Confidential Pursuant to Protective Order                                       |     | ANDREW BRIAN CLUBOK           |
| 557 | 06/20/2018 | <a href="#">EXHIBIT(S)</a>                                    | Confidential Pursuant to Protective Order                                       |     | ANDREW BRIAN CLUBOK           |
| 558 | 06/20/2018 | <a href="#">EXHIBIT(S)</a>                                    | Confidential Pursuant to Protective Order                                       |     | ANDREW BRIAN CLUBOK           |
| 559 | 06/20/2018 | <a href="#">EXHIBIT(S)</a>                                    | Confidential Pursuant to Protective Order                                       |     | ANDREW BRIAN CLUBOK           |
| 560 | 06/20/2018 | <a href="#">EXHIBIT(S)</a>                                    | Confidential Pursuant to Protective Order                                       |     | ANDREW BRIAN CLUBOK           |
| 561 | 06/20/2018 | <a href="#">PRE-TRIAL MEMORANDUM</a>                          | Defendants Supplemental Phase I Pre-Trial Brief                                 |     | GAYLE R KLEIN                 |
| 562 | 06/20/2018 | <a href="#">AFFIRMATION</a>                                   | Klein Affirmation in Support of Defendants Supplemental Phase I Pre-Trial Brief |     | GAYLE R KLEIN                 |
| 563 | 06/20/2018 | <a href="#">EXHIBIT(S)</a>                                    | UBS email January 8, 2008   |     | GAYLE R KLEIN                 |
| 564 | 06/20/2018 | <a href="#">EXHIBIT(S)</a>                                    | Transcript excerpts   |     | GAYLE R KLEIN                 |
| 565 | 06/20/2018 | <a href="#">EXHIBIT(S)</a>                                    | UBS form  |     | GAYLE R KLEIN                 |
| 566 | 06/20/2018 | <a href="#">EXHIBIT(S)</a>                                    | UBS email March 28, 2008  |     | GAYLE R KLEIN                 |
| 567 | 06/20/2018 | <a href="#">EXHIBIT(S)</a>                                    | UBS email March 14, 2008  |     | GAYLE R KLEIN                 |
| 568 | 06/20/2018 | <a href="#">EXHIBIT(S)</a>                                    | Expert Report   |     | GAYLE R KLEIN                 |
| 569 | 06/20/2018 | <a href="#">EXHIBIT(S)</a>                                    | OBrien Obituary   |     | GAYLE R KLEIN                 |
| 570 | 06/20/2018 | <a href="#">EXHIBIT(S)</a>                                    | Expert Report   |     | GAYLE R KLEIN                 |
| 571 | 06/20/2018 | <a href="#">EXHIBIT(S)</a>                                    | Archer Daniels v. AON   |     | GAYLE R KLEIN                 |
| 572 | 06/29/2018 | <a href="#">NOTICE OF APPEARANCE (POST RJ)</a>                | Notice of Appearance  |     | SUSAN E. ENGEL                |
| 573 | 07/06/2018 | <a href="#">NOTICE OF MOTION</a>                              | --none--  | 034 | ANDREW BRIAN CLUBOK           |
| 574 | 07/06/2018 | <a href="#">AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION</a> | Affirmation of Andrew B. Clubok   | 034 | ANDREW BRIAN CLUBOK           |
| 575 | 07/06/2018 | <a href="#">AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION</a> | Affidavit of Robbie J. Norton   | 034 | ANDREW BRIAN CLUBOK           |
| 576 | 07/06/2018 | <a href="#">EXHIBIT(S)</a>                                    | Certificates of Good Standing   | 034 | ANDREW BRIAN CLUBOK           |
| 577 | 07/06/2018 | <a href="#">STIPULATION - OTHER</a>                           | Stipulation Consenting to Pro Hac Vice Admission                                | 034 | ANDREW BRIAN CLUBOK           |
| 578 | 07/06/2018 | <a href="#">ORDER ( PROPOSED )</a>                            | (Proposed) Order Admitting Attorney Pro Hac Vice                                | 034 | ANDREW BRIAN CLUBOK           |
| 579 | 07/12/2018 | <a href="#">EX PARTE ORDER (PROPOSED)</a>                     | Order to Show Cause Admitting Counsel Pro Hac Vice                              | 035 | GAYLE R KLEIN                 |
| 580 | 07/12/2018 | <a href="#">AFFIRMATION</a>                                   | Affirmation of Gayle R. Klein in Support of Admission Pro Hac Vice              | 035 | GAYLE R KLEIN                 |
| 581 | 07/12/2018 | <a href="#">EXHIBIT(S)</a>                                    | Ex. 1 Affirmation of Gayle R. Klein in Support of Admission Pro Hac Vice        | 035 | GAYLE R KLEIN                 |
| 582 | 07/12/2018 | <a href="#">STIPULATION - OTHER</a>                           | Stipulation Consenting to Pro Hac Vice Admission                                | 035 | GAYLE R KLEIN                 |
| 583 | 07/26/2018 | <a href="#">DECISION + ORDER ON MOTION</a>                    | --none--  | 035 | Celia Rodriguez<br>court user |
| 584 | 07/26/2018 | <a href="#">DECISION + ORDER ON MOTION</a>                    | --none--  | 034 | Celia Rodriguez<br>court user |
| 585 | 08/16/2018 | <a href="#">NOTICE OF ENTRY</a>                               | --none--  | 026 | ANDREW BRIAN CLUBOK           |
| 586 | 08/16/2018 | <a href="#">NOTICE OF ENTRY</a>                               | --none--  | 027 | ANDREW BRIAN CLUBOK           |
| 587 | 10/03/2018 | <a href="#">STIPULATION - OTHER - ( REQUEST TO SO ORDER )</a> | Stipulation and [Proposed] Order  |     | ANDREW BRIAN CLUBOK           |

013957

|     |            |   |  |     |                              |
|-----|------------|---|--|-----|------------------------------|
| 588 | 10/04/2018 | <u>STIPULATION - OTHER</u>                                    | --none--   |     | Whitmarsh<br>court user      |
| 589 | 10/09/2018 | <u>STIPULATION - OTHER</u>                                    | Stipulation & Proposed Order re Briefing Schedule  |     | GAYLE R<br>KLEIN             |
| 590 | 10/10/2018 | <u>FINDINGS OF<br/>FACT/CONCLUSIONS OF<br/>LAW (PROPOSED)</u> | --none--   |     | ANDREW<br>BRIAN<br>CLUBOK    |
| 591 | 10/10/2018 | <u>MEMORANDUM</u>   | Plaintiffs' Post-Trial Brief on Legal Issues (Redacted)  |     | ANDREW<br>BRIAN<br>CLUBOK    |
| 592 | 10/10/2018 | <u>MEMORANDUM</u>   | Post Trial Memorandum  |     | GAYLE R<br>KLEIN             |
| 593 | 10/10/2018 | <u>AFFIRMATION</u>  | Klein Affirmation in Support Post Trial Memorandum   |     | GAYLE R<br>KLEIN             |
| 594 | 10/10/2018 | <u>EXHIBIT(S)</u>   | Ex. 1 Klein Affirmation in Support Post Trial<br>Memorandum  |     | GAYLE R<br>KLEIN             |
| 595 | 10/10/2018 | <u>EXHIBIT(S)</u>   | Ex. 2 Klein Affirmation in Support Post Trial<br>Memorandum  |     | GAYLE R<br>KLEIN             |
| 596 | 10/10/2018 | <u>EXHIBIT(S)</u>   | Ex. 3 Klein Affirmation in Support Post Trial<br>Memorandum  |     | GAYLE R<br>KLEIN             |
| 597 | 10/10/2018 | <u>FINDINGS OF<br/>FACT/CONCLUSIONS OF<br/>LAW (PROPOSED)</u> | The Fund Counterparties' and Highland Capital<br>Management, L.P.'s Proposed Findings of Fact and<br>Conclusions of Law                    |     | GAYLE R<br>KLEIN             |
| 598 | 10/11/2018 | <u>TRANSCRIPT OF<br/>PROCEEDINGS</u>                          | Transcript of Trial held on July 9, 2018   |     | ANDREW<br>BRIAN<br>CLUBOK    |
| 599 | 10/11/2018 | <u>TRANSCRIPT OF<br/>PROCEEDINGS</u>                          | Transcript of Trial held on July 10, 2018  |     | ANDREW<br>BRIAN<br>CLUBOK    |
| 600 | 10/11/2018 | <u>TRANSCRIPT OF<br/>PROCEEDINGS</u>                          | Transcript of Trial held on July 11, 2018  |     | ANDREW<br>BRIAN<br>CLUBOK    |
| 601 | 10/11/2018 | <u>TRANSCRIPT OF<br/>PROCEEDINGS</u>                          | Transcript of Trial held on July 12, 2018  |     | ANDREW<br>BRIAN<br>CLUBOK    |
| 602 | 10/11/2018 | <u>TRANSCRIPT OF<br/>PROCEEDINGS</u>                          | Transcript of Trial held on July 13, 2018  |     | ANDREW<br>BRIAN<br>CLUBOK    |
| 603 | 10/11/2018 | <u>TRANSCRIPT OF<br/>PROCEEDINGS</u>                          | Transcript of Trial held on July 16, 2018  |     | ANDREW<br>BRIAN<br>CLUBOK    |
| 604 | 10/11/2018 | <u>TRANSCRIPT OF<br/>PROCEEDINGS</u>                          | Transcript of Trial held on July 17, 2018  |     | ANDREW<br>BRIAN<br>CLUBOK    |
| 605 | 10/11/2018 | <u>TRANSCRIPT OF<br/>PROCEEDINGS</u>                          | Transcript of Trial held on July 18, 2018  |     | ANDREW<br>BRIAN<br>CLUBOK    |
| 606 | 10/11/2018 | <u>TRANSCRIPT OF<br/>PROCEEDINGS</u>                          | Transcript of Trial held on July 19, 2018  |     | ANDREW<br>BRIAN<br>CLUBOK    |
| 607 | 10/11/2018 | <u>TRANSCRIPT OF<br/>PROCEEDINGS</u>                          | Transcript of Trial held on July 20, 2018  |     | ANDREW<br>BRIAN<br>CLUBOK    |
| 608 | 10/11/2018 | <u>TRANSCRIPT OF<br/>PROCEEDINGS</u>                          | Transcript of Trial held on July 25, 2018  |     | ANDREW<br>BRIAN<br>CLUBOK    |
| 609 | 10/11/2018 | <u>TRANSCRIPT OF<br/>PROCEEDINGS</u>                          | Transcript of Trial held on July 26, 2018  |     | ANDREW<br>BRIAN<br>CLUBOK    |
| 610 | 10/11/2018 | <u>TRANSCRIPT OF<br/>PROCEEDINGS</u>                          | Transcript of Trial held on July 27, 2018  |     | ANDREW<br>BRIAN<br>CLUBOK    |
| 611 | 10/11/2018 | <u>INFORMATIONAL<br/>STATEMENT</u>                            | Errata Sheet for July 9, 2018 July 27, 2018 Trial<br>Transcripts   |     | ANDREW<br>BRIAN<br>CLUBOK    |
| 612 | 12/03/2018 | <u>NOTICE OF ENTRY</u>  | Notice of Entry  | 026 | ANDREW<br>BRIAN<br>CLUBOK    |
| 613 | 12/03/2018 | <u>NOTICE OF ENTRY</u>  | Notice of Entry  | 027 | ANDREW<br>BRIAN<br>CLUBOK    |
| 614 | 12/19/2018 | <u>LETTER /<br/>CORRESPONDENCE TO<br/>JUDGE</u>               | Joint letter to Judge Friedman re Trial Exhibit Lists  |     | GAYLE R<br>KLEIN             |
| 615 | 12/19/2018 | <u>EXHIBIT(S)</u>   | Joint Trial Exhibit Lists  |     | GAYLE R<br>KLEIN             |
| 616 | 04/01/2019 | <u>CONSENT TO CHANGE<br/>ATTORNEY (POST RJJ)</u>              | Consent to Substitution of Attorney  |     | JEFFREY E<br>GROSS           |
| 617 | 06/06/2019 | <u>NOTICE OF MOTION</u>                                       | Notice of Motion for an Order granting Defendants<br>Judgment During Trial on the Fifth Cause of Action in<br>the Second Amended Complaint | 036 | ANGELA<br>JENNIFER<br>SOMERS |
| 618 | 06/06/2019 | <u>MEMORANDUM OF LAW IN</u>                                   | Memorandum of Law in Support of Motion for an Order  | 036 | ANGELA                       |

013958

|     |            |  |  |     |                                |
|-----|------------|--|--|-----|--------------------------------|
|     |            | <u>SUPPORT</u>   | granting Defendants Judgment during Trial on the Fifth Cause of Action in the Second Amended Complaint |     | JENNIFER SOMERS                |
| 619 | 06/06/2019 | <u>AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION</u>                       | Affirmation of Angela J. Somers in Support of Motion   | 036 | ANGELA JENNIFER SOMERS         |
| 620 | 06/06/2019 | <u>EXHIBIT(S)</u>  | Confidential   | 036 | ANGELA JENNIFER SOMERS         |
| 621 | 06/06/2019 | <u>EXHIBIT(S)</u>  | Confidential   | 036 | ANGELA JENNIFER SOMERS         |
| 622 | 06/06/2019 | <u>EXHIBIT(S)</u>  | Confidential   | 036 | ANGELA JENNIFER SOMERS         |
| 623 | 06/06/2019 | <u>EXHIBIT(S)</u>  | Confidential   | 036 | ANGELA JENNIFER SOMERS         |
| 624 | 06/06/2019 | <u>EXHIBIT(S)</u>  | Confidential   | 036 | ANGELA JENNIFER SOMERS         |
| 625 | 06/06/2019 | <u>EXHIBIT(S)</u>  | Confidential   | 036 | ANGELA JENNIFER SOMERS         |
| 626 | 06/06/2019 | <u>EXHIBIT(S)</u>  | Original Synthetic Warehouse   | 036 | ANGELA JENNIFER SOMERS         |
| 627 | 06/06/2019 | <u>EXHIBIT(S)</u>  | Original Cash Warehouse  | 036 | ANGELA JENNIFER SOMERS         |
| 628 | 06/06/2019 | <u>EXHIBIT(S)</u>  | Original LOE   | 036 | ANGELA JENNIFER SOMERS         |
| 629 | 06/06/2019 | <u>EXHIBIT(S)</u>  | Synthetic Warehouse Agreement  | 036 | ANGELA JENNIFER SOMERS         |
| 630 | 06/06/2019 | <u>EXHIBIT(S)</u>  | 2008 Cash Warehouse Agreement  | 036 | ANGELA JENNIFER SOMERS         |
| 631 | 06/06/2019 | <u>EXHIBIT(S)</u>  | March 2008 LOE   | 036 | ANGELA JENNIFER SOMERS         |
| 632 | 06/06/2019 | <u>EXHIBIT(S)</u>  | Trial Excerpts   | 036 | ANGELA JENNIFER SOMERS         |
| 633 | 06/06/2019 | <u>EXHIBIT(S)</u>  | Confidential   | 036 | ANGELA JENNIFER SOMERS         |
| 634 | 06/06/2019 | <u>EXHIBIT(S)</u>  | Confidential   | 036 | ANGELA JENNIFER SOMERS         |
| 635 | 06/06/2019 | <u>EXHIBIT(S)</u>  | Confidential   | 036 | ANGELA JENNIFER SOMERS         |
| 636 | 06/06/2019 | <u>AFFIRMATION/AFFIDAVIT OF SERVICE</u>                                    | --none--   | 036 | ANGELA JENNIFER SOMERS         |
| 637 | 07/03/2019 | <u>STIPULATION - WITHDRAWING MOTION/ORDER TO SHOW CAUSE - BEFORE JUDGE</u> | Stipulation Withdrawing Motion to be So Ordered  | 036 | ANGELA JENNIFER SOMERS         |
| 638 | 07/18/2019 | <u>DECISION + ORDER ON MOTION</u>  | --none--   | 036 | Tiffani Stevens<br>court user  |
| 639 | 10/11/2019 | <u>CONSENT TO CHANGE ATTORNEY (POST RJ1)</u>                               | Notice of Withdrawal of Appearance   |     | ANDREW BRIAN CLUBOK            |
| 640 | 10/17/2019 | <u>LETTER / CORRESPONDENCE TO JUDGE</u>                                    | Letter re: bankruptcy filing   |     | ANGELA JENNIFER SOMERS         |
| 641 | 11/14/2019 | <u>ORDER - SEALING</u>   | --none--   |     | Frankie Almanzar<br>court user |
| 642 | 11/22/2019 | <u>LETTER / CORRESPONDENCE TO JUDGE</u>                                    | --none--   |     | ANDREW BRIAN CLUBOK            |
| 643 | 01/23/2020 | <u>ORDER - OTHER</u>   | --none--   |     | Arlene Moschello<br>court user |
| 644 | 02/10/2020 | <u>JUDGMENT - SIGNED BY COURT TO COUNTY CLERK</u>                          | --none--   |     | Arlene Moschello<br>court user |
| 645 | 01/24/2020 | <u>NOTICE TO COUNTY CLERK CPLR 8019(C)</u>                                 | Notice re: Court order to unseal Docket No. 641  |     | ANDREW BRIAN                   |

013959

|     |            |   |   |        |                              |
|-----|------------|---|---|--------|------------------------------|
| 646 | 02/10/2020 | <a href="#">JUDGMENT</a>                | --none--  | CLUBOK | Dawn Batts<br>court user     |
| 647 | 03/04/2020 | <a href="#">ORDER - OTHER</a>           | --none--  |        | Debora F Baker<br>court user |
| 648 | 09/14/2020 | <a href="#">NOTICE OF ENTRY</a>         | --none--  |        | ANDREW BRIAN CLUBOK          |
| 649 | 09/14/2020 | <a href="#">EXHIBIT(S)</a>              | Judgment entered 2/10/2020  |        | ANDREW BRIAN CLUBOK          |
| 650 | 09/14/2020 | <a href="#">EXHIBIT(S)</a>              | Decision and Order entered 11/14/19   |        | ANDREW BRIAN CLUBOK          |
| 651 | 12/09/2020 | <a href="#">NOTIFICATION FROM COURT</a> | Notice of Neutral Evaluation Program - Commercial Division - Supreme NY Civil |        | michael Habich<br>court user |
| 652 | 12/10/2020 | <a href="#">NOTIFICATION FROM COURT</a> | CORRECTION TO PRIOR NOTICE  |        | michael Habich<br>court user |

Close

# **EXHIBIT LLLLLLL**





## WebCivil Supreme - eFiled Documents Detail

Court: **New York Supreme Court**  
Index Number: **0650752/2010**  
Case Name: **UBS SECURITIES LLC vs. HIGHLAND CAPITAL MANAGMENT,**  
Case Type: **CD-EOTHER COMMERCIAL**  
Track: **Complex**

### Document List - Click on the document name to view the document

| Document # | Date Received/Filed | Document  | Description  | Motion # | Filing User       |
|------------|---------------------|---|--|----------|-------------------|
| 1          | 06/28/2010          | <a href="#">SUMMONS + COMPLAINT</a>   | Summons  |          | GREGORY A MARKEL  |
| 2          |                     | <a href="#">SUMMONS + COMPLAINT</a>   | Complaint  |          | GREGORY A MARKEL  |
| 2-1        | 06/28/2010          | <a href="#">EXHIBIT(S)</a>  | Exhibit A  |          | GREGORY A MARKEL  |
| 2-2        | 06/28/2010          | <a href="#">EXHIBIT(S)</a>  | Exhibit B  |          | GREGORY A MARKEL  |
| 2-3        | 06/28/2010          | <a href="#">EXHIBIT(S)</a>  | Exhibit C  |          | GREGORY A MARKEL  |
| 2-4        | 06/28/2010          | <a href="#">EXHIBIT(S)</a>  | Exhibit D  |          | GREGORY A MARKEL  |
| 2-5        | 06/28/2010          | <a href="#">EXHIBIT(S)</a>  | Exhibit E  |          | GREGORY A MARKEL  |
| 2-6        | 06/28/2010          | <a href="#">EXHIBIT(S)</a>  | Exhibit F  |          | GREGORY A MARKEL  |
| 2-7        | 06/28/2010          | <a href="#">EXHIBIT(S)</a>  | Exhibit G  |          | GREGORY A MARKEL  |
| 2-8        | 06/28/2010          | <a href="#">EXHIBIT(S)</a>  | Exhibit H  |          | GREGORY A MARKEL  |
| 2-9        | 06/28/2010          | <a href="#">EXHIBIT(S)</a>  | Exhibit I  |          | GREGORY A MARKEL  |
| 3          | 07/01/2010          | <a href="#">RJI -RE: REQUEST FOR PRELIMINARY CONFERENCE</a>                               | Request For Judicial Intervention Request for Preliminary Conference                                     |          | GREGORY A MARKEL  |
| 3-1        | 07/01/2010          | <a href="#">EXHIBIT(S)</a>  | Request for Preliminary Conference   |          | GREGORY A MARKEL  |
| 3-2        | 07/01/2010          | <a href="#">EXHIBIT(S)</a>  | Affidavit of Service   |          | GREGORY A MARKEL  |
| 4          | 07/01/2010          | <a href="#">STATEMENT IN SUPPORT OF REQUEST FOR ASSIGNMENT TO THE COMMERCIAL DIVISION</a> | Statement in Support of Request for Assignment to Commercial Division                                    |          | GREGORY A MARKEL  |
| 4-1        | 07/01/2010          | <a href="#">EXHIBIT(S)</a>  | Affidavit of Service   |          | GREGORY A MARKEL  |
| 5          | 07/19/2010          | <a href="#">NOTICE OF MOTION</a>  | Highland Capital's Notice of Motion to Dismiss the Complaint   | 001      | KIERAN M CORCORAN |
| 6          | 07/19/2010          | <a href="#">AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION</a>                             | Affirmation of K. Corcoran in Support of Highland Capital's Motion to Dismiss the Complaint              | 001      | KIERAN M CORCORAN |
| 6-1        | 07/19/2010          | <a href="#">EXHIBIT(S)</a>  | Exhibit 1 to Affirmation of K. Corcoran Highland Capital's Memorandum of Law of 2010.04.14               | 001      | KIERAN M CORCORAN |
| 6-2        | 07/19/2010          | <a href="#">EXHIBIT(S)</a>  | Exhibit 2 to Affirmation of K. Corcoran Affirmation of K. Corcoran of 2010.04.14                         | 001      | KIERAN M CORCORAN |
| 6-3        | 07/19/2010          | <a href="#">EXHIBIT(S)</a>  | Exhibits 2(A) (D) to Affirmation of K. Corcoran Exhibits A D to Affirmation of K. Corcoran of 2010.04.14 | 001      | KIERAN M CORCORAN |
| 6-4        | 07/19/2010          | <a href="#">EXHIBIT(S)</a>  | Exhibits 2(E) (Q) to Affirmation of K. Corcoran Exhibits E Q to Affirmation of K. Corcoran of 2010.04.14 | 001      | KIERAN M CORCORAN |
| 6-5        | 07/19/2010          | <a href="#">EXHIBIT(S)</a>  | Exhibit 3 to Affirmation of K. Corcoran Transcript of Proceedings of 2010.05.07                          | 001      | KIERAN M CORCORAN |
| 7          | 07/28/2010          | <a href="#">AFFIDAVIT OR AFFIRMATION IN OPPOSITION TO MOTION</a>                          | Affidavit of Gregory A. Markel in Opposition to Motion to Dismiss the Complaint                          | 001      | GREGORY A MARKEL  |
| 7-1        | 07/28/2010          | Document Deleted  |  |          |                   |
| 7-2        | 07/28/2010          | Document Deleted  |  |          |                   |
| 7-3        | 07/28/2010          | Document Deleted  |  |          |                   |
| 7-4        | 07/28/2010          | Document Deleted  |  |          |                   |

013962

|               |            |  |  |     |                              |
|---------------|------------|--|--|-----|------------------------------|
| 8             | 07/29/2010 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>                 | Letter From Gregory A. Markel to Judge Fried Re: UBS Plaintiffs' Filing of Opposition to Defendants' Motion to Dismiss   | 001 | GREGORY A MARKEL             |
| 9             | 08/06/2010 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>                 | Letter From Gregory A. Markel to Judge Fried Regarding Stipulation and Proposed Order for Appointment of Special Master  |     | GREGORY A MARKEL             |
| 10            | 08/06/2010 | <a href="#">ORDER ( PROPOSED )</a>                               | Stipulation and [Proposed] Order for Appointment of Special Master   |     | GREGORY A MARKEL             |
| 11            | 08/09/2010 | <a href="#">DECISION + ORDER ON MOTION</a>                       | MOTION entered in the office of the County Clerk on August 09, 2010  | 001 | Clifford Reig<br>court user  |
| 12            | 08/13/2010 | <a href="#">NOTICE OF ENTRY</a>                                  | Notice of Entry (Motion Sequence 001)  | 001 | KIERAN M CORCORAN            |
| 13            | 08/13/2010 | <a href="#">NOTICE OF APPEAL</a>                                 | HCMLP's Notice of Appeal   | 001 | KIERAN M CORCORAN            |
| 14            | 08/13/2010 | <a href="#">PRE-ARGUMENT STATEMENT</a>                           | HCMLP's Pre Argument Statement   | 001 | KIERAN M CORCORAN            |
| 15            | 08/13/2010 | <a href="#">AFFIRMATION/AFFIDAVIT OF SERVICE</a>                 | Affidavit of Service of Summons, Unredacted Complaint and Unredacted Exhibits Upon Highland Capital Management, L.P.   |     | JASON JURGENS                |
| 16            | 08/23/2010 | <a href="#">ANSWER</a>   | Answer of Highland Capital to the Complaint  |     | KIERAN M CORCORAN            |
| 17            | 09/13/2010 | <a href="#">NOTICE OF CROSS APPEAL</a>                           | Notice of Cross Appeal From Order Dated August 5, 2010   | 001 | GREGORY A MARKEL             |
| 18            | 09/13/2010 | <a href="#">PRE-ARGUMENT STATEMENT</a>                           | Cross Appeal Preargument Statement   | 001 | GREGORY A MARKEL             |
| 19            | 09/17/2010 | <a href="#">AFFIDAVIT OR AFFIRMATION IN OPPOSITION TO MOTION</a> | "[Redacted] Exhibit 1 and Exhibit 2 Part 1 to Affidavit of Gregory A. Markel in Opposition to Motion to Dismiss the Complaint, dated July 28, 2010 (redacted version of Document No. 7 1)" | 001 | GREGORY A MARKEL             |
| 19-1          | 09/17/2010 | <a href="#">EXHIBIT(S)</a>                                       | "[Redacted] Exhibit 2 Part 2 to Affidavit of Gregory A. Markel in Opposition to Motion to Dismiss the Complaint, dated July 28, 2010 (redacted version of Document No. 7 2)"               | 001 | GREGORY A MARKEL             |
| 19-2          | 09/17/2010 | <a href="#">EXHIBIT(S)</a>                                       | "[Redacted] Exhibit 2 Part 3 to Affidavit of Gregory A. Markel in Opposition to Motion to Dismiss the Complaint, dated July 28, 2010 (redacted version of Document No. 7 3 )"              | 001 | GREGORY A MARKEL             |
| 19-3          | 09/17/2010 | <a href="#">EXHIBIT(S)</a>                                       | "[Redacted] Exhibits 3 10 to Affidavit of Gregory A. Markel in Opposition to Motion to Dismiss the Complaint, dated July 28, 2010 (redacted version of Document No. 7 4)"                  | 001 | GREGORY A MARKEL             |
| 20            | 09/22/2010 | <a href="#">LETTER / CORRESPONDENCE TO JUDGE</a>                 | Letter From Gregory A. Markel of Cadwalader to Justice Fried Enclosing Revised Proposed Scheduling and Case Management Order   |     | GREGORY A MARKEL             |
| 20-1          | 09/22/2010 | <a href="#">EXHIBIT(S)</a>                                       | Proposed Scheduling Order  |     | GREGORY A MARKEL             |
| 21            | 09/27/2010 | <a href="#">DECISION + ORDER ON MOTION</a>                       | MOTION entered in the office of the County Clerk on September 27, 2010   | 001 | Clifford Reig<br>court user  |
| 22            | 11/04/2010 | <a href="#">NOTICE OF ENTRY</a>                                  | Notice of Entry of Order of Judge Fried Signed on 11/1/10 and Entered on 11/4/10   |     | GREGORY A MARKEL             |
| 23            | 02/07/2011 | <a href="#">NOTICE OF APPEARANCE (POST RJ)</a>                   | Notice of Appearance of Schindler Cohen & Hochman LLP  |     | EMILY ALEXANDRA POLER        |
| 24<br>PENDING | 03/22/2011 | <a href="#">NOTICE OF MOTION</a>                                 | Notice of Motion for the Issuance of a Commission  | 002 | EMILY ALEXANDRA POLER        |
| 25            | 03/22/2011 | <a href="#">AFFIRMATION</a>                                      | Affirmation of Emily A. Poler  | 002 | EMILY ALEXANDRA POLER        |
| 26            | 03/22/2011 | <a href="#">COMMISSION (PROPOSED)</a>                            | Commission to Take Deposition and for the Production of Documents  | 002 | EMILY ALEXANDRA POLER        |
| 27            | 03/22/2011 | <a href="#">ORDER ( PROPOSED )</a>                               | Proposed Order Directing the Issuance of Commission To Take Deposition and for the Production of Documents   | 002 | EMILY ALEXANDRA POLER        |
| 28            | 06/27/2011 | <a href="#">ORDER - COMMISSION</a>                               | ORDER RE: COMMISSION entered in the office of the County Clerk on June 27, 2011  |     | Matthew Koenig<br>court user |
| 29            | 06/27/2011 | <a href="#">COMMISSION</a>                                       | COMMISSION entered in the office of the County Clerk on June 27, 2011  |     | Matthew Koenig<br>court user |
| 30            | 07/06/2011 | <a href="#">DECISION + ORDER ON MOTION</a>                       | re: motion no. 002, DECISION + ORDER ON MOTION entered in the office of the County Clerk on July 06, 2011  | 002 | Ellen Chin<br>court user     |
| 31            | 08/01/2011 | <a href="#">NOTICE OF ENTRY</a>                                  | Notice of Entry of Decision and Order of Appellate Division, First Department, dated July 21, 2011   | 001 | KIERAN M CORCORAN            |
| 32            | 08/10/2011 | <a href="#">REMITTITUR</a>                                       | REMITTITUR entered in the office of the County Clerk on August 10, 2011  |     | Matthew Koenig<br>court user |
| 33            | 12/09/2020 | <a href="#">NOTIFICATION FROM COURT</a>                          | Notice of Neutral Evaluation Program - Commercial Division - Supreme NY Civil  |     | michael Habich               |

|    |            |   |                            |  |
|----|------------|---|----------------------------|--|
| 34 | 12/10/2020 | <a href="#">NOTIFICATION FROM COURT</a> | CORRECTION TO PRIOR NOTICE | <div><div>court user</div><div>michael Habich</div><div>court user</div></div> |
|----|------------|---|----------------------------|--|

Close

## **EXHIBIT MMMMMMMM**

[Click to Print](#)

Printed on: 2/1/2021 15:03:51 GMT-0500 (Eastern Standard Time)

**Case History Search**

Search Created:

2/1/2021 15:03:51 GMT-0500 (Eastern  
Standard Time)**Court:** DE Court of  
Chancery Civil  
Action**Judge:** Zurn, Morgan**File & ServeXpress** 7/5/2016**Division:**N/A**Case** 12533-VCZ**Live Date:****Document(s)** 479**Filed:****Case** Civil Action**Case** STAYED - CONF ORDER Redeemer Committee of the Highland**Date Range:** All**Type:****Name:** Crusader Fund v. Highland Capital Management, L.P.

1-100 of 232 transactions &lt;&lt;Prev Page 1 of 3 Next&gt;&gt;

| Transaction | Date/Time                     | Option            | Case Number<br>Case Name  | Authorizer<br>Organization  | #   | Document Type                            | Document Title   | Review<br>Status | Size  |
|-------------|-------------------------------|-------------------|---|---|-----|--|--|------------------|-------|
| 64528845    | 12/16/2019<br>11:52 AM<br>EST | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Morgan Zurn,<br>DE Court of<br>Chancery Civil<br>Action                           | 267 | Order                                    | Granted<br>([Proposed]<br>Order Granting<br>Motion to<br>Withdraw Jarrett<br>W. Horowitz as<br>Counsel of<br>Record for<br>Plaintiff)<br>• Linked to (1) | Accepted         | 0.2MB |
| 64522245    | 12/13/2019<br>4:18 PM<br>EST  | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Jarrett W<br>Horowitz,<br>Morris Nichols<br>Arsht &<br>Tunnell LLP-<br>Wilmington | 266 | Motion                                   | Plaintiff's Motion<br>to Withdraw<br>Jarrett W.<br>Horowitz as<br>Counsel of<br>Record with<br>Certificate of<br>Service<br>• Linked to (2)              | Accepted         | 0.1MB |
|             |                               |                   |   |   |     | Proposed Order                           | [Proposed]<br>Order Granting<br>Motion to<br>Withdraw Jarrett<br>W. Horowitz as<br>Counsel of<br>Record for<br>Plaintiff<br>• Linked from<br>(1)         | Accepted         | 0.1MB |
| 64405672    | 11/7/2019<br>4:41 PM<br>EST   | File<br>Only      | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital                        | Karen Ct<br>Reporter<br>Siedlecki,<br>DE Court of<br>Chancery Civil<br>Action     | 265 | Official Transcript<br>(Addl Fees Apply) | 10-16-19 STATUS<br>CONFERENCE<br>REGARDING<br>CROSS-<br>MOTIONS FOR<br>SUMMARY<br>JUDGMENT   | Accepted         | 0.1MB |

013966



|          |                        |                |   |  |     |                                       |   |          |       |
|----------|------------------------|----------------|---|--|-----|---------------------------------------|---|----------|-------|
|          |                        |                | Management, L.P.  |  |     |                                       |   |          |       |
| 64377790 | 11/1/2019 12:27 PM EDT | File Only      | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Dennel Niezgoda, DE Court of Chancery Civil Action | 264 | Official Transcript (Addl Fees Apply) | 10-08-19 Transcript of the Status Conference on Plaintiff's Motion for Summary Judgment   | Accepted | 0.2MB |
| 64322563 | 10/16/2019 2:23 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action     | 263 | Judicial Action Form                  | Judicial Action Form: Defendant filed for Ch. 11 Bankruptcy. Matter stayed. See Transcript. See petition for bankruptcy-attached.   | Accepted | 0.9MB |
| 64311049 | 10/11/2019 5:55 PM EDT | File Only      | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Neith Ecker, DE Court of Chancery Civil Action     | 262 | Official Transcript (Addl Fees Apply) | September 19, 2019 - Telephonic Oral Argument on Highland Capital Management's Motion for Injunction in Aid of Jurisdiction   | Accepted | 0.1MB |
| 64291984 | 10/8/2019 2:11 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action     | 261 | Minute Order                          | This confirms that today's hearing on the Cross-Motions for Summary Judgment in this matter has been rescheduled for Wednesday, October 16, 2019 at 9:15 a.m. The hearing will end promptly at 10:45 a.m. | Accepted | 0MB   |
| 64290399 | 10/8/2019 11:48 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action     | 260 | Judicial Action Form                  | Case continued to later date TBA. See transcript.   | Accepted | 0.1MB |
| 64272401 | 10/3/2019              | File           | 12533-VCZ   | Debra A  | 259 | Official Transcript                   | September 20,   | Accepted | 0.1MB |

|          |                       |                |   |  |     |                    |  |          |       |
|----------|-----------------------|----------------|---|--|-----|--------------------|--|----------|-------|
|          | 4:07 PM EDT           | Only           | STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P.           | Donnelly, DE Court of Chancery Civil Action                        |     | (Add'l Fees Apply) | 2019, RULINGS OF THE COURT ON DEFENDANT'S MOTION FOR INJUNCTION IN AID OF JURISDICTION   |          |       |
| 64246650 | 9/26/2019 4:21 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Bradley P Lehman, Cole Schotz PC                                   | 258 | Public Version     | Redacted (Public) Version of the September 19, 2019 Letter to Vice Chancellor Morgan T. Zurn from Michael F. Bonkowski Responding to Mr. Coen's September 18 Letter with Certificate of Service<br>• Linked to (1) | Accepted | 0.1MB |
| 64242259 | 9/25/2019 4:07 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Bradley P Lehman, Cole Schotz PC                                   | 257 | Public Version     | Redacted (Public) Version of Highland Capital Management, L.P.'s Reply in Support of Motion for Injunction in Aid of Jurisdiction with Certificate of Service<br>• Linked to (1)                                   | Accepted | 1.3MB |
| 64242326 | 9/25/2019 4:03 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 256 | Public Version     | [PUBLIC VERSION] Letter to Vice Chancellor Zurn from Kevin M. Coen Regarding Proceedings in Bermuda and the Cayman Islands<br>• Linked to (1)  | Accepted | 0.2MB |
| 64233315 | 9/23/2019 4:17 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Bradley P Lehman, Cole Schotz PC                                   | 255 | Public Version     | Redacted (Public) Version of Highland Capital Management, L.P.'s Motion for Injunction in Aid of Jurisdiction with Certificate of Service<br>• Linked to (1)   | Accepted | 0.1MB |
| 64233598 | 9/23/2019 4:10 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER   | Jarrett W Horowitz, Morris Nichols                                 | 252 | Public Version     | [PUBLIC VERSION] Plaintiff's   | Accepted | 0.2MB |

|          |                       |                |   |   |     |                      |   |          |       |
|----------|-----------------------|----------------|---|---|-----|----------------------|---|----------|-------|
|          |                       |                | Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P.                               | Arslit & Tunnell LLP- Wilmington                        |     |                      | Opposition to Defendant's Motion for Anti-Suit Injunction with Certificate of Service<br>• Linked to (1)  |          |       |
|          |                       |                |   |   | 253 | Public Version       | [PUBLIC VERSION] Declaration of David James Lee with Certificate of Service<br>• Linked to (1)  | Accepted | 2.7MB |
|          |                       |                |   |   | 254 | Public Version       | [PUBLIC VERSION] Declaration of John Symon Wasty with Certificate of Service<br>• Linked to (1)   | Accepted | 1.9MB |
| 64227111 | 9/20/2019 4:23 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Register in Chancery, DE Court of Chancery Civil Action | 251 | Judicial Action Form | Telephonic Oral Argument on Highland Capital Management's Motion for Injunction in Aid of Jurisdiction. Chambers will call by end of the week with Vice Chancellor's ruling | Accepted | 0.1MB |
| 64228592 | 9/20/2019 3:33 PM EDT | File Only      | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Register in Chancery, DE Court of Chancery Civil Action | 250 | Judicial Action Form | Bench Ruling was heard before VC Zurn 9.20.2019 on the Motion for Injunction in Aid of Jurisdiction, and it was Denied.   | Accepted | 0.1MB |
| 64225065 | 9/19/2019 5:24 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Bradley P Lehman, Cole Schotz PC                        | 249 | Letter               | [CONFIDENTIAL FILING] Letter from Michael Bonkowski to the Honorable Morgan T. Zurn responding to Mr. Coen's September 18 Letter<br>• Linked to (1)<br>• Linked from (1)    | Accepted | 0.1MB |
|          |                       |                |   |   |     | Exhibits             | [CONFIDENTIAL FILING] Appleby August 13 Ex  | Accepted | 0.3MB |

|          |                        |                |   |  |     |                                |  |          |       |
|----------|------------------------|----------------|---|--|-----|--------------------------------|--|----------|-------|
|          |                        |                |   |  |     |                                | Parte Hearing Note   |          |       |
| 64224766 | 9/19/2019 4:26 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action                     | 248 | Order                          | This confirms that a teleconference will be held in this matter tomorrow, Friday, September 20, 2019 at 9:15 a.m. Counsel has circulated dial-in information and will contact Chambers once all parties are on the line.   | Accepted | 0MB   |
| 64220974 | 9/19/2019 8:51 AM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action                     | 247 | Order - Pro Hac Vice           | Granted (Hellman, Matthew S.: [Proposed] Order Granting Pro Hac Vice Admission to represent Plaintiff Redeemer Committee of the Highland Crusader Fund) <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>    | Accepted | 0.2MB |
| 64220775 | 9/18/2019 11:45 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 246 | Motion for Pro Hac Vice        | Hellman, Matthew S.: Motion for Pro Hac Vice Admission to represent Plaintiff Redeemer Committee of the Highland Crusader Fund with Certificate of Service <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul> | Accepted | 0.1MB |
|          |                        |                |   |  |     | Certification for Pro Hac Vice | Hellman, Matthew S.: Certification to Motion for Pro Hac Vice Admission to represent Plaintiff Redeemer Committee of the Highland Crusader Fund  | Accepted | 0.1MB |
|          |                        |                |   |  |     | Proposed Order - Pro Hac Vice  | Hellman, Matthew S.: [Proposed] Order Granting   | Accepted | 0.1MB |

Case 3:21-cv-00538-N Document 26-53 Filed 06/09/21 Page 44 of 254 PageID 16928

|          |                        |                |   |  |     |          |   |          |       |
|----------|------------------------|----------------|---|--|-----|----------|---|----------|-------|
|          |                        |                |   |  |     |          | Pro Hac Vice Admission to represent Plaintiff Redeemer Committee of the Highland Crusader Fund <ul style="list-style-type: none"><li>• Linked from (1)</li></ul>  |          |       |
| 64217249 | 9/18/2019 11:53 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Michael F Bonkowski, Cole Schotz PC                                | 245 | Reply    | Highland Capital Management, L.P.'s Reply in Support of Motion for Injunction in Aid of Jurisdiction with Certificate of Service [FILED UNDER SEAL] <ul style="list-style-type: none"><li>• Linked to (2)</li><li>• Linked from (1)</li></ul> | Accepted | 1.3MB |
|          |                        |                |   |  |     | Exhibits | Exhibits A and B to Highland Capital Management, L.P.'s Reply in Support of Motion for Injunction in Aid of Jurisdiction with Certificate of Service [FILED UNDER SEAL]   | Accepted | 3.1MB |
| 64216364 | 9/18/2019 9:42 AM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 244 | Letter   | [CONFIDENTIAL FILING] Letter to Vice Chancellor Zurn from Kevin M. Coen Regarding Proceedings in Bermuda and the Cayman Islands <ul style="list-style-type: none"><li>• Linked to (1)</li><li>• Linked from (2)</li></ul>                     | Accepted | 0.1MB |
|          |                        |                |   |  |     | Exhibits | [CONFIDENTIAL FILING] Exhibits A-D to Letter to Vice Chancellor Zurn from Kevin M. Coen Regarding Proceedings in Bermuda and the Cayman Islands   | Accepted | 1.7MB |
| 64208849 | 9/16/2019 3:02 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER   | Morgan Zurn, DE Court of   | 243 | Letter   | Letter to Counsel confirming a telephonic   | Accepted | 0.1MB |



|          |                        |                |   |  |     |                        |   |          |        |
|----------|------------------------|----------------|---|--|-----|------------------------|---|----------|--------|
|          |                        |                | Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P.                               | Chancery Civil Action  |     |                        | Hearing on the Motion for Injunction in Aid of Jurisdiction has been scheduled in this matter for Thursday, September 19, 2019 at 9:15 a.m.   |          |        |
| 64207158 | 9/16/2019 12:42 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 242 | Opposition             | [CONFIDENTIAL FILING] Plaintiffs Opposition to Defendant's Motion for Anti-Suit Injunction <ul style="list-style-type: none"> <li>Linked to (2)</li> <li>Linked from (2)</li> </ul> | Accepted | 0.1MB  |
|          |                        |                |   |  |     | Exhibits               | [CONFIDENTIAL FILING] Exhibits 1-11 to Plaintiffs Opposition to Defendant's Motion for Anti-Suit Injunction   | Accepted | 14.2MB |
|          |                        |                |   |  |     | Exhibits               | Exhibits 12-13 to Plaintiffs Opposition to Defendant's Motion for Anti-Suit Injunction  | Accepted | 1.8MB  |
|          |                        |                |   |  |     | Declaration            | [CONFIDENTIAL FILING] Declaration of David James Lee <ul style="list-style-type: none"> <li>Linked from (1)</li> </ul>  | Accepted | 0.5MB  |
|          |                        |                |   |  |     | Declaration            | [CONFIDENTIAL FILING] Declaration of John Symon Wasty <ul style="list-style-type: none"> <li>Linked from (1)</li> </ul>   | Accepted | 1.4MB  |
|          |                        |                |   |  |     | Certificate of Service | Certificate of Service to [CONFIDENTIAL FILING] Plaintiffs Opposition to Defendant's Motion for Anti-Suit Injunction  | Accepted | 0.1MB  |
|          |                        |                |   |  |     | Letter                 | Letter to The Honorable Morgan T. Zurn from Jarrett W. Horowitz enclosing two Chambers' copies of (1)   | Accepted | 0.1MB  |

Case 3:21-cv-00538-N Document 26-53 Filed 06/09/21 Page 46 of 254 PageID 16930

|          |                        |                |   |                                     |     |          |   |          |       |
|----------|------------------------|----------------|---|-------------------------------------|-----|----------|---|----------|-------|
|          |                        |                |   |                                     |     |          | Plaintiffs<br>Opposition to Defendant's Motion for Anti-Suit Injunction, (2) Declaration of John Symon Wasty, and (3) Declaration of David James Lee, and exhibits thereto, which were filed with the Court today |          |       |
|          |                        |                |   |                                     |     | Exhibits | Tabs 1-8 to Declaration of David James Lee  | Accepted | 3.2MB |
|          |                        |                |   |                                     |     | Exhibits | [CONFIDENTIAL FILING] Tab 9 to Declaration of David James Lee   | Accepted | 1.1MB |
|          |                        |                |   |                                     |     | Exhibits | Tabs 1-10 to Declaration of John Symon Wasty  | Accepted | 2.8MB |
|          |                        |                |   |                                     |     | Exhibits | [CONFIDENTIAL FILING] Tabs 11-12 to Declaration of John Symon Wasty   | Accepted | 1.4MB |
|          |                        |                |   |                                     |     | Exhibits | Tabs 13-14 to Declaration of John Symon Wasty   | Accepted | 1.3MB |
| 64206577 | 9/16/2019 10:59 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Michael F Bonkowski, Cole Schotz PC | 241 | Letter   | Letter to Vice Chancellor Morgan T. Zurn from Michael F. Bonkowski re: Expedited Hearing Request <ul style="list-style-type: none"><li>Linked to (2)</li></ul>  | Accepted | 0.5MB |
|          |                        |                |   |                                     |     | Exhibits | Exhibit A to Letter to Vice Chancellor Morgan T. Zurn from Michael F. Bonkowski re: Expedited Hearing Request   | Accepted | 0.1MB |
|          |                        |                |   |                                     |     | Exhibits | Exhibit B to Letter to Vice Chancellor Morgan T. Zurn from Michael F. Bonkowski re: Expedited Hearing Request (FILED UNDER SEAL)  | Accepted | 0.3MB |

|          |                             |                   |   |  |     |                       |  |          |       |
|----------|-----------------------------|-------------------|---|--|-----|-----------------------|--|----------|-------|
| 64205668 | 9/15/2019<br>8:56 PM<br>EDT | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Andrew L<br>Cole,<br>Cole Schotz<br>PC | 240 | Motion                | Highland Capital<br>Management,<br>L.P.'s Motion for<br>Injunction in Aid<br>of Jurisdiction<br>with Certificate<br>of Service [FILED<br>UNDER SEAL]<br>• Linked to (1)<br>• Linked from<br>(4)  | Accepted | 0.1MB |
|          |                             |                   |   |  |     | Exhibits              | Exhibits 1<br>through 9 to<br>Highland Capital<br>Management,<br>L.P.'s Motion for<br>Injunction in Aid<br>of Jurisdiction<br>[FILED UNDER<br>SEAL]  | Accepted | 7.2MB |
|          |                             |                   |   |  |     | Proposed Order        | [Proposed]<br>Order Granting<br>Injunction in Aid<br>of Jurisdiction   | Accepted | 0.1MB |
| 64204515 | 9/13/2019<br>4:48 PM<br>EDT | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Andrew L<br>Cole,<br>Cole Schotz<br>PC | 238 | Motion to<br>Expedite | Defendant's<br>Motion for<br>Expedited<br>Proceedings on<br>Motion for<br>Injunction in Aid<br>of Jurisdiction<br>with Certificate<br>of Service<br>• Linked to (1)<br>• Linked from<br>(1)  | Accepted | 0.1MB |
|          |                             |                   |   |  | 239 | Letter                | Letter to Vice<br>Chancellor<br>Morgan T. Zurn<br>from Andrew L.<br>Cole Enclosing<br>Copies of (1)<br>Defendant's<br>Motion for<br>Expedited<br>Proceedings on<br>Motion for<br>Injunction in Aid<br>of Jurisdiction<br>and (2) Highland<br>Capital<br>Management,<br>L.P.'s Motion for<br>Injunction in Aid<br>of Jurisdiction | Accepted | 0.1MB |
|          |                             |                   |   |  |     | Proposed Order        | [Proposed]<br>Order Granting<br>Motion to<br>Expedite<br>Proceedings   | Accepted | 0.1MB |
| 64203403 | 9/13/2019                   | File And          | 12533-VCZ   | Andrew L                               | 237 | Entry of              | Entry of   | Accepted | 1.1MB |

|          |                        |                |   |  |     |                |  |          |       |
|----------|------------------------|----------------|---|--|-----|----------------|--|----------|-------|
|          | 2:49 PM EDT            | Serve          | STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P.           | Cole, Cole Schotz PC                           |     | Appearance     | Appearance of Andrew L. Cole, of Cole Schotz P.C., as counsel for Defendant, Counterclaim and Third-Party Plaintiff Highland Capital Management, L.P. with Certificate of Service  |          |       |
| 64141691 | 8/28/2019 4:49 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action | 236 | Order          | The Committee's August 5 letter request for partial summary judgment is denied without prejudice. All outstanding requests for relief pertaining to arbitration awards will be taken under advisement after the October 8 hearing. | Accepted | OMB   |
| 64125526 | 8/23/2019 10:56 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Bradley P Lehman, Cole Schotz PC               | 235 | Public Version | Redacted (Public) Version of the August 20, 2019 Letter to Vice Chancellor Morgan T. Zurn from Bradley P. Lehman Responding to Mr. Coen's August 5 Letter to Your Honor with Certificate of Service                                | Accepted | 1.3MB |
| 64114997 | 8/20/2019 5:16 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Bradley P Lehman, Cole Schotz PC               | 234 | Letter         | Letter to Vice Chancellor Morgan T. Zurn from Bradley P. Lehman Responding to Mr. Coen's August 5 Letter to Your Honor (FILED UNDER SEAL)  | Accepted | 0.7MB |
| 64113783 | 8/20/2019              | File And       | 12533-VCZ   | Morgan Zurn,                                   | 233 | Order          | Granted with   | Accepted | 0.2MB |

013975

|          |                       |                |   |  |     |                        |   |          |       |
|----------|-----------------------|----------------|---|--|-----|------------------------|---|----------|-------|
|          | 2:27 PM EDT           | Serve          | STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P.           | DE Court of Chancery Civil Action                                  |     |                        | Modifications ([PROPOSED] Scheduling Order) <ul style="list-style-type: none"> <li>Linked to (1)</li> <li>Linked from (1)</li> </ul>  |          |       |
| 64109695 | 8/19/2019 4:46 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 231 | Public Version         | [PUBLIC VERSION] Letter to Hon. Morgan T. Zurn from Kevin M. Coen regarding Confirmation of Awards <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>  | Accepted | 0.2MB |
|          |                       |                |   |  | 232 | Public Version         | [PUBLIC VERSION] Plaintiffs [Proposed] Order and Partial Summary Judgment <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>   | Accepted | 0.2MB |
|          |                       |                |   |  |     | Certificate of Service | Certificate of Service for public versions of Letter and Proposed Order   | Accepted | 0.1MB |
| 64110312 | 8/19/2019 4:34 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 230 | Letter                 | Letter to Honorable Morgan T. Zurn from Kevin M. Coen re: proposed schedule. <ul style="list-style-type: none"> <li>Linked to (2)</li> </ul>  | Accepted | 0.1MB |
|          |                       |                |   |  |     | Proposed Order         | [PROPOSED] Scheduling Order <ul style="list-style-type: none"> <li>Linked from (1)</li> </ul>   | Accepted | 0.1MB |
| 64097143 | 8/14/2019 4:57 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Bradley P Lehman, Cole Schotz PC                                   | 229 | Public Version         | Redacted (Public) Version of Highland Capital Management, L.P.'s Reply Brief in Support of Motion for Partial Vacatur and in Opposition to Plaintiffs Motion for Summary Judgment with Certificate of Service <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul> | Accepted | 0.3MB |

|          |                             |                   |   |   |     |                |   |          |       |
|----------|-----------------------------|-------------------|---|---|-----|----------------|---|----------|-------|
| 64081443 | 8/9/2019<br>2:21 PM<br>EDT  | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Brauley P<br>Lehman,<br>Cole Schotz<br>PC   | 228 | Reply Brief    | Highland Capital<br>Management,<br>L.P.'s Reply Brief<br>in Support of<br>Motion for<br>Partial Vacatur<br>and in<br>Opposition to<br>Plaintiffs Motion<br>for Summary<br>Judgment with<br>Certificate of<br>Service (FILED<br>UNDER SEAL)<br>• Linked to (3)<br>• Linked from<br>(3)     | Accepted | 0.4MB |
| 64057950 | 8/7/2019<br>3:18 PM<br>EDT  | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Jarrett W<br>Horowitz,<br>Morris Nichols<br>Arsht &<br>Tunnell LLP-<br>Wilmington | 227 | Public Version | [PUBLIC<br>VERSION] of<br>Plaintiffs<br>Opposition to<br>Defendant's<br>Motion for<br>Partial Vacatur<br>and Reply in<br>Support of<br>Motion for<br>Summary<br>Judgment<br>Confirming<br>Arbitration<br>Awards and on<br>All Claims with<br>Certificate of<br>Service<br>• Linked to (1) | Accepted | 1.7MB |
| 64052011 | 8/5/2019<br>12:36 PM<br>EDT | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Jarrett W<br>Horowitz,<br>Morris Nichols<br>Arsht &<br>Tunnell LLP-<br>Wilmington | 226 | Letter         | [CONFIDENTIAL<br>FILING] Letter to<br>Vice Chancellor<br>Zurn from Kevin<br>M. Coen<br>regarding<br>Confirmation of<br>Awards<br>• Linked to (2)<br>• Linked from<br>(3)  | Accepted | 0.1MB |
|          |                             |                   |   |   |     | Exhibits       | [CONFIDENTIAL<br>FILING] Exhibits<br>A-E to Letter to<br>Vice Chancellor<br>Zurn from Kevin<br>M. Coen<br>regarding<br>Confirmation of<br>Awards  | Accepted | 6.1MB |
|          |                             |                   |   |   |     | Proposed Order | [CONFIDENTIAL<br>FILING]<br>[Proposed]<br>Order and Partial<br>Summary<br>Judgment  | Accepted | 0.1MB |



|          |                       |                |   |  |     |                        |  |          |       |
|----------|-----------------------|----------------|---|--|-----|------------------------|--|----------|-------|
|          |                       |                |   |  |     |                        | <ul style="list-style-type: none"> <li>• Linked from (1)</li> </ul>  |          |       |
|          |                       |                |   |  |     | Exhibits               | [CONFIDENTIAL FILING] Exhibits A-D to [Proposed] Order and Partial Summary Judgment  | Accepted | 6.0MB |
|          |                       |                |   |  |     | Exhibits               | [CONFIDENTIAL FILING] Exhibit E to [Proposed] Order and Partial Summary Judgment   | Accepted | 6.7MB |
| 63647862 | 8/1/2019 10:56 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 225 | Letter                 | Letter to Honorable Morgan T. Zurn from Jarrett W. Horowitz re: courtesy copies of (1) Plaintiffs Opposition to Defendant's Motion for Partial Vacatur and Reply in Support of Motion for Summary Judgment Confirming Final Arbitration Award and On All Claims, and (2) Transmittal Affidavit of Jarrett W. Horowitz with exhibits. <ul style="list-style-type: none"> <li>• Linked to (2)</li> </ul> | Accepted | 0.1MB |
| 63646792 | 7/31/2019 6:16 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 224 | Exhibits               | [CONFIDENTIAL FILING] Exhibit 8-10 Transmittal Affidavit of Jarrett W. Horowitz  | Accepted | 5.1MB |
|          |                       |                |   |  |     | Exhibits               | [CONFIDENTIAL FILING] Exhibit 11-16 Transmittal Affidavit of Jarrett W. Horowitz   | Accepted | 1.0MB |
|          |                       |                |   |  |     | Exhibits               | [CONFIDENTIAL FILING] Exhibit 17-20 Transmittal Affidavit of Jarrett W. Horowitz   | Accepted | 0.7MB |
|          |                       |                |   |  |     | Certificate of Service | Certificate of Service to  | Accepted | 0.1MB |

|          |                       |                |   |  |     |                        |  |          |       |
|----------|-----------------------|----------------|---|--|-----|------------------------|--|----------|-------|
|          |                       |                |   |  |     |                        | Transmittal Affidavit of Jarrett W. Horowitz   |          |       |
| 63646757 | 7/31/2019 6:10 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 223 | Exhibits               | [CONFIDENTIAL FILING] Exhibit 4 (Part 6) to Transmittal Affidavit of Jarrett W. Horowitz         | Accepted | 7.7MB |
|          |                       |                |   |  |     | Exhibits               | [CONFIDENTIAL FILING] Exhibit 4 (Part 7) to Transmittal Affidavit of Jarrett W. Horowitz         | Accepted | 7.6MB |
|          |                       |                |   |  |     | Exhibits               | [CONFIDENTIAL FILING] Exhibit 4 (Part 8) to Transmittal Affidavit of Jarrett W. Horowitz         | Accepted | 7.1MB |
|          |                       |                |   |  |     | Exhibits               | [CONFIDENTIAL FILING] Exhibit 4 (Part 9) to Transmittal Affidavit of Jarrett W. Horowitz         | Accepted | 7.6MB |
|          |                       |                |   |  |     | Exhibits               | [CONFIDENTIAL FILING] Exhibit 4 (Part 10) to Transmittal Affidavit of Jarrett W. Horowitz        | Accepted | 3.5MB |
|          |                       |                |   |  |     | Exhibits               | [CONFIDENTIAL FILING] Exhibit 5-7 to Transmittal Affidavit of Jarrett W. Horowitz                | Accepted | 0.6MB |
|          |                       |                |   |  |     | Certificate of Service | Certificate of Service to Transmittal Affidavit of Jarrett W. Horowitz                           | Accepted | 0.1MB |
| 63646551 | 7/31/2019 5:59 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland                          | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 222 | Affidavit              | Transmittal Affidavit of Jarrett W. Horowitz in Support of Plaintiff's Opposition to Defendant's | Accepted | 0.2MB |

|          |                       |                |  |  |          |             |   |          |       |
|----------|-----------------------|----------------|--|--|----------|-------------|---|----------|-------|
|          |                       |                | Capital Management, L.P.               |  |          |             | Motion for Partial Vacatur and Reply in Support of Motion for Summary Judgment Confirming Final Arbitration Award and on All Claims (with Certificate of Service) |          |       |
|          |                       |                |  |  |          |             | <ul style="list-style-type: none"> <li>Linked from (1)</li> </ul>   |          |       |
|          |                       |                |  |  | Exhibits |             | [CONFIDENTIAL FILING] Exhibits 1-3 to Transmittal Affidavit of Jarrett W. Horowitz  | Accepted | 0.9MB |
|          |                       |                |  |  | Exhibits |             | [CONFIDENTIAL FILING] Exhibit 4 (Part 1) to Transmittal Affidavit of Jarrett W. Horowitz  | Accepted | 5.4MB |
|          |                       |                |  |  | Exhibits |             | [CONFIDENTIAL FILING] Exhibit 4 (Part 2) to Transmittal Affidavit of Jarrett W. Horowitz  | Accepted | 7.9MB |
|          |                       |                |  |  | Exhibits |             | [CONFIDENTIAL FILING] Exhibit 4 (Part 3) to Transmittal Affidavit of Jarrett W. Horowitz  | Accepted | 5.5MB |
|          |                       |                |  |  | Exhibits |             | [CONFIDENTIAL FILING] Exhibit 4 (Part 4) to Transmittal Affidavit of Jarrett W. Horowitz  | Accepted | 7.4MB |
|          |                       |                |  |  | Exhibits |             | [CONFIDENTIAL FILING] Exhibit 4 (Part 5) to Transmittal Affidavit of Jarrett W. Horowitz  | Accepted | 7.6MB |
| 63646366 | 7/31/2019 5:12 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer | Jarrett W Horowitz, Morris Nichols Arsht & | 221      | Reply Brief | [CONFIDENTIAL] Plaintiffs Opposition to Defendant's   | Accepted | 0.6MB |

|          |                       |                |   |                                  |     |                        |  |          |       |
|----------|-----------------------|----------------|---|----------------------------------|-----|------------------------|--|----------|-------|
|          |                       |                | Committee of the Highland Crusader Fund v. Highland Capital Management, L.P.  | Tunnell LLP - Wilmington         |     |                        | Motion for Partial Vacatur and Reply in Support of Motion for Summary Judgment Confirming Final Arbitration Award and On All Claims <ul style="list-style-type: none"> <li>• Linked to (4)</li> <li>• Linked from (3)</li> </ul>   |          |       |
|          |                       |                |   |                                  |     | Certificate of Service | Certificate of Service to [CONFIDENTIAL] Plaintiff's Opposition to Defendant's Motion for Partial Vacatur and Reply in Support of Motion for Summary Judgment Confirming Final Arbitration Award and On All Claims   | Accepted | 0.1MB |
| 63557162 | 7/17/2019 4:45 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Bradley P Lehman, Cole Schotz PC | 220 | Public Version         | Redacted (Public) Version of Highland Capital Management, L.P.'s Opening Brief in Support of Motion for Partial Vacatur and in Opposition to Plaintiff's Motion for Summary Judgment with Certificate of Service <ul style="list-style-type: none"> <li>• Linked to (1)</li> </ul> | Accepted | 0.5MB |
| 63543273 | 7/12/2019 4:27 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Bradley P Lehman, Cole Schotz PC | 219 | Letter                 | Letter to Vice Chancellor Morgan T. Zurn from Bradley P. Lehman Enclosing Courtesy Copies of Highland Capital Management, L.P.'s Opening Brief in Support of Motion for Partial Vacatur and in Opposition to   | Accepted | 0.5MB |

|          |                       |                |   |  |     |                |   |          |       |
|----------|-----------------------|----------------|---|--|-----|----------------|---|----------|-------|
|          |                       |                |   |  |     |                | Plaintiffs Motion for Summary Judgment  |          |       |
|          |                       |                |   |  |     |                | <ul style="list-style-type: none"> <li>• Linked to (1)</li> </ul>   |          |       |
| 63531235 | 7/10/2019 2:51 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Bradley P Lehman, Cole Schotz PC                                   | 218 | Opening Brief  | Highland Capital Management, L.P.'s Opening Brief in Support of Motion for Partial Vacatur and in Opposition to Plaintiffs Motion for Summary Judgment with Certificate of Service (FILED UNDER SEAL) | Accepted | 0.5MB |
|          |                       |                |   |  |     |                | <ul style="list-style-type: none"> <li>• Linked to (4)</li> <li>• Linked from (3)</li> </ul>  |          |       |
| 63371297 | 6/17/2019 5:09 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 215 | Public Version | [PUBLIC VERSION] Plaintiffs Motion for Summary Judgment Confirming Arbitration Awards and on All Claims with Certificate of Service   | Accepted | 0.1MB |
|          |                       |                |   |  |     |                | <ul style="list-style-type: none"> <li>• Linked to (1)</li> </ul>   |          |       |
|          |                       |                |   |  | 216 | Public Version | [PUBLIC VERSION] [Proposed] Order Granting Plaintiffs Motion for Summary Judgment Confirming Arbitration Awards and On All Claims with Certificate of Service   | Accepted | 0.1MB |
|          |                       |                |   |  |     |                | <ul style="list-style-type: none"> <li>• Linked to (1)</li> </ul>   |          |       |
|          |                       |                |   |  | 217 | Public Version | [PUBLIC VERSION] Plaintiffs Opening Brief in Support of Its Motion for Summary Judgment Confirming Arbitration Awards and on All Claims with Certificate of Service                                   | Accepted | 0.6MB |
|          |                       |                |   |  |     |                | <ul style="list-style-type: none"> <li>• Linked to (1)</li> </ul>   |          |       |

|          |                              |                   |   |   |     |                                   |   |          |       |
|----------|------------------------------|-------------------|---|---|-----|-----------------------------------|---|----------|-------|
| 63365470 | 6/14/2019<br>2:49 PM<br>EDT  | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Bradley P<br>Lehman,<br>Cole Schotz<br>PC   | 214 | Letter                            | Letter to Vice<br>Chancellor<br>Morgan T. Zurn<br>Enclosing<br>Courtesy Copies<br>of Highland<br>Capital<br>Management,<br>L.P.'s Motion for<br>Partial Vacation<br>of Arbitration<br>Awards<br>• Linked to (1)   | Accepted | 0.3MB |
| 63361920 | 6/13/2019<br>4:46 PM<br>EDT  | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Bradley P<br>Lehman,<br>Cole Schotz<br>PC   | 213 | Public Version                    | Redacted (Public)<br>Version of<br>Highland Capital<br>Management,<br>L.P.'s Motion for<br>Partial Vacation<br>of Arbitration<br>Awards with<br>Certificate of<br>Service<br>• Linked to (1)  | Accepted | 1.8MB |
| 63348999 | 6/11/2019<br>12:12 PM<br>EDT | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Jarrett W<br>Horowitz,<br>Morris Nichols<br>Arsht &<br>Tunnell LLP-<br>Wilmington | 212 | Letter                            | To: Hon. Morgan<br>T. Zurn from<br>Jarrett W.<br>Horowitz re<br>Courtesy Copies<br>of Plaintiffs<br>Motion for<br>Summary<br>Judgment<br>Confirming<br>Arbitration<br>Awards and on<br>All Claims, (2)<br>Proposed Order<br>and exhibits<br>thereto, (3)<br>Opening Brief in<br>Support of<br>Plaintiffs Motion<br>for Summary<br>Judgment, and<br>(4) Transmittal<br>Affidavit of<br>Jarrett W.<br>Horowitz<br>• Linked to (1) | Accepted | 0.1MB |
| 63346794 | 6/10/2019<br>5:59 PM<br>EDT  | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Jarrett W<br>Horowitz,<br>Morris Nichols<br>Arsht &<br>Tunnell LLP-<br>Wilmington | 211 | Motion for<br>Summary<br>Judgment | [CONFIDENTIAL<br>FILING] Plaintiffs<br>Motion for<br>Summary<br>Judgment<br>Confirming<br>Arbitration<br>Awards and on<br>All Claims<br>• Linked to (1)<br>• Linked from<br>(4)   | Accepted | 0.2MB |



|                        |  |          |       |
|------------------------|--|----------|-------|
| Proposed Order         | [CONFIDENTIAL FILING]<br>[Proposed]<br>Order Granting Plaintiff's Motion for Summary Judgment Confirming Arbitration Awards and on All Claims<br>• Linked from (1)   | Accepted | 0.2MB |
| Opening Brief          | [CONFIDENTIAL FILING] Opening Brief in Support of Plaintiff's Motion for Summary Judgment Confirming Arbitration Awards and on All Claims<br>• Linked from (3)   | Accepted | 0.5MB |
| Certificate of Service | Certificate of Service to Plaintiff's Motion for Summary Judgment Confirming Arbitration Awards and on All Claims and Transmittal Affidavit of Jarrett W. Horowitz in Support of Plaintiff's Opening Brief in Support of Plaintiff's Motion for Summary Judgment Confirming Arbitration Awards and on All Claims | Accepted | 0.1MB |
| Exhibits               | [CONFIDENTIAL FILING] Exhibits A-D to [Proposed] Order Granting Plaintiff's Motion for Summary Judgment Confirming Arbitration Awards and on All Claims  | Accepted | 5.5MB |

|          |                      |                |   |                                  |     |                |   |          |       |
|----------|----------------------|----------------|---|----------------------------------|-----|----------------|---|----------|-------|
|          |                      |                |   |                                  |     | Affidavit      | Transmittal Affidavit of Jarrett W. Horowitz in Support of Plaintiff's Opening Brief in Support of Plaintiff's Motion for Summary Judgment Confirming Arbitration Awards and on All Claims  | Accepted | 0.1MB |
|          |                      |                |   |                                  |     | Exhibits       | [CONFIDENTIAL FILING] Exhibits A-G to Transmittal Affidavit of Jarrett W. Horowitz  | Accepted | 6.6MB |
|          |                      |                |   |                                  |     | Exhibits       | [CONFIDENTIAL FILING] Exhibits H-M to Transmittal Affidavit of Jarrett W. Horowitz  | Accepted | 6.1MB |
| 63330390 | 6/6/2019 1:06 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Bradley P Lehman, Cole Schotz PC | 210 | Motion         | Highland Capital Management, L.P.'s Motion for Partial Vacation of Arbitration Awards with Certificate of Service (FILED UNDER SEAL) <ul style="list-style-type: none"> <li>• Linked to (2)</li> <li>• Linked from (4)</li> </ul> | Accepted | 1.3MB |
|          |                      |                |   |                                  |     | Proposed Order | [Proposed] Order Granting Highland Capital Management, L.P.'s Motion for Partial Vacation of Arbitration Awards   | Accepted | 0.1MB |
| 63326588 | 6/5/2019 3:24 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Bradley P Lehman, Cole Schotz PC | 209 | Public Version | Redacted (Public) Version of Defendant Highland Capital Management, L.P.'s Answer to Plaintiff's First Amended and Supplemented Verified Complaint with Certificate of Service  | Accepted | 1.0MB |

|          |                             |                   |   |   |     |                |  |          |       |
|----------|-----------------------------|-------------------|---|---|-----|----------------|--|----------|-------|
| 63324634 | 6/5/2019<br>10:31 AM<br>EDT | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Morgan Zurn,<br>DE Court of<br>Chancery Civil<br>Action | 208 | Order          | Granted<br>([Proposed]<br>Order Granting<br>Motion for Leave<br>to Withdraw<br>Appearance of<br>Pro Hac Vice Co-<br>Counsel for<br>Defendant<br>Highland Capital<br>Management,<br>L.P.)<br>• Linked to (1)                                      | Accepted | 0.2MB |
| 63320752 | 6/4/2019<br>11:54 AM<br>EDT | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Michael F<br>Bonkowski,<br>Cole Schotz<br>PC            | 207 | Motion         | Motion for Leave<br>to Withdraw<br>Appearance of<br>Pro Hac Vice Co-<br>Counsel, Gary<br>Cruciani of<br>McKool Smith,<br>P.C., for<br>Defendant<br>Highland Capital<br>Management,<br>L.P. with<br>Certificate of<br>Service<br>• Linked to (2)  | Accepted | 0.9MB |
|          |                             |                   |   |   |     | Proposed Order | [Proposed]<br>Order Granting<br>Motion for Leave<br>to Withdraw<br>Appearance of<br>Pro Hac Vice Co-<br>Counsel for<br>Defendant<br>Highland Capital<br>Management,<br>L.P.<br>• Linked from<br>(1)  | Accepted | 0.1MB |
| 63304435 | 5/29/2019<br>3:23 PM<br>EDT | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Bradley P<br>Lehman,<br>Cole Schotz<br>PC               | 206 | Answer         | Defendant<br>Highland Capital<br>Management,<br>L.P.'s Answer to<br>Plaintiff's First<br>Amended and<br>Supplemented<br>Verified<br>Complaint with<br>Certificate of<br>Service (FILED<br>UNDER SEAL)<br>• Linked to (3)<br>• Linked from<br>(1) | Accepted | 0.3MB |
| 63296819 | 5/24/2019<br>3:11 PM<br>EDT | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of   | Morgan Zurn,<br>DE Court of<br>Chancery Civil<br>Action | 205 | Order          | Granted<br>(Stipulation and<br>[Proposed]<br>Order Lifting<br>Stay and Setting   | Accepted | 0.3MB |

|          |                        |                |   |  |     |                                |   |          |       |
|----------|------------------------|----------------|---|--|-----|--------------------------------|---|----------|-------|
|          |                        |                | the Highland Crusader Fund v. Highland Capital Management, L.P.   |  |     |                                | Briefing Schedule for Plaintiff's Motion for Summary Judgment to Confirm Arbitration Awards and Defendant's Motion to Vacate Arbitration Awards)<br><ul style="list-style-type: none"> <li>• Linked to (1)</li> <li>• Linked from (5)</li> </ul>  |          |       |
| 63294821 | 5/24/2019 10:39 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 204 | Stipulation & (Proposed) Order | Stipulation and [Proposed] Order Lifting Stay and Setting Briefing Schedule for Plaintiff's Motion for Summary Judgment to Confirm Arbitration Awards and Defendant's Motion to Vacate Arbitration Awards<br><ul style="list-style-type: none"> <li>• Linked to (2)</li> <li>• Linked from (1)</li> </ul> | Accepted | 0.2MB |
| 63285352 | 5/21/2019 4:52 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 202 | Public Version                 | [PUBLIC VERSION] First Amended and Supplemented Verified Complaint with Certificate of Service<br><ul style="list-style-type: none"> <li>• Linked to (1)</li> </ul>   | Accepted | 1.1MB |
|          |                        |                |   |  | 203 | Public Version                 | [PUBLIC VERSION] Plaintiff's Answer to Highland's Amended Counterclaims with Certificate of Service<br><ul style="list-style-type: none"> <li>• Linked to (1)</li> </ul>  | Accepted | 4.3MB |
| 63279257 | 5/20/2019 4:23 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital                  | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 201 | Public Version                 | [PUBLIC VERSION] Motion for Leave to File First Amended and Supplemented Verified Complaint with Certificate of Service   | Accepted | 0.2MB |

|          |                        |                |   |  |     |                           |  |          |       |
|----------|------------------------|----------------|---|--|-----|---------------------------|--|----------|-------|
|          |                        |                | Management, L.P.  |  |     |                           | • Linked to (1)  |          |       |
| 63273575 | 5/16/2019 5:04 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 200 | Letter                    | Letter to Register in Chancery from Jarrett W. Horowitz regarding Plaintiff's Answer to Highland's Amended Counterclaims filed on May 14, 2019<br>• Linked to (1)              | Accepted | 0.1MB |
|          |                        |                |   |  |     | Exhibits                  | [CONFIDENTIAL] Exhibits A-C to Plaintiff's Answer to Highland's Amended Counterclaims<br>• Linked to (1)   | Accepted | 4.8MB |
| 63271185 | 5/16/2019 10:29 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 199 | Letter                    | Letter to The Honorable Morgan T. Zurn from Jarrett W. Horowitz regarding Chambers' Copies of Plaintiff's First Amended and Supplemented Verified Complaint<br>• Linked to (1) | Accepted | 0.1MB |
| 63265257 | 5/14/2019 4:59 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 198 | Answer to Counterclaim    | [CONFIDENTIAL FILING] Plaintiff's Answer to Highland's Amended Counterclaims<br>• Linked to (1)<br>• Linked from (3)   | Accepted | 0.3MB |
|          |                        |                |   |  |     | Certificate of Service    | Certificate of Service to Plaintiff's Answer to Highland's Amended Counterclaims   | Accepted | 0.1MB |
| 63264942 | 5/14/2019 4:40 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 197 | Amended Complaint         | [CONFIDENTIAL FILING] First Amended and Supplemented Verified Complaint<br>• Linked to (3)<br>• Linked from (4)  | Accepted | 0.3MB |
|          |                        |                |   |  |     | Verification to Complaint | Verification to First Amended  | Accepted | 0.1MB |

|          |                       |                |   |  |                        |   |          |       |
|----------|-----------------------|----------------|---|--|------------------------|---|----------|-------|
|          |                       |                |   |  |                        | and Supplemented Verified Complaint   |          |       |
|          |                       |                |   |  | Exhibits               | [CONFIDENTIAL FILING] Exhibits A-C to First Amended and Supplemented Verified Complaint (Blackline version of the complaint contain within as Exhibit A)  | Accepted | 5.2MB |
|          |                       |                |   |  | Exhibits               | [CONFIDENTIAL FILING] Exhibits D-I to First Amended and Supplemented Verified Complaint   | Accepted | 6.1MB |
|          |                       |                |   |  | Exhibits               | [CONFIDENTIAL FILING] Exhibits J-L to First Amended and Supplemented Verified Complaint   | Accepted | 3.3MB |
|          |                       |                |   |  | Certificate of Service | Certificate of Service to First Amended and Supplemented Verified Complaint   | Accepted | 0.1MB |
| 63264017 | 5/14/2019 2:33 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action                     | 196 Order              | Granted with Modifications (Amended [Proposed] Order Granting Motion for Leave to File First Amended and Supplemented Verified Complaint)<br><ul style="list-style-type: none"> <li>Linked to (1)</li> <li>Linked from (1)</li> </ul> | Accepted | 0.2MB |
| 63263292 | 5/14/2019 1:10 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 195 Proposed Order     | Amended [Proposed] Order Granting Motion for Leave to File First Amended and Supplemented Verified Complaint<br><ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>   | Accepted | 0.1MB |



|          |                        |                |   |  |     |                        |   |          |       |
|----------|------------------------|----------------|---|--|-----|------------------------|---|----------|-------|
|          |                        |                |   |  |     |                        | • Linked from (1)   |          |       |
| 63262640 | 5/14/2019 11:10 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 194 | Letter                 | Letter to The Honorable Morgan T. Zurn from Jarrett W. Horowitz regarding Plaintiff's Motion for Leave to File Amended and Supplemented Verified Complaint<br>• Linked to (1) | Accepted | 0.1MB |
| 63260780 | 5/13/2019 5:32 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 193 | Exhibits               | [CONFIDENTIAL FILING] Exhibit 1 (Part 7) to Plaintiff's Motion for Leave to File Amended and Supplemented Verified Complaint  | Accepted | 7.9MB |
|          |                        |                |   |  |     | Exhibits               | [CONFIDENTIAL FILING] Exhibit 1 (Part 8) to Plaintiff's Motion for Leave to File Amended and Supplemented Verified Complaint  | Accepted | 3.6MB |
|          |                        |                |   |  |     | Exhibits               | [CONFIDENTIAL FILING] Exhibit 2 to Plaintiff's Motion for Leave to File Amended and Supplemented Verified Complaint (Red-line Version)  | Accepted | 0.5MB |
|          |                        |                |   |  |     | Proposed Order         | [Proposed] Order Granting Plaintiff's Motion for Leave to File Amended and Supplemented Verified Complaint<br>• Linked from (2)   | Accepted | 0.1MB |
|          |                        |                |   |  |     | Certificate of Service | Certificate of Service to Plaintiff's Motion for Leave to File Amended and Supplemented Verified Complaint  | Accepted | 0.1MB |

|          |                             |                   |   |   |     |                           |   |          |       |
|----------|-----------------------------|-------------------|---|---|-----|---------------------------|---|----------|-------|
| 63260750 | 5/13/2019<br>5:31 PM<br>EDT | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Jarrett W<br>Horowitz,<br>Morris Nichols<br>Arsht &<br>Tunnell LLP-<br>Wilmington | 192 | Exhibits                  | [CONFIDENTIAL<br>FILING] Exhibit 1<br>(Part 4) to<br>Plaintiffs Motion<br>for Leave to File<br>Amended and<br>Supplemented<br>Verified<br>Complaint                   | Accepted | 7.8MB |
|          |                             |                   |   |   |     | Exhibits                  | [CONFIDENTIAL<br>FILING] Exhibit 1<br>(Part 5) to<br>Plaintiffs Motion<br>for Leave to File<br>Amended and<br>Supplemented<br>Verified<br>Complaint                   | Accepted | 8.0MB |
|          |                             |                   |   |   |     | Exhibits                  | [CONFIDENTIAL<br>FILING] Exhibit 1<br>(Part 6) to<br>Plaintiffs Motion<br>for Leave to File<br>Amended and<br>Supplemented<br>Verified<br>Complaint                   | Accepted | 8.2MB |
|          |                             |                   |   |   |     | Certificate of<br>Service | Certificate of<br>Service to<br>Plaintiffs Motion<br>for Leave to File<br>Amended and<br>Supplemented<br>Verified<br>Complaint  | Accepted | 0.1MB |
| 63260268 | 5/13/2019<br>5:30 PM<br>EDT | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Jarrett W<br>Horowitz,<br>Morris Nichols<br>Arsht &<br>Tunnell LLP-<br>Wilmington | 191 | Motion                    | [CONFIDENTIAL<br>FILING] Plaintiffs<br>Motion for Leave<br>to File Amended<br>and<br>Supplemented<br>Verified<br>Complaint<br>• Linked to (1)<br>• Linked from<br>(2) | Accepted | 0.1MB |
|          |                             |                   |   |   |     | Exhibits                  | [CONFIDENTIAL<br>FILING] Exhibit 1<br>(Part 1) to<br>Plaintiffs Motion<br>for Leave to File<br>Amended and<br>Supplemented<br>Verified<br>Complaint                   | Accepted | 7.8MB |
|          |                             |                   |   |   |     | Exhibits                  | [CONFIDENTIAL<br>FILING] Exhibit 1<br>(Part 2) to<br>Plaintiffs Motion<br>for Leave to File<br>Amended and  | Accepted | 8.0MB |

|          |                        |                |   |  |                        |   |          |       |
|----------|------------------------|----------------|---|--|------------------------|---|----------|-------|
|          |                        |                |   |  |                        | Supplemented Verified Complaint   |          |       |
|          |                        |                |   |  | Exhibits               | [CONFIDENTIAL FILING] Exhibit 1 (Part 3) to Plaintiff's Motion for Leave to File Amended and Supplemented Verified Complaint  | Accepted | 8.0MB |
|          |                        |                |   |  | Certificate of Service | Certificate of Service to Plaintiff's Motion for Leave to File Amended and Supplemented Verified Complaint  | Accepted | 0.1MB |
| 63257951 | 5/13/2019 11:57 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action                     | 190 Order              | Granted ([Proposed] Order Granting Plaintiff's Motion Pursuant to Rule 5.1 for an Order Granting Leave to File Documents as Confidential Filings) <ul style="list-style-type: none"> <li>• Linked to (1)</li> <li>• Linked from (5)</li> </ul>                                | Accepted | 0.2MB |
| 63257714 | 5/13/2019 11:31 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 189 Letter             | Letter to The Honorable Morgan T. Zurn from Jarrett W. Horowitz regarding Motion for Confidential Treatment <ul style="list-style-type: none"> <li>• Linked to (1)</li> </ul>   | Accepted | 0.1MB |
| 63257620 | 5/13/2019 11:20 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 188 Letter             | Letter to The Honorable Morgan T. Zurn from Jarrett W. Horowitz regarding Chambers' Copies of Plaintiff's Motion Pursuant to Rule 5.1 for an Order Granting Leave to File Documents as Confidential Filings <ul style="list-style-type: none"> <li>• Linked to (1)</li> </ul> | Accepted | 0.1MB |

|          |                              |                   |   |   |     |  |  |          |       |
|----------|------------------------------|-------------------|---|---|-----|--|--|----------|-------|
| 63256718 | 5/13/2019<br>8:49 AM<br>EDT  | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Jarrett W<br>Horowitz,<br>Morris Nichols<br>Arsht &<br>Tunnell LLP-<br>Wilmington | 187 | Motion                                   | Plaintiffs Motion<br>Pursuant to Rule<br>5.1 for an Order<br>Granting Leave<br>to File<br>Documents as<br>Confidential<br>Filings with<br>Certificate of<br>Service<br>• Linked to (5)<br>• Linked from<br>(2)         | Accepted | 0.1MB |
|          |                              |                   |   |   |     | Proposed Order                           | [Proposed]<br>Order Granting<br>Plaintiffs Motion<br>Pursuant to Rule<br>5.1 for an Order<br>Granting Leave<br>to File<br>Documents as<br>Confidential<br>Filings<br>• Linked from<br>(1)                              | Accepted | 0.1MB |
| 63203632 | 4/26/2019<br>12:54 PM<br>EDT | File<br>Only      | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Juli LaBadia,<br>DE Court of<br>Chancery Civil<br>Action                          | 186 | Official Transcript<br>(Addl Fees Apply) | Transcript of<br>3.21.19<br>Telephonic Oral<br>Argument on<br>Plaintiffs Motion<br>for Entry of a<br>Status Quo<br>Order   | Accepted | 0.2MB |
| 63119020 | 4/1/2019<br>10:46 AM<br>EDT  | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Morgan Zurn,<br>DE Court of<br>Chancery Civil<br>Action                           | 185 | Order                                    | Granted<br>([Proposed]<br>Order Granting<br>Motion to<br>Withdraw<br>Nicholas J.<br>Brannick, of Cole<br>Schotz P.C. as<br>Counsel to the<br>Defendant,<br>Highland Capital<br>Management,<br>L.P.)<br>• Linked to (1) | Accepted | 0.3MB |
| 63117412 | 3/29/2019<br>4:36 PM<br>EDT  | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Nicholas J<br>Brannick,<br>Cole Schotz<br>PC                                      | 184 | Motion                                   | Motion to<br>Withdraw<br>Nicholas J.<br>Brannick, of Cole<br>Schotz P.C. as<br>Counsel to the<br>Defendant,<br>Highland Capital<br>Management,<br>L.P. with<br>Certificate of<br>Service                               | Accepted | 0.1MB |

Case 3:21-cv-00538-N Document 26-53 Filed 06/09/21 Page 67 of 254 PageID 16951

|          |                       |                |   |  |     |                |   |          |       |
|----------|-----------------------|----------------|---|--|-----|----------------|---|----------|-------|
|          |                       |                |   |  |     | Proposed Order | [Proposed]<br>Order Granting Motion to Withdraw Nicholas J. Brannick, of Cole Schotz P.C. as Counsel to the Defendant, Highland Capital Management, L.P. <ul style="list-style-type: none"><li>• Linked from (1)</li></ul>                | Accepted | 0.1MB |
| 63113934 | 3/29/2019 9:01 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action | 183 | Order          | In light of their agreement reflected in the March 28, 2019 Letter from Kevin M. Coen, Esquire (D.I. 179), the parties are not required to submit the arbitrability of the Committee's request for a Status Quo Order to the arbitrators. | Accepted | 0MB   |
| 63113890 | 3/29/2019 8:50 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action | 182 | Order          | Denied ([Proposed] Order Granting Emergency Motion of Highland Capital Management, L.P. for Confidential Treatment of Affidavit Pursuant to Chancery Rule 5.1(e)) <ul style="list-style-type: none"><li>• Linked to (1)</li></ul>         | Accepted | 0.3MB |
| 63112524 | 3/28/2019 4:04 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Bradley P Lehman, Cole Schotz PC               | 181 | Letter         | Letter to Vice Chancellor Morgan T. Zurn from Bradley P. Lehman Enclosing Courtesy Copies of Emergency Motion of Highland Capital Management, L.P. for Confidential Treatment of Affidavit Pursuant to                                    | Accepted | 1.0MB |

|          |                        |                |   |  |     |                |  |          |       |
|----------|------------------------|----------------|---|--|-----|----------------|--|----------|-------|
|          |                        |                |   |  |     |                | Chancery Rule 5.1(e)<br>• Linked to (1)  |          |       |
| 63111096 | 3/28/2019 1:17 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Bradley P Lehman, Cole Schotz PC                                   | 180 | Motion         | Emergency Motion of Highland Capital Management, L.P. for Confidential Treatment of Affidavit Pursuant to Chancery Rule 5.1(e) with Certificate of Service<br>• Linked to (1)<br>• Linked from (1) | Accepted | 0.1MB |
|          |                        |                |   |  |     | Exhibits       | Exhibit A to Emergency Motion of Highland Capital Management, L.P. for Confidential Treatment of Affidavit Pursuant to Chancery Rule 5.1(e) (Redacted Version of Affidavit of Scott Ellington)     | Accepted | 0.6MB |
|          |                        |                |   |  |     | Proposed Order | [Proposed] Order Granting Emergency Motion of Highland Capital Management, L.P. for Confidential Treatment of Affidavit Pursuant to Chancery Rule 5.1(e)<br>• Linked from (1)                      | Accepted | 0.1MB |
| 63110917 | 3/28/2019 12:40 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 179 | Letter         | Letter to The Honorable Morgan T. Zurn from Kevin M. Coen regarding the Court's Rulings with respect to the Redeemer Committee's Motion for Entry of Status Quo Order                              | Accepted | 0.1MB |



|          |                        |                |   |  |     |                |  |          |       |
|----------|------------------------|----------------|---|--|-----|----------------|--|----------|-------|
|          |                        |                |   |  |     | Exhibits       | Exhibits A-B to Letter to The Honorable Morgan T. Zurn from Kevin M. Coen regarding the Court's Rulings with respect to the Redeemer Committee's Motion for Entry of Status Quo Order  | Accepted | 0.2MB |
| 63109623 | 3/28/2019 8:13 AM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 178 | Public Version | [PUBLIC VERSION] Plaintiff's Reply in Support of Its Motion for Entry of a Status Quo Order (with Certificate of Service)<br>• Linked to (1)   | Accepted | 0.5MB |
| 63103851 | 3/26/2019 4:23 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Bradley P Lehman, Cole Schotz PC                                   | 177 | Public Version | Redacted (Public) Version of Defendant Highland Capital Management, L.P.'s Brief in Opposition to Plaintiff's Motion for Entry of a Status Quo Order with Certificate of Service<br>• Linked to (1)  | Accepted | 0.7MB |
| 63103896 | 3/26/2019 4:11 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action                     | 176 | Order          | The Committee's motion to lift the stipulated stay to allow for expedited briefing is denied. The completion of briefing on the Motion remains deferred until the conclusion of the Arbitration Proceedings. See D.I. 147<br>• Linked from (1) | Accepted | 0MB   |
| 63097529 | 3/25/2019 10:51 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer  | Morgan Zurn, DE Court of Chancery Civil Action                     | 175 | Order          | Granted with Modifications ([Proposed])  | Accepted | 0.2MB |

|          |                        |                |   |  |     |                      |  |          |       |
|----------|------------------------|----------------|---|--|-----|----------------------|--|----------|-------|
|          |                        |                | Committee of the Highland Crusader Fund v. Highland Capital Management, L.P.  |  |     |                      | Status Quo Order   |          |       |
|          |                        |                |   |  |     |                      | <ul style="list-style-type: none"> <li>Linked to (1)</li> <li>Linked from (1)</li> </ul>   |          |       |
| 63096943 | 3/25/2019 8:59 AM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 174 | Letter               | Letter to Vice Chancellor Zurn fro Kevin M. Coen Re [Proposed] Status Quo Order  | Accepted | 0.1MB |
|          |                        |                |   |  |     | Proposed Order       | [Proposed] Status Quo Order  | Accepted | 0.1MB |
|          |                        |                |   |  |     |                      | <ul style="list-style-type: none"> <li>Linked to (2)</li> <li>Linked from (1)</li> </ul>   |          |       |
| 63093860 | 3/22/2019 11:49 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Michael F Bonkowski, Cole Schotz PC                                | 173 | Letter               | Letter to Vice Chancellor Morgan T. Zurn from Michael F. Bonkowski regarding the Court's instructions at the 3-21-19 Hearing                 | Accepted | 0.6MB |
|          |                        |                |   |  |     | Affidavit            | Affidavit of Scott Ellington, Esq. in Further Support of Highland's Brief in Opposition to Plaintiffs Motion for Entry of a Status Quo Order | Accepted | 0.6MB |
|          |                        |                |   |  |     | Exhibits             | Attachment to Letter to Vice Chancellor Morgan T. Zurn from Michael F. Bonkowski regarding the Court's instructions at the 3-21-19 Hearing   | Accepted | 0.2MB |
| 63092948 | 3/22/2019 9:08 AM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund                                      | Morgan Zurn, DE Court of Chancery Civil Action                     | 172 | Judicial Action Form | Telephonic Oral Argument re: Plaintiffs Motion for Entry of a Status Quo Order held 3.21.2019 before   | Accepted | 0.1MB |

|          |                       |                |   |  |     |                |  |          |       |
|----------|-----------------------|----------------|---|--|-----|----------------|--|----------|-------|
|          |                       |                | V. Highland Capital Management, L.P.  |  |     |                | Vice Chancellor Zurn - Defendant to submit an affidavit by 12 p.m. on 2.22.19. Forms of Order and redline to be submitted by 9 a.m. on Monday, 3.25.19. see transcript.<br><ul style="list-style-type: none"> <li>Linked from (2)</li> </ul> |          |       |
| 63088338 | 3/21/2019 4:43 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 167 | Public Version | [PUBLIC VERSION] Plaintiff's Brief in Support of Its Motion for Entry of a Status Quo Order with Certificate of Service<br><ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>   | Accepted | 0.5MB |
|          |                       |                |   |  | 168 | Public Version | [PUBLIC VERSION] Plaintiff's Motion for Expedited Summary Judgment Confirming Final Arbitration Awards with Certificate of Service<br><ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>  | Accepted | 0.4MB |
|          |                       |                |   |  | 169 | Public Version | [PUBLIC VERSION] [Proposed] Order Granting Plaintiff's Motion for Expedited Summary Judgment Confirming Final Arbitration Awards with Certificate of Service<br><ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>              | Accepted | 0.2MB |
|          |                       |                |   |  | 170 | Public Version | [PUBLIC VERSION] Plaintiff's Proposed Status Quo Order with Certificate of Service<br><ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>  | Accepted | 0.3MB |
|          |                       |                |   |  | 171 | Public Version | [PUBLIC VERSION] Letter to Vice Chancellor Zurn from Kevin M.  | Accepted | 0.2MB |

|          |                        |                |   |  |     |                |   |          |       |
|----------|------------------------|----------------|---|--|-----|----------------|---|----------|-------|
|          |                        |                |   |  |     |                | Coen informing the Court that the Panel in the Arbitration Proceedings between Defendant-Counter-Plaintiff Highland Capital Management, L.P. and the Committee issued a Partial Final Award |          |       |
|          |                        |                |   |  |     |                | <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>   |          |       |
| 63089385 | 3/21/2019 12:08 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action                     | 166 | Order          | Denied ([Proposed] Order Granting Defendant's, Highland Crusader Fund, Motion to Strike Plaintiff's Reply in Support of Its Motion for Entry of a Status Quo Order)                         | Accepted | 0.3MB |
|          |                        |                |   |  |     |                | <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>   |          |       |
| 63089097 | 3/21/2019 11:39 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Michael F Bonkowski, Cole Schotz PC                                | 165 | Motion         | Defendant's, Highland Crusader Fund, Motion to Strike Plaintiff's Reply in Support of Its Motion for Entry of a Status Quo Order with Certificate of Service                                | Accepted | 1.0MB |
|          |                        |                |   |  |     | Proposed Order | [Proposed] Order Granting Defendant's, Highland Crusader Fund, Motion to Strike Plaintiff's Reply in Support of Its Motion for Entry of a Status Quo Order                                  | Accepted | 0.1MB |
|          |                        |                |   |  |     |                | <ul style="list-style-type: none"> <li>Linked from (1)</li> </ul>   |          |       |
| 63087358 | 3/20/2019 4:52 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital                  | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 164 | Letter         | Letter to The Honorable Morgan T. Zurn from Jarrett W. Horowitz regarding Chambers' Copies of Plaintiff's Reply   | Accepted | 0.1MB |

|          |                        |                |   |  |     |                        |  |          |       |
|----------|------------------------|----------------|---|--|-----|------------------------|--|----------|-------|
|          |                        |                | Management, L.P.  |  |     |                        | In Support of its Motion for Entry of a Status Quo Order   |          |       |
|          |                        |                |   |  |     |                        | <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>  |          |       |
| 63087270 | 3/20/2019 4:48 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 163 | Reply Brief            | [CONFIDENTIAL FILING] Plaintiff's Reply in Support of its Motion for Entry of a Status Quo Order <ul style="list-style-type: none"> <li>Linked to (2)</li> <li>Linked from (2)</li> </ul>  | Accepted | 0.4MB |
|          |                        |                |   |  |     | Exhibits               | Exhibit A to Plaintiff's Reply in Support of its Motion for Entry of a Status Quo Order  | Accepted | 3.6MB |
|          |                        |                |   |  |     | Certificate of Service | Certificate of Service to Plaintiff's Reply in Support of its Motion for Entry of a Status Quo Order   | Accepted | 0.1MB |
| 63086023 | 3/20/2019 2:27 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Michael F Bonkowski, Cole Schotz PC                                | 162 | Letter                 | Letter to Vice Chancellor Morgan T. Zurn from Michael F. Bonkowski regarding Page Count of Plaintiff's Reply Brief <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>   | Accepted | 0.4MB |
| 63084863 | 3/20/2019 12:25 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Bradley P Lehman, Cole Schotz PC                                   | 161 | Letter                 | Letter to Vice Chancellor Morgan T. Zurn from Bradley P. Lehman Enclosing Courtesy Copies of Defendant Highland Capital Management, L.P.'s Brief in Opposition to Plaintiff's Motion for Entry of a Status Quo Order <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul> | Accepted | 1.8MB |
| 63081593 | 3/19/2019 4:38 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland  | Bradley P Lehman, Cole Schotz PC                                   | 159 | Brief                  | Defendant Highland Capital Management, L.P.'s Brief in Opposition to Plaintiff's Motion  | Accepted | 0.4MB |

Case 3:21-cv-00538-N Document 26-53 Filed 06/09/21 Page 7 of 254 PageID 16958

|          |                             |                   |  |                             |     |                        |   |          |       |
|----------|-----------------------------|-------------------|--|-----------------------------|-----|------------------------|---|----------|-------|
|          |                             |                   | Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. |                             |     |                        | for Entry of a<br>Status Quo<br>Order with<br>Certificate of<br>Service (FILED<br>UNDER SEAL)<br>• Linked to (2)<br>• Linked from<br>(3)  |          |       |
|          |                             |                   |  |                             | 160 | Entry of<br>Appearance | Entry of<br>Appearance of<br>Bradley P.<br>Lehman, of Cole<br>Schotz P.C., as<br>counsel for<br>Defendant,<br>Counterclaim<br>and Third-Party<br>Plaintiff<br>Highland Capital<br>Management,<br>L.P. with<br>Certificate of<br>Service | Accepted | 0.1MB |
|          |                             |                   |  |                             |     | Exhibits               | Exhibit A to<br>Defendant<br>Highland Capital<br>Management,<br>L.P.'s Brief in<br>Opposition to<br>Plaintiff's Motion<br>for Entry of a<br>Status Quo<br>Order   | Accepted | 0.3MB |
|          |                             |                   |  |                             |     | Exhibits               | Exhibits B and C<br>to Defendant<br>Highland Capital<br>Management,<br>L.P.'s Brief in<br>Opposition to<br>Plaintiff's Motion<br>for Entry of a<br>Status Quo<br>Order (FILED<br>UNDER SEAL)  | Accepted | 1.1MB |
|          |                             |                   |  |                             |     | Exhibits               | Exhibit D to<br>Defendant<br>Highland Capital<br>Management,<br>L.P.'s Brief in<br>Opposition to<br>Plaintiff's Motion<br>for Entry of a<br>Status Quo<br>Order   | Accepted | 0.4MB |
|          |                             |                   |  |                             |     | Proposed Order         | [Proposed] For<br>Entry of a Status<br>Quo Order  | Accepted | 0.1MB |
| 63072867 | 3/15/2019<br>4:28 PM<br>EDT | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER                            | Morgan Zurn,<br>DE Court of | 158 | Letter                 | Letter to Counsel<br>from Vice<br>Chancellor Zurn   | Accepted | 0.1MB |

014001



|          |                       |                |   |  |     |                             |   |          |       |
|----------|-----------------------|----------------|---|--|-----|-----------------------------|---|----------|-------|
|          |                       |                | Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P.                               | Chancery Civil Action  |     |                             | confirming a telephonic hearing on the Motion for Entry of a Status Quo Order for Thursday, March 21, 2019 at 3:15 p.m.<br>• Linked from (1)  |          |       |
| 63070142 | 3/15/2019 9:49 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 157 | Letter                      | Letter to Vice Chancellor Zurn from Jarrett W. Horowitz enclosing (1) Plaintiff's Motion for Entry of a Status Quo Order, Proposed Order, Brief in Support thereof, and exhibits thereto, and (2) Plaintiff's Motion for Expedited Summary Judgment Confirming Final Arbitration Awards, exhibits thereto, and proposed order, which were filed with the Court on March 14, 2019<br>• Linked to (3) | Accepted | 0.1MB |
| 63067912 | 3/14/2019 5:11 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 155 | Motion for Summary Judgment | Plaintiff's Motion for Expedited Summary Judgment Confirming Final Arbitration Awards<br>• Linked to (4)<br>• Linked from (4)   | Accepted | 0.1MB |
|          |                       |                |   |  | 156 | Letter                      | [CONFIDENTIAL] Letter to Vice Chancellor Zurn from Kevin M. Coen informing the Court that the Panel in the Arbitration Proceedings between Defendant-Counter-Plaintiff Highland Capital Management, L.P. and the  | Accepted | 0.1MB |

|  |  |  |  |  |                        |   |          |       |
|--|--|--|--|--|------------------------|---|----------|-------|
|  |  |  |  |  |                        | Committee issued a Partial Final Award  |          |       |
|  |  |  |  |  |                        | <ul style="list-style-type: none"> <li>Linked to (4)</li> <li>Linked from (1)</li> </ul>  |          |       |
|  |  |  |  |  | Proposed Order         | [CONFIDENTIAL]<br>[Proposed]<br>Order Granting<br>Plaintiffs Motion<br>for Expedited<br>Summary<br>Judgment<br>Confirming Final<br>Arbitration<br>Awards <ul style="list-style-type: none"> <li>Linked from (1)</li> </ul>  | Accepted | 0.2MB |
|  |  |  |  |  | Exhibits               | [CONFIDENTIAL]<br>Exhibits A<br>through D to<br>Plaintiffs Motion<br>for Expedited<br>Summary<br>Judgment<br>Confirming Final<br>Arbitration<br>Awards  | Accepted | 3.7MB |
|  |  |  |  |  | Certificate of Service | Certificate of<br>Service to<br>Plaintiffs Motion<br>for Expedited<br>Summary<br>Judgment<br>Confirming Final<br>Arbitration<br>Awards  | Accepted | 0.1MB |
|  |  |  |  |  | Exhibits               | [CONFIDENTIAL]<br>Exhibits A<br>through C to the<br>Letter to Vice<br>Chancellor Zurn<br>from Kevin M.<br>Coen informing<br>the Court that<br>the Panel in the<br>Arbitration<br>Proceedings<br>between<br>Defendant-<br>Counter-Plaintiff<br>Highland Capital<br>Management,<br>L.P. and the<br>Committee<br>issued a Partial<br>Final Award | Accepted | 3.7MB |

Case History Search

Search Created:

2/1/2021 15:05:18 GMT-0500 (Eastern Standard Time)

|   |   |   |
|---|---|---|
| <b>Court:</b> DE Court of Chancery Civil Action | <b>Judge:</b> Zurn, Morgan  | <b>File &amp; ServeXpress Live Date:</b> 7/5/2016 |
| <b>Division:</b> N/A                            | <b>Case Number:</b> 12533-VCZ   | <b>Document(s)</b> 479                            |
| <b>Case Type:</b> Civil Action                  | <b>Case Name:</b> STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | <b>Filed:</b> All                                 |
|   |   | <b>Date Range:</b> All                            |

101-200 of 232 transactions <<Prev Page 2 of 3 Next>>

| Transaction | Date/Time                   | Option            | Case Number<br>Case Name  | Authorizer<br>Organization  | #   | Document Type             | Document Title   | Review<br>Status | Size  |
|-------------|-----------------------------|-------------------|---|---|-----|---------------------------|--|------------------|-------|
| 63069042    | 3/14/2019<br>4:58 PM<br>EDT | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Jarrett W<br>Horowitz,<br>Morris Nichols<br>Arsht &<br>Tunnell LLP-<br>Wilmington | 154 | Brief                     | [CONFIDENTIAL]<br>Plaintiff's Brief in<br>Support of Its<br>Motion for Entry<br>of a Status Quo<br>Order<br>• Linked to (1)<br>• Linked from (3) | Accepted         | 0.4MB |
|             |                             |                   |   |   |     | Exhibits                  | [CONFIDENTIAL]<br>Exhibit A to<br>Plaintiff's Brief in<br>Support of Its<br>Motion for Entry<br>of a Status Quo<br>Order                         | Accepted         | 5.6MB |
|             |                             |                   |   |   |     | Exhibits                  | Exhibit B to<br>Plaintiff's Brief in<br>Support of Its<br>Motion for Entry<br>of a Status Quo<br>Order   | Accepted         | 0.9MB |
|             |                             |                   |   |   |     | Exhibits                  | [CONFIDENTIAL]<br>Exhibit C to<br>Plaintiff's Brief in<br>Support of Its<br>Motion for Entry<br>of a Status Quo<br>Order                         | Accepted         | 4.4MB |
|             |                             |                   |   |   |     | Exhibits                  | Exhibits D<br>through F to<br>Plaintiff's Brief in<br>Support of Its<br>Motion for Entry<br>of a Status Quo<br>Order                             | Accepted         | 2.5MB |
|             |                             |                   |   |   |     | Certificate of<br>Service | Certificate of<br>Service to<br>Plaintiff's Brief in<br>Support of Its   | Accepted         | 0.1MB |

|          |                        |                |   |  |     |                |   |          |       |
|----------|------------------------|----------------|---|--|-----|----------------|---|----------|-------|
|          |                        |                |   |  |     |                | Motion for Entry of a Status Quo Order  |          |       |
| 63067372 | 3/14/2019 4:24 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 153 | Motion         | Plaintiffs Motion for Entry of a Status Quo Order (with Certificate of Service) <ul style="list-style-type: none"> <li>Linked to (4)</li> <li>Linked from (3)</li> </ul>  | Accepted | 0.1MB |
|          |                        |                |   |  |     | Proposed Order | [Proposed] Order Granting Plaintiffs Motion for Entry of a Status Quo Order <ul style="list-style-type: none"> <li>Linked from (1)</li> </ul>   | Accepted | 0.2MB |
| 63068641 | 3/14/2019 4:05 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action                     | 152 | Order          | Granted ([Proposed] Order Granting Plaintiffs Motion Pursuant to Rule 5.1 for an Order Granting Leave to File Documents as Confidential Filings) <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>                    | Accepted | 0.2MB |
| 63068498 | 3/14/2019 3:54 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 151 | Letter         | Letter to The Honorable Morgan T. Zurn from Jarrett W. Horowitz regarding Plaintiffs Motion for Confidential Treatment <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>  | Accepted | 0.1MB |
| 63065716 | 3/14/2019 11:50 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 150 | Motion         | Plaintiffs Motion Pursuant to Rule 5.1 for an Order Granting Leave to File Documents as Confidential Filings (with Certificate of Service) <ul style="list-style-type: none"> <li>Linked to (2)</li> <li>Linked from (1)</li> </ul> | Accepted | 0.1MB |
|          |                        |                |   |  |     | Proposed Order | [Proposed] Order Granting Plaintiffs Motion Pursuant to Rule 5.1 for an Order Granting Leave to File Documents as Confidential Filings <ul style="list-style-type: none"> <li>Linked from (4)</li> </ul>                            | Accepted | 0.1MB |
| 62790077 | 12/20/2018 2:32 PM     | File Only      | 12533-VCZ STAYED - CONF   | Morgan Zurn, DE Court of   | 149 | Proposed Order | [Proposed] Order Granting Plaintiffs  | Accepted | 0.2MB |

|          |                              |                   |   |   |     |                                   |   |          |       |
|----------|------------------------------|-------------------|---|---|-----|-----------------------------------|---|----------|-------|
|          | EST                          |                   | ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P.                               | Chancery Civil<br>Action  |     |                                   | Motion for<br>Summary<br>Judgment<br>Confirming Final<br>Arbitration<br>Awards<br>• Linked from (3)   |          |       |
| 62534515 | 10/8/2018<br>2:53 PM<br>EDT  | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Andre G<br>Bouchard,<br>DE Court of<br>Chancery Civil<br>Action | 148 | Letter                            | Case<br>Reassignment<br>Letter  | Accepted | 0.1MB |
| 61917216 | 4/13/2018<br>3:41 PM<br>EDT  | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Sam<br>Glasscock,<br>DE Court of<br>Chancery Civil<br>Action    | 147 | Order                             | Granted (Second<br>Amended<br>Stipulation And<br>[Proposed] Order<br>Governing<br>Briefing On<br>Motion For<br>Summary<br>Judgment)<br>• Linked to (1)<br>• Linked from (4) | Accepted | 0.3MB |
| 61914749 | 4/13/2018<br>12:08 PM<br>EDT | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Michael F<br>Bonkowski,<br>Cole Schotz<br>PC                    | 146 | Stipulation &<br>(Proposed) Order | Second Amended<br>Stipulation And<br>[Proposed] Order<br>Governing<br>Briefing On<br>Motion For<br>Summary<br>Judgment<br>• Linked to (3)<br>• Linked from (1)              | Accepted | 0.2MB |
|          |                              |                   |   |   |     | Certificate of<br>Service         | Certificate of<br>Service for Second<br>Amended<br>Stipulation And<br>[Proposed] Order<br>Governing<br>Briefing On<br>Motion For<br>Summary<br>Judgment                     | Accepted | 0.1MB |
| 61863286 | 4/2/2018<br>1:35 PM<br>EDT   | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Sam<br>Glasscock,<br>DE Court of<br>Chancery Civil<br>Action    | 145 | Order                             | Granted<br>(Amended<br>Stipulation and<br>[Proposed] Order<br>Governing<br>Briefing on<br>Motion for<br>Summary<br>Judgment)<br>• Linked to (1)<br>• Linked from (1)        | Accepted | 0.3MB |

|          |                              |                   |   |  |     |                                   |  |          |       |
|----------|------------------------------|-------------------|---|--|-----|-----------------------------------|--|----------|-------|
| 61857286 | 3/29/2018<br>4:36 PM<br>EDT  | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Michael F<br>Bonkowski,<br>Cole Schotz<br>PC                 | 144 | Stipulation &<br>(Proposed) Order | Amended<br>Stipulation and<br>[Proposed] Order<br>Governing<br>Briefing on<br>Motion for<br>Summary<br>Judgment<br>• Linked to (2)<br>• Linked from (1)  | Accepted | 0.2MB |
|          |                              |                   |   |  |     | Certificate of<br>Service         | Certificate of<br>Service to<br>Amended<br>Stipulation and<br>[Proposed] Order<br>Governing<br>Briefing on<br>Motion for<br>Summary<br>Judgment  | Accepted | 0.1MB |
| 61828349 | 3/22/2018<br>11:24 AM<br>EDT | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Sam<br>Glasscock,<br>DE Court of<br>Chancery Civil<br>Action | 143 | Order                             | Granted<br>(Stipulation and<br>[Proposed] Order<br>Governing<br>Briefing On<br>Motion For<br>Summary<br>Judgment)<br>• Linked to (1)<br>• Linked from (3)  | Accepted | 0.3MB |
| 61826864 | 3/21/2018<br>5:34 PM<br>EDT  | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Nicholas J<br>Brannick,<br>Cole Schotz<br>PC                 | 142 | Stipulation &<br>(Proposed) Order | Stipulation and<br>[Proposed] Order<br>Governing<br>Briefing On<br>Motion For<br>Summary<br>Judgment<br>• Linked to (2)<br>• Linked from (3)   | Accepted | 0.2MB |
|          |                              |                   |   |  |     | Certificate of<br>Service         | Certificate of<br>Service for<br>Stipulation and<br>[Proposed] Order<br>Governing<br>Briefing On<br>Motion For<br>Summary<br>Judgment  | Accepted | 0.1MB |
| 61825591 | 3/21/2018<br>1:21 PM<br>EDT  | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Sam<br>Glasscock,<br>DE Court of<br>Chancery Civil<br>Action | 141 | Order                             | Granted<br>(Stipulated<br>Substitution of<br>Counsel for<br>Defendant,<br>Counterclaim And<br>Third-Party<br>Plaintiff Highland<br>Capital<br>Management, L.P.<br>withdrawing the<br>Appearance of<br>Kevin G. Abrams, | Accepted | 0.2MB |

|          |                       |                |   |  |     |   |   |          |       |
|----------|-----------------------|----------------|---|--|-----|---|---|----------|-------|
|          |                       |                |   |  |     |   | J. Peter Shindel, Jr., and Matthew L. Miller and entering the appearance of Michael F. Bonkowski and Nicholas J. Brannick with Certificate of Service)<br><ul style="list-style-type: none"> <li>• Linked to (1)</li> <li>• Linked from (1)</li> </ul>  |          |       |
| 61823214 | 3/20/2018 4:29 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Nicholas J Brannick, Cole Schotz PC                                | 140 | Stip. & (Proposed) Order -Substitution of Counsel | Stipulated Substitution of Counsel for Defendant, Counterclaim And Third-Party Plaintiff Highland Capital Management, L.P. withdrawing the Appearance of Kevin G. Abrams, J. Peter Shindel, Jr., and Matthew L. Miller and entering the appearance of Michael F. Bonkowski and Nicholas J. Brannick with Certificate of Service<br><ul style="list-style-type: none"> <li>• Linked to (3)</li> <li>• Linked from (1)</li> </ul> | Accepted | 0.1MB |
| 61795107 | 3/13/2018 2:23 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Sam Glasscock, DE Court of Chancery Civil Action                   | 139 | Letter  | Letter to Counsel<br><ul style="list-style-type: none"> <li>• Linked from (2)</li> </ul>  | Accepted | 0.1MB |
| 61764488 | 3/6/2018 4:04 PM EST  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 137 | Public Version                                    | [PUBLIC VERSION] Plaintiff's Motion for Summary Judgment Confirming Final Arbitration Award with Certificate of Service<br><ul style="list-style-type: none"> <li>• Linked to (1)</li> </ul>  | Accepted | 0.1MB |
|          |                       |                |   |  | 138 | Public Version                                    | [PUBLIC VERSION] [Proposed] Order Granting Plaintiff's Motion for   | Accepted | 0.1MB |



|          |                        |                |   |  |     |                             |  |          |       |
|----------|------------------------|----------------|---|--|-----|-----------------------------|--|----------|-------|
|          |                        |                |   |  |     |                             | Summary Judgment Confirming Final Arbitration Award with Certificate of Service<br>• Linked to (1)   |          |       |
| 61732616 | 2/27/2018 10:06 AM EST | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 136 | Motion for Summary Judgment | CONFIDENTIAL Plaintiff's Motion for Summary Judgment Confirming Final Arbitration Award<br>• Linked to (3)<br>• Linked from (5)  | Accepted | 0.1MB |
|          |                        |                |   |  |     | Exhibits                    | CONFIDENTIAL Exhibits A-D to Plaintiff's Motion for Summary Judgment Confirming Final Arbitration Award  | Accepted | 2.8MB |
|          |                        |                |   |  |     | Proposed Order              | CONFIDENTIAL [PROPOSED] Order Granting Plaintiff's Motion for Summary Judgment Confirming Final Arbitration Award<br>• Linked from (1)   | Accepted | 0.2MB |
|          |                        |                |   |  |     | Certificate of Service      | Certificate of Service to Plaintiff's Motion for Summary Judgment Confirming Final Arbitration Award   | Accepted | 0.1MB |
| 61732003 | 2/27/2018 8:46 AM EST  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Sam Glasscock, DE Court of Chancery Civil Action                   | 135 | Order                       | Granted ([Proposed] Order Granting Plaintiff's Motion to Permit Filing Under Seal of Plaintiff's Motion for Summary Judgment Confirming Final Arbitration Award)<br>• Linked to (1)<br>• Linked from (1) | Accepted | 0.2MB |
| 61714652 | 2/21/2018 4:49 PM EST  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital                  | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington | 134 | Motion                      | Plaintiff's Motion Pursuant to Rule 5.1 for an Order Granting Leave to Permit Filing Under Seal of Plaintiff's Motion for Summary Judgment Confirming Final  | Accepted | 0.1MB |

Case 3:21-cv-00538-N Document 26-53 Filed 06/09/21 Page 83 of 254 PageID 16967

|          |                        |                |   |   |     |   |  |          |       |
|----------|------------------------|----------------|---|---|-----|---|--|----------|-------|
|          |                        |                | Management, L.P.  |   |     | Arbitration Award (with Certificate of Service) <ul style="list-style-type: none"><li>• Linked to (1)</li></ul> |  |          |       |
|          |                        |                |   |   |     | Proposed Order  | [Proposed] Order Granting Plaintiffs Motion to Permit Filing Under Seal of Plaintiffs Motion for Summary Judgment Confirming Final Arbitration Award <ul style="list-style-type: none"><li>• Linked from (1)</li></ul>   | Accepted | 0.1MB |
| 61714156 | 2/21/2018 4:06 PM EST  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Jarrett W Horowitz, Morris Nichols Arsht & Tunnell LLP- Wilmington  | 133 | Entry of Appearance   | Entry of Appearance of Jarrett W. Horowitz of Morris, Nichols, Arsht & Tunnell LLP on behalf of Plaintiff- Counterclaim Defendant Redeemer Committee of the Highland Crusader Fund (with Certificate of Service) <ul style="list-style-type: none"><li>• Linked to (1)</li><li>• Linked from (1)</li></ul> | Accepted | 0.1MB |
| 61247079 | 10/16/2017 1:51 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Sam Glasscock, DE Court of Chancery Civil Action                    | 132 | Order   | Granted ([Proposed] Order to Motion to Withdraw of Glenn R. McGillivray as Counsel of Record) <ul style="list-style-type: none"><li>• Linked to (1)</li></ul>  | Accepted | 0.2MB |
| 61242154 | 10/13/2017 2:54 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Glenn R McGillivray, Morris Nichols Arsht & Tunnell LLP- Wilmington | 131 | Motion  | Motion to Withdraw of Glenn R. McGillivray as Counsel of Record with Certificate of Service <ul style="list-style-type: none"><li>• Linked to (1)</li></ul>  | Accepted | 0.1MB |
|          |                        |                |   |   |     | Proposed Order  | [Proposed] Order to Motion to Withdraw of Glenn R. McGillivray as Counsel of Record <ul style="list-style-type: none"><li>• Linked from (1)</li></ul>  | Accepted | 0.1MB |
| 60294084 | 3/6/2017 1:31 PM       | File And Serve | 12533-VCZ STAYED - CONF   | Sam Glasscock,  | 130 | Order   | Granted ((Proposed) Order  | Accepted | 0.2MB |

|          |                               |                   |   |  |     |  |   |          |       |
|----------|-------------------------------|-------------------|---|--|-----|--|---|----------|-------|
|          | EST                           |                   | ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P.                               | DE Court of<br>Chancery Civil<br>Action  |     |  | Implementing<br>Court's February<br>23, 2017 Letter<br>Opinion)<br>• Linked to (1)<br>• Linked from (2)   |          |       |
| 60288748 | 3/3/2017<br>4:04 PM<br>EST    | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Glenn R<br>McGillivray,<br>Morris Nichols<br>Arsht &<br>Tunnell LLP-<br>Wilmington | 129 | Proposed Order                           | (Proposed) Order<br>Implementing<br>Court's February<br>23, 2017 Letter<br>Opinion<br>• Linked to (1)<br>• Linked from (1)                      | Accepted | 0.1MB |
|          |                               |                   |   |  |     | Certificate of<br>Service                | Certificate of<br>Service to<br>(Proposed) Order<br>Implementing<br>Court's February<br>23, 2017 Letter<br>Opinion                              | Accepted | 0.1MB |
| 60250866 | 2/23/2017<br>4:01 PM<br>EST   | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Sam<br>Glasscock,<br>DE Court of<br>Chancery Civil<br>Action                       | 128 | Letter Decision                          | Letter Opinion<br>• Linked from (1)   | Accepted | 0.3MB |
| 59877163 | 11/28/2016<br>9:36 AM<br>EST  | File<br>Only      | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Neith Ecker,<br>DE Court of<br>Chancery Civil<br>Action                            | 127 | Official Transcript<br>(Addl Fees Apply) | November 10,<br>2016 - Oral<br>Argument on<br>Motion to Dismiss<br>and Motion for<br>Summary<br>Judgment and for<br>a Preliminary<br>Injunction | Accepted | 0.2MB |
| 59877159 | 11/28/2016<br>9:34 AM<br>EST  | File<br>Only      | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Neith Ecker,<br>DE Court of<br>Chancery Civil<br>Action                            | 126 | Official Transcript<br>(Addl Fees Apply) | October 11, 2016 -<br>Scheduling<br>Telephone<br>Conference   | Accepted | 0.1MB |
| 59858828 | 11/18/2016<br>10:33 PM<br>EST | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of   | Glenn R<br>McGillivray,<br>Morris Nichols<br>Arsht &                               | 125 | Letter                                   | Letter to The<br>Honorable Vice<br>Chancellor<br>Glasscock from<br>Kevin M. Coen  | Accepted | 0.1MB |

|          |                        |                |   |   |     |                      |  |          |       |
|----------|------------------------|----------------|---|---|-----|----------------------|--|----------|-------|
|          |                        |                | the Highland Crusader Fund v. Highland Capital Management, L.P.   | Tunnell LLP - Wilmington                                |     |                      | regarding the Court's November 10, 2016 request for a stipulated flow chart<br>• Linked to (2)   |          |       |
|          |                        |                |   |   |     | Exhibits             | Exhibit A to Letter to The Honorable Vice Chancellor Glasscock from Kevin M. Coen regarding the Court's November 10, 2016 request for a stipulated flow chart, CONFIDENTIAL<br>• Linked to (1) | Accepted | 0.1MB |
| 59831596 | 11/14/2016 5:29 PM EST | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | J Peter Shindel, Abrams & Bayliss LLP                   | 124 | Public Version       | Public Version of Highland's Reply Brief in Further Support of its Motion for Summary Judgment, with Certificate of Service<br>• Linked to (1)   | Accepted | 0.4MB |
| 59820576 | 11/10/2016 2:50 PM EST | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Register in Chancery, DE Court of Chancery Civil Action | 123 | Judicial Action Form | Oral Argument held before Vice Chancellor Glasscock on Motions to Dismiss and Motion for Summary Judgment.<br>• Linked from (1)  | Accepted | 0.1MB |
| 59813359 | 11/9/2016 12:47 PM EST | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | J Peter Shindel, Abrams & Bayliss LLP                   | 122 | Letter               | Letter to The Honorable Sam Glasscock, III from J. Peter Shindel, Esquire enclosing courtesy copies of Highland's Reply Brief in Further Support of its Motion to Dismiss<br>• Linked to (1)   | Accepted | 0.1MB |
| 59804771 | 11/7/2016 10:48 PM EST | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | J Peter Shindel, Abrams & Bayliss LLP                   | 121 | Reply Brief          | Highland's Reply Brief in Further Support of its Motion for Summary Judgment [Confidential Filing]<br>• Linked to (1)<br>• Linked from (2)   | Accepted | 0.6MB |

|          |                       |                |   |   |     |                        |   |          |       |
|----------|-----------------------|----------------|---|---|-----|------------------------|---|----------|-------|
|          |                       |                |   |   |     | Exhibits               | Exhibits 1-6 to Highland's Reply Brief in Further Support of its Motion for Summary Judgment  | Accepted | 3.2MB |
|          |                       |                |   |   |     | Certification          | Certificate of Compliance with Typeface Requirement and Type-Volume Limitation re: Highland's Reply Brief in Further Support of its Motion for Summary Judgment   | Accepted | 0.1MB |
|          |                       |                |   |   |     | Certificate of Service | Certificate of Service re: Highland's Reply Brief in Further Support of its Motion for Summary Judgment   | Accepted | 0.1MB |
| 59804671 | 11/7/2016 8:28 PM EST | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Glenn R McGillivray, Morris Nichols Arsht & Tunnell LLP- Wilmington | 120 | Public Version         | PUBLIC VERSION - Plaintiffs Opposition to Defendant's Motion for Summary Judgment and Reply Brief in Support of Its Motion to Dismiss Defendant's Amended Counterclaim for Advancement and to Stay or Dismiss Defendant's Amended Counterclaim for Indemnification (with Certificate of Service)<br>• Linked to (1) | Accepted | 2.9MB |
| 59801680 | 11/7/2016 3:44 PM EST | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Christopher G Browne, Potter Anderson & Corroon LLP- Wilmington     | 119 | Public Version         | Redacted Version of House Hanover, LLC's Reply Brief in Support of its Motion to Dismiss Third Party Plaintiffs Amended Counterclaim for Advancement and to Stay or Dismiss Third Party   | Accepted | 0.3MB |

|          |                       |                |   |   |     |                |  |          |       |
|----------|-----------------------|----------------|---|---|-----|----------------|--|----------|-------|
|          |                       |                |   |   |     |                | Plaintiffs Amended Counterclaim for Indemnification and Opposition to Third Party Plaintiff's Motion for Summary Judgment with Certificate of Service<br>• Linked to (1)   |          |       |
| 59801548 | 11/7/2016 3:39 PM EST | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Daniel Kirshenbaum, Young Conaway Stargatt & Taylor LLP- Wilmington | 117 | Public Version | [PUBLIC] Reply Brief of Third-Party Defendant Alvarez & Marsal CRF Management, LLC in Further Support of Its Motion to Dismiss The Amended Counterclaim and Third-Party Complaint and Answering Brief in Opposition to Highland Capital Management, L.P's Motion for Summary Judgment with certificate of service<br>• Linked to (1)                                 | Accepted | 0.9MB |
|          |                       |                |   |   | 118 | Public Version | [PUBLIC] Affidavit of Steven Varner for Reply Brief of Third- Party Defendant Alvarez & Marsal CRF Management, LLC in Further Support of Its Motion to Dismiss The Amended Counterclaim and Third-Party Complaint and Answering Brief in Opposition to Highland Capital Management, L.P's Motion for Summary Judgment with certificate of service<br>• Linked to (1) | Accepted | 0.3MB |
| 59771983 | 11/1/2016 1:11 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of   | Daniel Kirshenbaum, Young Conaway Stargatt &                        | 116 | Affidavit      | [SEALED] Affidavit of Steven Varner for Reply Brief of Third- Party Defendant Alvarez  | Accepted | 0.2MB |

|          |                        |                |   |   |     |                        |  |          |       |
|----------|------------------------|----------------|---|---|-----|------------------------|--|----------|-------|
|          |                        |                | the Highland Crusader Fund v. Highland Capital Management, L.P.   | Taylor LLP - Wilmington   |     |                        | & Marsal CRF Management, LLC in Further Support of Its Motion to Dismiss The Amended Counterclaim and Third-Party Complaint and Answering Brief in Opposition to Highland Capital Management, L.P's Motion for Summary Judgment <ul style="list-style-type: none"> <li>• Linked to (1)</li> <li>• Linked from (1)</li> </ul>             |          |       |
|          |                        |                |   |   |     | Certificate of Service | Certificate of Service to Affidavit of Steven Varner for Reply Brief of Third-Party Defendant Alvarez & Marsal CRF Management, LLC in Further Support of Its Motion to Dismiss The Amended Counterclaim and Third-Party Complaint and Answering Brief in Opposition to Highland Capital Management, L.P's Motion for Summary Judgment    | Accepted | 0.1MB |
| 59771628 | 11/1/2016 12:31 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Glenn R McGillivray, Morris Nichols Arsht & Tunnell LLP- Wilmington | 115 | Letter                 | Letter to The Honorable Sam Glasscock, III from Glenn R. McGillivray enclosing two courtesy copies of Plaintiff's Opposition to Defendant's Motion for Summary Judgment and Reply Brief in Support of Its Motion to Dismiss Defendant's Amended Counterclaim for Advancement and to Stay or Dismiss Defendant's Amended Counterclaim for | Accepted | 0.1MB |



|          |                        |                |   |   |     |        |   |          |       |
|----------|------------------------|----------------|---|---|-----|--------|---|----------|-------|
|          |                        |                |   |   |     |        | Indemnification, the Affidavit of Steven Varner, and supporting documents, which were filed with the Court on Monday, October 31, 2016<br><ul style="list-style-type: none"> <li>Linked to (2)</li> </ul>   |          |       |
| 59770230 | 11/1/2016 12:06 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Timothy R Dudderar, Potter Anderson & Corroon LLP- Wilmington       | 114 | Letter | LETTER TO THE HONORABLE SAM GLASSCOCK, III FROM TIMOTHY R. DUDDERAR, ENCLOSING COURTESY COPIES OF HOUSE HANOVER, LLC'S REPLY BRIEF IN SUPPORT OF ITS MOTION TO DISMISS THIRD PARTY PLAINTIFF'S AMENDED COUNTERCLAIM FOR ADVANCEMENT AND TO STAY OR DISMISS THIRD PARTY PLAINTIFF'S AMENDED COUNTERCLAIM FOR INDEMNIFICATION AND OPPOSITION TO THIRD PARTY PLAINTIFFS MOTION FOR SUMMARY JUDGMENT<br><ul style="list-style-type: none"> <li>Linked to (1)</li> </ul> | Accepted | 0.1MB |
| 59770993 | 11/1/2016 11:31 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Daniel Kirshenbaum, Young Conaway Stargatt & Taylor LLP- Wilmington | 113 | Letter | Letter to The Honorable Sam Glasscock III from Daniel M. Kirshenbaum enclosing copies of Alvarez & Marsal CRF Management, LLC's (I) Reply Brief in Further Support of Its Motion to Dismiss the Amended Counterclaim and Third-Party Complaint and (II) Answering Brief in Opposition to Highland Capital   | Accepted | 0.1MB |

|          |                         |                |   |   |     |               |  |          |       |
|----------|-------------------------|----------------|---|---|-----|---------------|--|----------|-------|
|          |                         |                |   |   |     |               | Management, L.P.'s Motion for Summary Judgment <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>   |          |       |
| 59768296 | 10/31/2016 11:52 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Timothy R Dudderar, Potter Anderson & Corroon LLP- Wilmington | 112 | Reply Brief   | [CONFIDENTIAL FILING] HOUSE HANOVER, LLC'S REPLY BRIEF IN SUPPORT OF ITS MOTION TO DISMISS THIRD PARTY PLAINTIFF'S AMENDED COUNTERCLAIM FOR ADVANCEMENT AND TO STAY OR DISMISS THIRD PARTY PLAINTIFF'S AMENDED COUNTERCLAIM FOR INDEMNIFICATION AND OPPOSITION TO THIRD PARTY PLAINTIFF'S MOTION FOR SUMMARY JUDGMENT <ul style="list-style-type: none"> <li>Linked to (6)</li> <li>Linked from (2)</li> </ul> | Accepted | 0.3MB |
|          |                         |                |   |   |     | Certification | CERTIFICATE OF COMPLIANCE WITH TYPEFACE REQUIREMENT AND TYPE-VOLUME LIMITATION TO HOUSE HANOVER, LLC'S REPLY BRIEF IN SUPPORT OF ITS MOTION TO DISMISS THIRD PARTY PLAINTIFF'S AMENDED COUNTERCLAIM FOR ADVANCEMENT AND TO STAY OR DISMISS THIRD PARTY PLAINTIFF'S AMENDED COUNTERCLAIM FOR INDEMNIFICATION AND OPPOSITION TO THIRD PARTY PLAINTIFF'S MOTION FOR   | Accepted | 0.1MB |

|          |                         |                |   |   |     |                        | SUMMARY<br>JUDGMENT  |          |       |
|----------|-------------------------|----------------|---|---|-----|------------------------|--|----------|-------|
|          |                         |                |   |   |     |                        |  |          |       |
|          |                         |                |   |   |     | Certificate of Service | CERTIFICATE OF SERVICE TO HOUSE HANOVER, LLC'S REPLY BRIEF IN SUPPORT OF ITS MOTION TO DISMISS THIRD PARTY PLAINTIFF'S AMENDED COUNTERCLAIM FOR ADVANCEMENT AND TO STAY OR DISMISS THIRD PARTY PLAINTIFF'S AMENDED COUNTERCLAIM FOR INDEMNIFICATION AND OPPOSITION TO THIRD PARTY PLAINTIFF'S MOTION FOR SUMMARY JUDGMENT  | Accepted | 0.1MB |
| 59769458 | 10/31/2016 11:50 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Glenn R McGillivray, Morris Nichols Arsht & Tunnell LLP- Wilmington | 111 | Opposition             | [CONFIDENTIAL FILING] Plaintiff's Opposition to Defendant's Motion for Summary Judgment and Reply Brief in Support of Its Motion to Dismiss Defendant's Amended Counterclaim for Advancement, To Stay or Dismiss Defendant's Amended Counterclaim for Indemnification <ul style="list-style-type: none"> <li>• Linked to (5)</li> <li>• Linked from (2)</li> </ul> | Accepted | 0.6MB |
|          |                         |                |   |   |     | Exhibits               | [CONFIDENTIAL] Exhibit A-1 to Plaintiff's Opposition to Defendant's Motion for Summary Judgment and Reply Brief in Support of Its Motion to Dismiss Defendant's Amended  | Accepted | 0.7MB |

|  |          |   |          |       |
|--|----------|---|----------|-------|
|  |          | Counterclaim for Advancement, To Stay or Dismiss Defendant's Amended Counterclaim for Indemnification   |          |       |
|  | Exhibits | [CONFIDENTIAL] Exhibit A-2 to Plaintiff's Opposition to Defendant's Motion for Summary Judgment and Reply Brief in Support of Its Motion to Dismiss Defendant's Amended Counterclaim for Advancement, To Stay or Dismiss Defendant's Amended Counterclaim for Indemnification | Accepted | 3.4MB |
|  | Exhibits | [CONFIDENTIAL] Exhibit A-3 to Plaintiff's Opposition to Defendant's Motion for Summary Judgment and Reply Brief in Support of Its Motion to Dismiss Defendant's Amended Counterclaim for Advancement, To Stay or Dismiss Defendant's Amended Counterclaim for Indemnification | Accepted | 5.5MB |
|  | Exhibits | [CONFIDENTIAL] Exhibit A-4 to Plaintiff's Opposition to Defendant's Motion for Summary Judgment and Reply Brief in Support of Its Motion to Dismiss Defendant's Amended Counterclaim for Advancement, To Stay or Dismiss  | Accepted | 4.1MB |

|  |          |   |          |       |
|--|----------|---|----------|-------|
|  |          | Defendant's Amended Counterclaim for Indemnification  |          |       |
|  | Exhibits | [CONFIDENTIAL] Exhibit B to Plaintiff's Opposition to Defendant's Motion for Summary Judgment and Reply Brief in Support of Its Motion to Dismiss Defendant's Amended Counterclaim for Advancement, To Stay or Dismiss Defendant's Amended Counterclaim for Indemnification | Accepted | 0.5MB |
|  | Exhibits | Exhibits C-E to Plaintiff's Opposition to Defendant's Motion for Summary Judgment and Reply Brief in Support of Its Motion to Dismiss Defendant's Amended Counterclaim for Advancement, To Stay or Dismiss Defendant's Amended Counterclaim for Indemnification             | Accepted | 2.7MB |
|  | Exhibits | Exhibits F-H to Plaintiff's Opposition to Defendant's Motion for Summary Judgment and Reply Brief in Support of Its Motion to Dismiss Defendant's Amended Counterclaim for Advancement, To Stay or Dismiss Defendant's Amended Counterclaim for Indemnification             | Accepted | 3.6MB |
|  |          |   |          |       |

|                        |   |          |       |
|------------------------|---|----------|-------|
| Certification          | Certificate of Compliance With Typeface Requirement and Type-Volume Limitation to Plaintiff's Opposition to Defendant's Motion for Summary Judgment and Reply Brief in Support of Its Motion to Dismiss Defendant's Amended Counterclaim for Advancement, To Stay or Dismiss Defendant's Amended Counterclaim for Indemnification | Accepted | 0.1MB |
| Certificate of Service | Certificate of Service to Plaintiff's Opposition to Defendant's Motion for Summary Judgment and Reply Brief in Support of Its Motion to Dismiss Defendant's Amended Counterclaim for Advancement, To Stay or Dismiss Defendant's Amended Counterclaim for Indemnification   | Accepted | 0.1MB |
| Affidavit              | [CONFIDENTIAL] Affidavit of Steven Varner regarding Plaintiff's Opposition to Defendant's Motion for Summary Judgment and Reply Brief in Support of Its Motion to Dismiss Defendant's Amended Counterclaim for Advancement, To Stay or Dismiss Defendant's Amended  | Accepted | 0.2MB |

|          |                        |                |   |   |     |                        |  |          |       |
|----------|------------------------|----------------|---|---|-----|------------------------|--|----------|-------|
|          |                        |                |   |   |     |                        | Counterclaim for Indemnification   |          |       |
|          |                        |                |   |   |     |                        | <ul style="list-style-type: none"> <li>Linked from (1)</li> </ul>  |          |       |
|          |                        |                |   |   |     | Certificate of Service | Certificate of Service to Affidavit of Steven Varner   | Accepted | 0.1MB |
| 59769137 | 10/31/2016 7:18 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Daniel Kirshenbaum, Young Conaway Stargatt & Taylor LLP- Wilmington | 110 | Reply Brief            | [SEALED] Reply Brief of Third-Party Defendant Alvarez & Marsal CRF Management, LLC in Further Support of Its Motion to Dismiss The Amended Counterclaim and Third-Party Complaint and Answering Brief in Opposition to Highland Capital Management, L.P's Motion for Summary Judgment <ul style="list-style-type: none"> <li>Linked to (2)</li> <li>Linked from (3)</li> </ul> | Accepted | 0.1MB |
|          |                        |                |   |   |     | Certification          | Certificate of Compliance with Typeface Requirement and Type-Volume Limitation to Reply Brief of Third- Party Defendant Alvarez & Marsal CRF Management, LLC in Further Support of Its Motion to Dismiss The Amended Counterclaim and Third-Party Complaint and Answering Brief in Opposition to Highland Capital Management, L.P's Motion for Summary Judgment                | Accepted | 0.1MB |
|          |                        |                |   |   |     | Certificate of Service | Certificate of Service to Reply Brief of Third-Party Defendant Alvarez & Marsal CRF Management, LLC in Further Support of Its Motion to Dismiss  | Accepted | 0.1MB |



|          |                         |                |   |  |     |                        |  |          |       |
|----------|-------------------------|----------------|---|--|-----|------------------------|--|----------|-------|
|          |                         |                |   |  |     |                        | The Amended Counterclaim and Third-Party Complaint and Answering Brief in Opposition to Highland Capital Management, L.P.'s Motion for Summary Judgment  |          |       |
| 59768153 | 10/31/2016 4:58 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | J Peter Shindel, Abrams & Bayliss LLP                            | 109 | Public Version         | Public Version of Highland's Answering Brief in Opposition to Alvarez & Marsal CRF Management's Motion to Dismiss, with Certificate of Service<br>• Linked to (1)  | Accepted | 0.1MB |
| 59747477 | 10/26/2016 10:55 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Matthew L Miller, Abrams & Bayliss LLP                           | 108 | Proposed Order         | [Proposed] Order Dismissing Alvarez & Marsal CRF Management LLC, filed on behalf of Highland Capital Management, L.P.<br>• Linked to (3)   | Accepted | 0.1MB |
|          |                         |                |   |  |     | Certificate of Service | Certificate of Service to [Proposed] Order Dismissing Alvarez and Marsal CRF Management LLC  | Accepted | 0.1MB |
| 59746812 | 10/25/2016 6:56 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Paul J Loughman, Young Conaway Stargatt & Taylor LLP- Wilmington | 107 | Public Version         | [PUBLIC VERSION] Opening Brief of Third-Party Defendant Alvarez & Marsal CRF Management, LLC in Support of Its Motion to Dismiss the Amended Counterclaim and Third-Party Complaint with Certificate of Service<br>• Linked to (1) | Accepted | 1.5MB |
| 59742268 | 10/25/2016 1:17 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital                  | J Peter Shindel, Abrams & Bayliss LLP                            | 106 | Letter                 | Letter to The Honorable Sam Glasscock, III from J. Peter Shindel, Esquire enclosing courtesy copies of Highland's Answering Brief in Opposition to Alvarez & Marsal  | Accepted | 0.1MB |

Case 3:21-cv-00538-N Document 26-53 Filed 06/09/21 Page 97 of 254 PageID 16981

|          |                         |                |   |  |     |  |  |          |       |
|----------|-------------------------|----------------|---|--|-----|--|--|----------|-------|
|          |                         |                | Management, L.P.  |  |     | CRF Management's Motion to Dismiss <ul style="list-style-type: none"><li>• Linked to (1)</li></ul> |  |          |       |
| 59741281 | 10/24/2016 10:51 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | J Peter Shindel, Abrams & Bayliss LLP            | 105 | Answering Brief  | Highland's Answering Brief in Opposition to Alvarez and Marsal CRF Management's Motion to Dismiss (CONFIDENTIAL FILING) <ul style="list-style-type: none"><li>• Linked to (4)</li><li>• Linked from (2)</li></ul>  | Accepted | 0.5MB |
|          |                         |                |   |  |     | Certification  | Certificate of Compliance with Typeface Requirement and Type-Volume Limitation in Support of Highland's Answering Brief in Opposition to Alvarez and Marsal CRF Management's Motion to Dismiss   | Accepted | 0.1MB |
|          |                         |                |   |  |     | Certificate of Service   | Certificate of Service to Highland's Answering Brief in Opposition to Alvarez and Marsal CRF Management's Motion to Dismiss  | Accepted | 0.1MB |
| 59740608 | 10/24/2016 9:14 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | J Peter Shindel, Abrams & Bayliss LLP            | 104 | Public Version   | Public Version of Highland's Answering Brief in Opposition to Defendants' Motions to Dismiss and Opening Brief in Support of Highland's Motion for Summary Judgment, with Certificate of Service <ul style="list-style-type: none"><li>• Linked to (2)</li></ul> | Accepted | 0.4MB |
| 59725311 | 10/20/2016 1:24 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital                  | Sam Glasscock, DE Court of Chancery Civil Action | 103 | Order  | Granted (Stipulation and [Proposed] Order Governing Briefing on Motions to Dismiss and Motion for  | Accepted | 0.3MB |

|          |                        |                |   |  |     |                        |   |          |       |
|----------|------------------------|----------------|---|--|-----|------------------------|---|----------|-------|
|          |                        |                | Management, L.P.  |  |     |                        | Summary Judgment)<br><ul style="list-style-type: none"> <li>• Linked to (1)</li> <li>• Linked from (7)</li> </ul>   |          |       |
| 59720144 | 10/19/2016 3:19 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | J Peter Shindel, Abrams & Bayliss LLP                          | 102 | Letter                 | Letter to The Honorable Sam Glasscock, III from J. Peter Shindel, Esquire enclosing courtesy copies of (i) Highland Capital Management, L.P.'s Motion for Summary Judgment; and (ii) Highland's Answering Brief in Opposition to Defendants' Motions to Dismiss and Opening Brief in Support of Highland's Motion for Summary Judgment<br><ul style="list-style-type: none"> <li>• Linked to (1)</li> </ul> | Accepted | 0.1MB |
| 59715412 | 10/18/2016 4:42 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Elena C Norman, Young Conway Stargatt & Taylor LLP- Wilmington | 101 | Opening Brief          | [SEALED] Opening Brief of Third-Party Defendant Alvarez & Marsal CRF Management, LLC in Support of Its Motion to Dismiss the Amended Counterclaim and Third-Party Complaint<br><ul style="list-style-type: none"> <li>• Linked to (4)</li> <li>• Linked from (3)</li> </ul>   | Accepted | 0.3MB |
|          |                        |                |   |  |     | Certification          | Certificate of Compliance With Typeface Requirement and Type-Volume Limitation to Opening Brief of Third-Party Defendant Alvarez & Marsal CRF Management, LLC in Support of Its Motion to Dismiss the Amended Counterclaim and Third-Party Complaint  | Accepted | 0.1MB |
|          |                        |                |   |  |     | Certificate of Service | Certificate of Service to Opening Brief of  | Accepted | 0.1MB |

Case 3:21-cv-00538-N Document 26-53 Filed 06/09/21 Page 99 of 254 PageID 16983

|          |                         |                |   |  |     |                             |   |          |       |
|----------|-------------------------|----------------|---|--|-----|-----------------------------|---|----------|-------|
|          |                         |                |   |  |     |                             | Third-Party Defendant Alvarez & Marsal CRF Management, LLC in Support of Its Motion to Dismiss the Amended Counterclaim and Third-Party Complaint   |          |       |
|          |                         |                |   |  |     | Letter                      | Letter to The Honorable Sam Glasscock III from Paul J. Loughman enclosing courtesy copies of Opening Brief of Third-Party Defendant Alvarez & Marsal CRF Management, LLC in Support of Its Motion to Dismiss the Amended Counterclaim and Third-Party Complaint | Accepted | 0.1MB |
| 59713106 | 10/18/2016 12:36 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Sam Glasscock, DE Court of Chancery Civil Action | 100 | Order                       | Granted ([Proposed] Order Permitting Confidential Filing) <ul style="list-style-type: none"><li>• Linked to (1)</li><li>• Linked from (2)</li></ul>   | Accepted | 0.3MB |
| 59709586 | 10/17/2016 10:48 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | J Peter Shindel, Abrams & Bayliss LLP            | 99  | Motion for Summary Judgment | Highland Capital Management, L.P.'s Motion for Summary Judgment <ul style="list-style-type: none"><li>• Linked to (6)</li><li>• Linked from (3)</li></ul>   | Accepted | 0.1MB |
|          |                         |                |   |  |     | Opening Brief               | Highland's Answering Brief in Opposition to Defendants' Motions to Dismiss and Opening Brief in Support of Highland's Motion for Summary Judgment [CONFIDENTIAL FILING] <ul style="list-style-type: none"><li>• Linked from (3)</li></ul>                       | Accepted | 0.7MB |
|          |                         |                |   |  |     | Certification               | Certificate of Compliance with Typeface   | Accepted | 0.1MB |

Case 3:21-cv-00538-N Document 26-53 Filed 06/09/21 Page 100 of 254 PageID 16984

|          |                        |                |   |   |    |                                |  |          |       |
|----------|------------------------|----------------|---|---|----|--------------------------------|--|----------|-------|
|          |                        |                |   |   |    |                                | Requirement and Type-Volume Requirement in support of Highland's Answering Brief in Opposition to Defendants' Motions to Dismiss and Opening Brief in Support of Highland's Motion for Summary Judgment  |          |       |
|          |                        |                |   |   |    | Certificate of Service         | Certificate of Service to (i) Highland Capital Management, L.P.'s Motion for Summary Judgment and (ii) Highland's Answering Brief in Opposition to Defendant Motions to Dismiss and Opening Brief in Support of Highland's Motion for Summary Judgment | Accepted | 0.1MB |
| 59710047 | 10/17/2016 6:56 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Glenn R McGillivray, Morris Nichols Arsht & Tunnell LLP- Wilmington | 98 | Stipulation & (Proposed) Order | Stipulation and [Proposed] Order Governing Briefing on Motions to Dismiss and Motion for Summary Judgment <ul style="list-style-type: none"><li>Linked to (7)</li><li>Linked from (1)</li></ul>  | Accepted | 0.1MB |
| 59698241 | 10/13/2016 7:56 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Daniel Kirshenbaum, Young Conaway Stargatt & Taylor LLP- Wilmington | 97 | Motion                         | Third-Party Defendant Alvarez & Marsal CRF Management, LLC's Motion for Confidential Treatment with Certificate of Service <ul style="list-style-type: none"><li>Linked to (3)</li></ul>   | Accepted | 0.1MB |
|          |                        |                |   |   |    | Proposed Order                 | [Proposed] Order Permitting Confidential Filing <ul style="list-style-type: none"><li>Linked from (1)</li></ul>  | Accepted | 0.1MB |
| 59685639 | 10/12/2016 9:44 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer  | Sam Glasscock, DE Court of  | 96 | Judicial Action Form           | Judicial Action Form completed by Neith Ecker, Court Reporter.   | Accepted | 0.1MB |

|          |                        |                |   |  |    |                                |   |          |       |
|----------|------------------------|----------------|---|--|----|--------------------------------|---|----------|-------|
|          |                        |                | Committee of the Highland Crusader Fund v. Highland Capital Management, L.P.  | Chancery Civil Action  |    |                                | Teleconference held 10-11-16 in regards to case scheduling Motion to Dismiss and Motion for Advance Summary Judgment.<br><ul style="list-style-type: none"> <li>• Linked to (4)</li> <li>• Linked from (4)</li> </ul>                               |          |       |
| 59678116 | 10/10/2016 6:26 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Elena C Norman, Young Conway Stargatt & Taylor LLP- Wilmington | 95 | Motion to Dismiss              | Third-Party Defendant Alvarez & Marsal CRF Management, LLC's Motion to Dismiss with certificate of service<br><ul style="list-style-type: none"> <li>• Linked to (1)</li> <li>• Linked from (5)</li> </ul>  | Accepted | 0.1MB |
| 59623544 | 9/28/2016 2:54 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Sam Glasscock, DE Court of Chancery Civil Action               | 94 | Order - Pro Hac Vice           | Granted (King, Marshall R.: [Proposed] Order to Motion for Admission Pro Hac Vice of Marshall R. King to represent third-party defendant Alvarez & Marsal CRF Management, LLC)<br><ul style="list-style-type: none"> <li>• Linked to (1)</li> </ul> | Accepted | 0.2MB |
| 59618765 | 9/27/2016 5:35 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Elena C Norman, Young Conway Stargatt & Taylor LLP- Wilmington | 93 | Motion for Pro Hac Vice        | King, Marshall R.: Motion for Admission Pro Hac Vice of Marshall R. King to represent third-party defendant Alvarez & Marsal CRF Management, LLC with Certificate of Service<br><ul style="list-style-type: none"> <li>• Linked to (1)</li> </ul>   | Accepted | 0.1MB |
|          |                        |                |   |  |    | Proposed Order - Pro Hac Vice  | King, Marshall R.: [Proposed] Order to Motion for Admission Pro Hac Vice of Marshall R. King to represent third-party defendant Alvarez & Marsal CRF Management, LLC<br><ul style="list-style-type: none"> <li>• Linked from (1)</li> </ul>         | Accepted | 0.1MB |
|          |                        |                |   |  |    | Certification for Pro Hac Vice | King, Marshall R.: Certification of   | Accepted | 0.1MB |

|          |                       |                |   |   |    |                     |  |          |       |
|----------|-----------------------|----------------|---|---|----|---------------------|--|----------|-------|
|          |                       |                |   |   |    |                     | Marshall R. King<br>Pursuant to Court of Chancery Rule 170)c) to Motion for Admission Pro Hac Vice of Marshall R. King to represent third-party defendant Alvarez & Marsal CRF Management, LLC   |          |       |
| 59613201 | 9/27/2016 9:14 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Elena C Norman, Young Conaway Stargatt & Taylor LLP- Wilmington     | 91 | Entry of Appearance | Entry of Appearance of Young Conaway Stargatt & Taylor, LLP as counsel for third-party defendant Alvarez & Marsal CRF Management, LLC with certificate of service<br>• Linked to (2)   | Accepted | 0.1MB |
|          |                       |                |   |   | 92 | Letter              | Letter to The Honorable Sam Glasscock III from Elena C. Norman regarding the schedule for addressing the issue of advancement<br>• Linked to (2)<br>• Linked from (1)  | Accepted | 0.1MB |
| 59612336 | 9/26/2016 6:46 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Glenn R McGillivray, Morris Nichols Arsht & Tunnell LLP- Wilmington | 90 | Public Version      | [PUBLIC VERSION] Plaintiffs Brief in Support of Its Motion to Dismiss Defendant's Amended Counterclaim for Advancement and to Stay or Dismiss Defendant's Amended Counterclaim for Indemnification, with Certificate of Service<br>• Linked to (3) | Accepted | 1.2MB |
| 59610739 | 9/26/2016 3:23 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Christopher G Browne, Potter Anderson & Corroon LLP- Wilmington     | 89 | Public Version      | Redacted Public Version of House Hanover, LLC's Opening Brief in Support of its Motion to Dismiss Third Party Plaintiffs Amended Counterclaim for Advancement and  | Accepted | 0.1MB |



|          |                       |                |   |   |    |                |  |          |       |
|----------|-----------------------|----------------|---|---|----|----------------|--|----------|-------|
|          |                       |                |   |   |    |                | to Stay or Dismiss Third Party Plaintiff's Amended Counterclaims for Indemnification with Certificate of Service   |          |       |
|          |                       |                |   |   |    |                | <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>  |          |       |
| 59606234 | 9/23/2016 6:44 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Glenn R McGillivray, Morris Nichols Arsht & Tunnell LLP- Wilmington | 88 | Letter         | Letter to the Honorable Sam Glasscock, III from Kevin M. Coen in Response to Letter Submitted September 22, 2016 by Counsel for Defendant-Counter-Plaintiff Highland Capital Management, L.P. <ul style="list-style-type: none"> <li>Linked to (3)</li> <li>Linked from (1)</li> </ul> | Accepted | 0.1MB |
| 59596660 | 9/22/2016 1:37 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | J Peter Shindel, Abrams & Bayliss LLP                               | 87 | Letter         | Letter to The Honorable Sam Glasscock, III from J. Peter Shindel, Jr., Esq. Enclosing Proposed Scheduling Order Governing Amended Counterclaim and Third-Party Complaint <ul style="list-style-type: none"> <li>Linked to (3)</li> <li>Linked from (3)</li> </ul>                      | Accepted | 0.1MB |
|          |                       |                |   |   |    | Proposed Order | [Proposed] Order for a Schedule Governing Amended Counterclaim and Third-Party Complaint   | Accepted | 0.1MB |
| 59582272 | 9/20/2016 3:43 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Timothy R Dudderar, Potter Anderson & Corroon LLP- Wilmington       | 86 | Letter         | LETTER TO THE HONORABLE SAM GLASSCOCK, III FROM TIMOTHY R. DUDDERAR, ENCLOSING COURTESY COPIES OF HOUSE HANOVER, LLC'S OPENING BRIEF IN SUPPORT OF ITS MOTION TO DISMISS THIRD PARTY PLAINTIFF'S AMENDED COUNTERCLAIM  | Accepted | 0.1MB |

|          |                       |                     |   |   |    |            |   |          |       |
|----------|-----------------------|---------------------|---|---|----|------------|---|----------|-------|
|          |                       |                     |   |   |    |            | FOR ADVANCEMENT AND TO STAY OR DISMISS THIRD PARTY PLAINTIFF'S AMENDED COUNTERCLAIM FOR INDEMNIFICATION   |          |       |
|          |                       |                     |   |   |    |            | <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>   |          |       |
| 59582541 | 9/20/2016 1:09 PM EDT | File And Serve      | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Glenn R McGillivray, Morris Nichols Arsht & Tunnell LLP- Wilmington | 85 | Letter     | Letter to The Honorable Sam Glasscock III from Glenn R. McGillivray enclosing two courtesy copies of Plaintiffs Brief in Support of its Motion to Dismiss Defendant's Amended Counterclaim for Advancement and to Stay or Dismiss Defendant's Amended Counterclaim for Indemnification, which was filed with the Court on September 20, 2016 in the above-referenced matter | Accepted | 0.1MB |
|          |                       |                     |   |   |    |            | <ul style="list-style-type: none"> <li>Linked to (2)</li> </ul>   |          |       |
| 59582470 | 9/20/2016 1:08 PM EDT | Serve Only - Public | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Glenn R McGillivray, Morris Nichols Arsht & Tunnell LLP- Wilmington |    | Compendium | Compendium of Key Authorities Cited in Plaintiffs Brief in Support of its Motion to Dismiss Defendant's Amended Counterclaim for Advancement and to Stay or Dismiss Defendant's Amended Counterclaim for Indemnification with Certificate of Service  | N/A      | 0.1MB |
|          |                       |                     |   |   |    | Exhibits   | Tabs 1 through 14 to Compendium of Key Authorities Cited in Plaintiffs Brief in Support of its Motion to Dismiss  | N/A      | 2.3MB |

|          |                        |                |   |   |    |                        |  |          |       |
|----------|------------------------|----------------|---|---|----|------------------------|--|----------|-------|
|          |                        |                |   |   |    |                        | Defendant's Amended Counterclaim for Advancement and to Stay or Dismiss Defendant's Amended Counterclaim for Indemnification   |          |       |
| 59580624 | 9/19/2016 11:43 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Timothy R Dudderar, Potter Anderson & Corroon LLP- Wilmington | 84 | Opening Brief          | [CONFIDENTIAL FILING] HOUSE HANOVER, LLC'S OPENING BRIEF IN SUPPORT OF ITS MOTION TO DISMISS THIRD PARTY PLAINTIFF'S AMENDED COUNTERCLAIM FOR ADVANCEMENT AND TO STAY OR DISMISS THIRD PARTY PLAINTIFF'S AMENDED COUNTERCLAIM FOR INDEMNIFICATION <ul style="list-style-type: none"> <li>Linked to (3)</li> <li>Linked from (3)</li> </ul> | Accepted | 0.2MB |
|          |                        |                |   |   |    | Certification          | CERTIFICATE OF COMPLIANCE WITH TYPEFACE REQUIREMENT AND TYPE-VOLUME LIMITATION TO HOUSE HANOVER, LLC'S OPENING BRIEF IN SUPPORT OF ITS MOTION TO DISMISS THIRD PARTY PLAINTIFF'S AMENDED COUNTERCLAIM FOR ADVANCEMENT AND TO STAY OR DISMISS THIRD PARTY PLAINTIFF'S AMENDED COUNTERCLAIM FOR INDEMNIFICATION                              | Accepted | 0.1MB |
|          |                        |                |   |   |    | Certificate of Service | CERTIFICATE OF SERVICE TO HOUSE HANOVER, LLC'S OPENING   | Accepted | 0.1MB |

|          |                        |                |   |   |    |               |   |          |       |
|----------|------------------------|----------------|---|---|----|---------------|---|----------|-------|
|          |                        |                |   |   |    |               | BRIEF IN SUPPORT OF ITS MOTION TO DISMISS THIRD PARTY PLAINTIFF'S AMENDED COUNTERCLAIM FOR ADVANCEMENT AND TO STAY OR DISMISS THIRD PARTY PLAINTIFF'S AMENDED COUNTERCLAIM FOR INDEMNIFICATION  |          |       |
| 59581258 | 9/19/2016 11:39 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Glenn R McGillivray, Morris Nichols Arsht & Tunnell LLP- Wilmington | 83 | Opening Brief | [CONFIDENTIAL] Plaintiffs Brief in Support of Its Motion to Dismiss Defendant's Amended Counterclaim for Advancement and to Stay or Dismiss Defendant's Amended Counterclaim for Indemnification <ul style="list-style-type: none"> <li>• Linked to (3)</li> <li>• Linked from (5)</li> </ul> | Accepted | 0.6MB |
|          |                        |                |   |   |    | Certification | Certificate of Compliance With Typeface Requirement and Type-Volume Limitation to Plaintiffs Brief in Support of Its Motion to Dismiss Defendant's Amended Counterclaim for Advancement and to Stay or Dismiss Defendant's Amended Counterclaim for Indemnification                           | Accepted | 0.1MB |
|          |                        |                |   |   |    | Exhibits      | [CONFIDENTIAL] Exhibit A to Plaintiffs Brief in Support of Its Motion to Dismiss Defendant's Amended Counterclaim for Advancement and to Stay or Dismiss Defendant's Amended  | Accepted | 3.6MB |

|          |                       |                |  |  |    |                        |  |          |       |
|----------|-----------------------|----------------|--|--|----|------------------------|--|----------|-------|
|          |                       |                |  |  |    |                        | Counterclaim for Indemnification   |          |       |
|          |                       |                |  |  |    | Exhibits               | Exhibit B to Plaintiff's Brief in Support of Its Motion to Dismiss Defendant's Amended Counterclaim for Advancement and to Stay or Dismiss Defendant's Amended Counterclaim for Indemnification                | Accepted | 0.1MB |
|          |                       |                |  |  |    | Exhibits               | [CONFIDENTIAL] Exhibit C to Plaintiff's Brief in Support of Its Motion to Dismiss Defendant's Amended Counterclaim for Advancement and to Stay or Dismiss Defendant's Amended Counterclaim for Indemnification | Accepted | 0.1MB |
|          |                       |                |  |  |    | Exhibits               | Exhibit D to Plaintiff's Brief in Support of Its Motion to Dismiss Defendant's Amended Counterclaim for Advancement and to Stay or Dismiss Defendant's Amended Counterclaim for Indemnification                | Accepted | 0.6MB |
|          |                       |                |  |  |    | Certificate of Service | Certificate of Service to Plaintiff's Brief in Support of Its Motion to Dismiss Defendant's Amended Counterclaim for Advancement and to Stay or Dismiss Defendant's Amended Counterclaim for Indemnification   | Accepted | 0.1MB |
| 59578568 | 9/19/2016 3:14 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland | Matthew L Miller, Abrams & Bayliss LLP | 82 | Summons                | Summons upon Third-Party Defendant Alvarez & Marsal CRF Management,  | Accepted | 0.5MB |

|          |                        |                |   |   |    |                        |  |          |       |
|----------|------------------------|----------------|---|---|----|------------------------|--|----------|-------|
|          |                        |                | Crusader Fund v. Highland Capital Management, L.P.  |   |    |                        | LLC, with Return of Service  |          |       |
|          |                        |                |   |   |    |                        | <ul style="list-style-type: none"> <li>Linked to (3)</li> </ul>  |          |       |
| 59570397 | 9/16/2016 11:37 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Timothy R Dudderar, Potter Anderson & Corroon LLP- Wilmington | 81 | Motion to Dismiss      | THIRD-PARTY DEFENDANT'S MOTION TO DISMISS THIRD PARTY PLAINTIFF'S AMENDED COUNTERCLAIM FOR ADVANCEMENT AND TO STAY OR DISMISS THIRD PARTY PLAINTIFF'S AMENDED COUNTERCLAIM FOR INDEMNIFICATION <ul style="list-style-type: none"> <li>Linked from (5)</li> </ul> | Accepted | 0.1MB |
|          |                        |                |   |   |    | Proposed Order         | PROPOSED ORDER GRANTING THIRD-PARTY DEFENDANT'S MOTION TO DISMISS THIRD PARTY PLAINTIFF'S AMENDED COUNTERCLAIM FOR ADVANCEMENT AND TO STAY OR DISMISS THIRD PARTY PLAINTIFF'S AMENDED COUNTERCLAIM FOR INDEMNIFICATION   | Accepted | 0.1MB |
|          |                        |                |   |   |    | Certificate of Service | CERTIFICATE OF SERVICE TO THIRD-PARTY DEFENDANT'S MOTION TO DISMISS THIRD PARTY PLAINTIFF'S AMENDED COUNTERCLAIM FOR ADVANCEMENT AND TO STAY OR DISMISS THIRD PARTY PLAINTIFF'S AMENDED COUNTERCLAIM   | Accepted | 0.1MB |

|          |                        |                |   |   |    |                      | FOR INDEMNIFICATION  |          |       |
|----------|------------------------|----------------|---|---|----|----------------------|--|----------|-------|
| 59569577 | 9/16/2016 10:17 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Glenn R McGillivray, Morris Nichols Arsht & Tunnell LLP- Wilmington | 79 | Motion to Dismiss    | Plaintiffs Motion to Dismiss Defendant's Amended Counterclaim for Advancement and to Stay Or Dismiss Defendant's Amended Counterclaim for Indemnification with Certificate of Service <ul style="list-style-type: none"> <li>• Linked to (1)</li> <li>• Linked from (5)</li> </ul>         | Accepted | 0.1MB |
|          |                        |                |   |   | 80 | Letter               | Letter to The Honorable Sam Glasscock, III from Kevin M. Coen in Response to Letter Submitted September 15, 2016 by Counsel for Defendant-Counter-Plaintiff Highland Capital Management, L.P. <ul style="list-style-type: none"> <li>• Linked to (2)</li> <li>• Linked from (1)</li> </ul> | Accepted | 0.1MB |
|          |                        |                |   |   |    | Proposed Order       | (Proposed) Order Granting Plaintiff's Motion to Dismiss Defendant's Amended Counterclaim for Advancement and to Stay Or Dismiss Defendant's Amended Counterclaim for Indemnification   | Accepted | 0.1MB |
| 59569146 | 9/16/2016 9:03 AM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Sam Glasscock, DE Court of Chancery Civil Action                    | 78 | Issuance of Summons  | 1 Summons 1 Copy are ready to be picked up @ Register in Chancery Office Georgetown. Under Rule 4(g) the summons must be served and returned within 20 days of issuance. <ul style="list-style-type: none"> <li>• Linked to (1)</li> <li>• Linked from (1)</li> </ul>                      | Accepted | 0.1MB |
| 59565521 | 9/15/2016 2:18 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of   | Kevin G Abrams, Abrams & Bayliss LLP                                | 77 | Summons Instructions | Letter to The Register in Chancery from Kevin G. Abrams, Esq. regarding  | Accepted | 0.1MB |

|          |                        |                |   |   |    |                                       |   |          |       |
|----------|------------------------|----------------|---|---|----|---------------------------------------|---|----------|-------|
|          |                        |                | the Highland Crusader Fund v. Highland Capital Management, L.P.   |   |    |                                       | Summons<br>Instruction for Amended Counterclaim and Third-Party Complaint<br>• Linked to (2)<br>• Linked from (2)   |          |       |
| 59562967 | 9/15/2016 9:51 AM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Kevin G Abrams, Abrams & Bayliss LLP                            | 76 | Letter                                | Letter to The Honorable Sam Glasscock, III from Kevin G. Abrams, Esq. Requesting Hearing Date<br>• Linked to (1)<br>• Linked from (2)                                   | Accepted | 0.1MB |
|          |                        |                |   |   |    | Exhibits                              | Exhibit A to Letter to The Honorable Sam Glasscock, III from Kevin G. Abrams, Esq. Requesting Hearing Date (August 30, 2016 Transcript)                                 | Accepted | 0.1MB |
| 59549262 | 9/12/2016 6:32 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Kevin G Abrams, Abrams & Bayliss LLP                            | 75 | Public Version                        | Public Version of Highland's Amended Counterclaim and Third-Party Complaint, with Certificate of Service<br>• Linked to (1)   | Accepted | 0.3MB |
| 59544348 | 9/12/2016 10:00 AM EDT | File Only      | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Neith Ecker, DE Court of Chancery Civil Action                  | 74 | Official Transcript (Addl Fees Apply) | August 30, 2016 - Scheduling Telephone Conference   | Accepted | 0.1MB |
| 59528284 | 9/7/2016 4:55 PM EDT   | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Christopher G Browne, Potter Anderson & Corroon LLP- Wilmington | 73 | Public Version                        | PUBLIC VERSION OF HOUSE HANOVER, LLC'S BRIEF IN SUPPORT OF ITS MOTION TO DISMISS HIGHLAND CAPITAL MANAGEMENT, L.P.'S THIRD-PARTY COMPLAINT, WITH CERTIFICATE OF SERVICE | Accepted | 0.2MB |



|          |                             |                   |   |  |    |                              |  |          |       |
|----------|-----------------------------|-------------------|---|--|----|------------------------------|--|----------|-------|
| 59515662 | 9/6/2016<br>2:07 PM<br>EDT  | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Kevin G<br>Abrams,<br>Abrams &<br>Bayliss LLP                | 72 | Letter                       | Letter to The<br>Honorable Sam<br>Glasscock, III from<br>Kevin G. Abrams,<br>Esq., enclosing<br>courtesy copies of<br>(i) Highland<br>Capital<br>Management,<br>L.P.'s Notice of<br>Filing Amended<br>Counterclaim and<br>Third-Party<br>Complaint; and (ii)<br>Highland's<br>Amended<br>Counterclaim and<br>Third-Party<br>Complaint<br>• Linked to (1) | Accepted | 0.1MB |
| 59514569 | 9/6/2016<br>12:42 PM<br>EDT | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Sam<br>Glasscock,<br>DE Court of<br>Chancery Civil<br>Action | 71 | Order                        | Granted<br>([Proposed] Order<br>Granting Motion<br>to Permit Filing<br>Under Seal)<br>• Linked to (1)<br>• Linked from (4)   | Accepted | 0.2MB |
| 59513737 | 9/6/2016<br>11:47 AM<br>EDT | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Kevin G<br>Abrams,<br>Abrams &<br>Bayliss LLP                | 70 | Verification to<br>Complaint | Verification of<br>Isaac Leventon in<br>support of<br>Highland's<br>Amended<br>Counterclaim and<br>Third-Party<br>Complaint, with<br>Certificate of<br>Service<br>• Linked to (2)<br>• Linked from (1)   | Accepted | 0.1MB |
| 59508584 | 9/2/2016<br>9:06 PM<br>EDT  | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Kevin G<br>Abrams,<br>Abrams &<br>Bayliss LLP                | 69 | Notice                       | Highland Capital<br>Management,<br>L.P.'s Notice of<br>Filing Amended<br>Counterclaim and<br>Third-Party<br>Complaint<br>• Linked to (1)<br>• Linked from (2)  | Accepted | 0.1MB |
|          |                             |                   |   |  |    | Exhibits                     | Exhibit A to<br>Highland Capital<br>Management,<br>L.P.'s Notice of<br>Filing Amended<br>Counterclaim and<br>Third-Party<br>Complaint<br>(Blackline Version  | Accepted | 0.9MB |

|          |                       |                |   |  |    |                        |   |          |       |
|----------|-----------------------|----------------|---|--|----|------------------------|---|----------|-------|
|          |                       |                |   |  |    |                        | of Highland's Amended Counterclaim and Third-Party Complaint) [CONFIDENTIAL FILING]   |          |       |
|          |                       |                |   |  |    | Amended Counterclaim   | Highland's Amended Counterclaim and Third-Party Complaint [CONFIDENTIAL FILING]<br>• Linked from (20)   | Accepted | 0.1MB |
|          |                       |                |   |  |    | Certificate of Service | Certificate of Service to (i) Highland Capital Management, L.P.'s Notice of Filing Amended Counterclaim and Third-Party Complaint; and (ii) Highland's Amended Counterclaim and Third-Party Complaint | Accepted | 0.1MB |
|          |                       |                |   |  |    | Exhibits               | Exhibits A-D to Highland's Amended Counterclaim and Third-Party Complaint [CONFIDENTIAL FILING]   | Accepted | 3.0MB |
|          |                       |                |   |  |    | Exhibits               | Exhibits E-K to Highland's Amended Counterclaim and Third-Party Complaint [CONFIDENTIAL FILING]   | Accepted | 5.1MB |
| 59506790 | 9/2/2016 11:58 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Matthew L Miller, Abrams & Bayliss LLP | 68 | Motion                 | Defendant, Counterclaim and Third-Party Plaintiff Highland Capital Management, L.P.'s Motion to Permit Filing Under Seal<br>• Linked to (2)   | Accepted | 0.1MB |
|          |                       |                |   |  |    | Proposed Order         | [Proposed] Order Granting Motion to Permit Filing Under Seal<br>• Linked from (1)   | Accepted | 0.1MB |

|          |                       |                |   |   |    |                        |   |          |       |
|----------|-----------------------|----------------|---|---|----|------------------------|---|----------|-------|
|          |                       |                |   |   |    | Certificate of Service | Certificate of Service re: Motion to Permit Filing Under Seal   | Accepted | 0.1MB |
| 59503859 | 9/1/2016 4:26 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Glenn R McGillivray, Morris Nichols Arsht & Tunnell LLP- Wilmington | 67 | Public Version         | Redacted version of Plaintiff's Brief In Support Of Its Motion To Dismiss Defendant's Claim For Advancement And To Stay Or Dismiss Defendant's Claim For Indemnification, with Certificate of Service <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul> | Accepted | 0.3MB |
| 59493973 | 8/31/2016 8:45 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Sam Glasscock, DE Court of Chancery Civil Action                    | 66 | Judicial Action Form   | Judicial Action Form completed by Neith Ecker, Court Reporter. Teleconference held on 8-30-16. <ul style="list-style-type: none"> <li>Linked to (2)</li> </ul>  | Accepted | 0.1MB |
| 59490956 | 8/30/2016 2:40 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Timothy R Dudderar, Potter Anderson & Corroon LLP- Wilmington       | 65 | Brief                  | HOUSE HANOVER, LLC'S BRIEF IN SUPPORT OF ITS MOTION TO DISMISS HIGHLAND CAPITAL MANAGEMENT, L.P.'S THIRD-PARTY COMPLAINT [CONFIDENTIAL FILING] <ul style="list-style-type: none"> <li>Linked to (3)</li> <li>Linked from (2)</li> </ul>                               | Accepted | 0.2MB |
|          |                       |                |   |   |    | Certification          | CERTIFICATE OF COMPLIANCE WITH TYPEFACE REQUIREMENT AND TYPE-VOLUME LIMITATION TO HOUSE HANOVER, LLC'S BRIEF IN SUPPORT OF ITS MOTION TO DISMISS HIGHLAND CAPITAL MANAGEMENT, L.P.'S THIRD-   | Accepted | 0.1MB |

|          |                        |                |   |                                       |    |                        |  |          |       |
|----------|------------------------|----------------|---|---------------------------------------|----|------------------------|--|----------|-------|
|          |                        |                |   |                                       |    |                        | PARTY COMPLAINT  |          |       |
|          |                        |                |   |                                       |    | Certificate of Service | CERTIFICATE OF SERVICE TO HOUSE HANOVER, LLC'S BRIEF IN SUPPORT OF ITS MOTION TO DISMISS HIGHLAND CAPITAL MANAGEMENT, L.P.'S THIRD-PARTY COMPLAINT   | Accepted | 0.1MB |
| 59488439 | 8/30/2016 10:53 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | J Peter Shindel, Abrams & Bayliss LLP | 64 | Entry of Appearance    | Entry of Appearance of J. Peter Shindel, Esq., on Behalf of Defendant, Counterclaim and Third-Party Plaintiff Highland Capital Management, L.P., with Certificate of Service <ul style="list-style-type: none"> <li>Linked to (1)</li> <li>Linked from (1)</li> </ul>  | Accepted | 0.1MB |
| 59486388 | 8/29/2016 6:00 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | J Peter Shindel, Abrams & Bayliss LLP | 63 | Letter                 | Letter to The Honorable Sam Glasscock, III from J. Peter Shindel, Jr., Esq. on behalf of defendant and counterclaim plaintiff Highland Capital Management, L.P. regarding Highland's advancement Counterclaim and Third-Party Complaint and Highland's Motion to Expedite Proceedings <ul style="list-style-type: none"> <li>Linked to (3)</li> <li>Linked from (1)</li> </ul> | Accepted | 0.1MB |
|          |                        |                |   |                                       |    | Proposed Order         | [Proposed] Order Granting Motion to Expedite   | Accepted | 0.1MB |
|          |                        |                |   |                                       |    | Exhibits               | Exhibit A to Letter to The Honorable Sam Glasscock, III from J. Peter Shindel, Jr., Esq. on behalf of defendant and counterclaim   | Accepted | 0.3MB |

|          |                       |                |   |   |    |                     |   |          |       |
|----------|-----------------------|----------------|---|---|----|---------------------|---|----------|-------|
|          |                       |                |   |   |    |                     | plaintiff Highland Capital Management, L.P. regarding Highland's advancement Counterclaim and Third-Party Complaint and Highland's Motion to Expedite Proceedings   |          |       |
| 59486661 | 8/29/2016 5:59 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Glenn R McGillivray, Morris Nichols Arsht & Tunnell LLP- Wilmington | 62 | Letter              | Letter to The Honorable Sam Glasscock, III from Kevin M. Coen regarding Plaintiffs Proposed Case Schedule <ul style="list-style-type: none"> <li>• Linked to (2)</li> </ul>   | Accepted | 0.1MB |
| 59484807 | 8/29/2016 2:52 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Timothy R Dudderar, Potter Anderson & Corroon LLP- Wilmington       | 60 | Entry of Appearance | Entry of Appearance of Timothy R. Dudderar, Esq. and Christopher G. Browne, Esq. of Potter Anderson & Corroon LLP on behalf of Third-Party Defendant House Hanover, LLC with Certificate of Service <ul style="list-style-type: none"> <li>• Linked to (1)</li> </ul> | Accepted | 0.1MB |
|          |                       |                |   |   | 61 | Motion to Dismiss   | Motion to Dismiss Highland Capital Management's Third-Party Complaint with Certificate of Service <ul style="list-style-type: none"> <li>• Linked to (1)</li> <li>• Linked from (2)</li> </ul>  | Accepted | 0.1MB |
|          |                       |                |   |   |    | Proposed Order      | Proposed Order Granting the Motion to Dismiss Highland Capital Management's Third-Party Complaint   | Accepted | 0.1MB |
| 59480088 | 8/26/2016 4:17 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland                          | Kevin G Abrams, Abrams & Bayliss LLP                                | 59 | Public Version      | Public Version of Highland Capital Management, L.P.'s Reply Brief in Further Support of its Motion to Dismiss or Stay (with   | Accepted | 0.7MB |

|          |                        |                |   |   |    |                   |  |          |       |
|----------|------------------------|----------------|---|---|----|-------------------|--|----------|-------|
|          |                        |                | Capital Management, L.P.  |   |    |                   | Certificate of Service)<br>• Linked to (1)   |          |       |
| 59479051 | 8/26/2016 2:51 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Glenn R McGillivray, Morris Nichols Arsht & Tunnell LLP- Wilmington | 58 | Letter            | Letter to The Honorable Sam Glasscock III from Glenn R. McGillivray enclosing two courtesy copies of Plaintiff's Brief in Support of its Motion to Dismiss Defendant's Claim for Advancement and to Stay or Dismiss Defendant's Claim for Indemnification, which was filed with the Court on August 25, 2016 in the above-referenced matter<br>• Linked to (2) | Accepted | 0.1MB |
| 59475581 | 8/25/2016 10:47 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Glenn R McGillivray, Morris Nichols Arsht & Tunnell LLP- Wilmington | 56 | Motion to Dismiss | Plaintiff's Motion to Dismiss Defendant's Claim for Advancement and to Stay or Dismiss Defendant's Claim for Indemnification, with Certificate of Service<br>• Linked to (3)<br>• Linked from (1)  | Accepted | 0.1MB |
|          |                        |                |   |   | 57 | Brief             | [CONFIDENTIAL FILING] Plaintiff's Brief in Support of Its Motion to Dismiss Defendant's Claim for Advancement and to Stay or Dismiss Defendant's Claim for Indemnification<br>• Linked to (3)<br>• Linked from (2)   | Accepted | 0.3MB |
|          |                        |                |   |   |    | Proposed Order    | [Proposed] Order Granting Plaintiff's Motion to Dismiss Defendant's Claim for Advancement and to Stay or Dismiss Defendant's Claim   | Accepted | 0.1MB |

|          |                        |                |   |                                      |    |                        |  |          |       |
|----------|------------------------|----------------|---|--------------------------------------|----|------------------------|--|----------|-------|
|          |                        |                |   |                                      |    |                        | for Indemnification  |          |       |
|          |                        |                |   |                                      |    | Exhibits               | [CONFIDENTIAL FILING] Exhibits A-B to Plaintiff's Brief in Support of Its Motion to Dismiss Defendant's Claim for Advancement and to Stay or Dismiss Defendant's Claim for Indemnification   | Accepted | 3.7MB |
|          |                        |                |   |                                      |    | Certification          | Certificate of Compliance With Typeface Requirement and Type-Volume Limitation   | Accepted | 0.1MB |
|          |                        |                |   |                                      |    | Certificate of Service | Certificate of Service to Plaintiff's Brief in Support of Its Motion to Dismiss Defendant's Claim for Advancement and to Stay or Dismiss Defendant's Claim for Indemnification   | Accepted | 0.1MB |
| 59451713 | 8/22/2016 10:58 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Kevin G Abrams, Abrams & Bayliss LLP | 55 | Letter                 | Letter to The Honorable Sam Glasscock, III from Kevin G. Abrams, Esq. Enclosing Courtesy Copies of Highland Capital Management, L.P.'s Reply Brief in Further Support of its Motion to Dismiss or Stay <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul> | Accepted | 0.1MB |
| 59449550 | 8/19/2016 5:08 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Kevin G Abrams, Abrams & Bayliss LLP | 54 | Reply Brief            | Highland Capital Management, L.P.'s Reply Brief in Further Support of its Motion to Dismiss or Stay [Confidential Filing] <ul style="list-style-type: none"> <li>Linked to (3)</li> <li>Linked from (2)</li> </ul>   | Accepted | 0.5MB |
|          |                        |                |   |                                      |    | Certification          | Certificate of Compliance With   | Accepted | 0.1MB |

Case 3:21-cv-00538-N Document 26-53 Filed 06/09/21 Page 118 of 254 PageID 17002

|          |                        |                |   |   |    |                        |  |          |       |
|----------|------------------------|----------------|---|---|----|------------------------|--|----------|-------|
|          |                        |                |   |   |    |                        | Typeface Requirement and Type-Volume Limitation re: Highland Capital Management, L.P.'s Reply Brief in Further Support of its Motion to Dismiss or Stay  |          |       |
|          |                        |                |   |   |    | Certificate of Service | Certificate of Service re: Highland Capital Management, L.P.'s Reply Brief in Further Support of its Motion to Dismiss or Stay   | Accepted | 0.1MB |
| 59420886 | 8/12/2016 9:52 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Kevin G Abrams, Abrams & Bayliss LLP                                | 52 | Public Version         | Public Version of Highland's Counterclaim and Third-Party Complaint, with Certificate of Service <ul style="list-style-type: none"><li>Linked to (1)</li></ul>   | Accepted | 0.1MB |
|          |                        |                |   |   | 53 | Public Version         | Public Version of Motion to Expedite Proceedings, with Certificate of Service <ul style="list-style-type: none"><li>Linked to (1)</li></ul>  | Accepted | 0.1MB |
| 59420823 | 8/12/2016 8:16 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Glenn R McGillivray, Morris Nichols Arsht & Tunnell LLP- Wilmington | 51 | Public Version         | (Redacted) Public Version of Plaintiffs Opposition to Defendant's Motion to Dismiss or Stay Counts II & III, with Certificate of Service <ul style="list-style-type: none"><li>Linked to (3)</li></ul> | Accepted | 0.2MB |
| 59402159 | 8/10/2016 11:42 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Kevin G Abrams, Abrams & Bayliss LLP                                | 50 | Summons                | Summons upon Third-Party Defendant House Hanover, LLC, with Return of Service <ul style="list-style-type: none"><li>Linked to (4)</li></ul>  | Accepted | 0.9MB |
| 59390146 | 8/8/2016 3:14 PM EDT   | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer  | Glenn R McGillivray, Morris Nichols Arsht &                         | 49 | Letter                 | Letter to The Honorable Sam Glasscock, III from Glenn R.   | Accepted | 0.1MB |



|  |  |  |  |                          |  |  |  |  |
|--|--|--|--|--------------------------|--|--|--|--|
|  |  |  | Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Funnel LLP<br>Wilmington |  |  | McGillivray enclosing courtesy copies of Plaintiff's Opposition to Defendant's Motion to Dismiss or Stay Counts II and III, which was filed with the Court on August 5, 2016 <ul style="list-style-type: none"><li>• Linked to (1)</li></ul> |  |
|--|--|--|--|--------------------------|--|--|--|--|

Case History Search

Search Created:  
2/1/2021 15:06:26 GMT-0500 (Eastern Standard Time)

|   |   |   |
|---|---|---|
| <b>Court:</b> DE Court of Chancery Civil Action | <b>Judge:</b> Zurn, Morgan  | <b>File &amp; ServeXpress Live Date:</b> 7/5/2016 |
| <b>Division:</b> N/A                            | <b>Case Number:</b> 12533-VCZ   | <b>Document(s) Filed:</b> 479                     |
| <b>Case Type:</b> Civil Action                  | <b>Case Name:</b> STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | <b>Date Range:</b> All                            |

201-232 of 232 transactions <<Prev Page 3 of 3 Next>>

| Transaction | ▼Date/Time                  | Option            | Case Number<br>Case Name  | Authorizer<br>Organization   | #  | Document Type             | Document Title  | Review<br>Status | Size  |
|-------------|-----------------------------|-------------------|---|--|----|---------------------------|---|------------------|-------|
| 59386157    | 8/8/2016<br>10:43 AM<br>EDT | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Register in<br>Chancery,<br>DE Court of<br>Chancery Civil<br>Action                | 48 | Issuance of<br>Summons    | Prepared one<br>original and one<br>copy of summons<br>on 8-816. Counsel<br>to make<br>arrangements for<br>retrieval and<br>issuance.<br>• Linked to (1)<br>• Linked from (1)                     | Accepted         | 0.1MB |
| 59383035    | 8/5/2016<br>8:00 PM<br>EDT  | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Glenn R<br>McGillivray,<br>Morris Nichols<br>Arsht &<br>Tunnell LLP-<br>Wilmington | 47 | Answering Brief           | [CONFIDENTIAL<br>FILING] Plaintiffs<br>Opposition to<br>Defendant's<br>Motion to Dismiss<br>or Stay Counts II &<br>III<br>• Linked to (3)<br>• Linked from (2)                                    | Accepted         | 0.4MB |
|             |                             |                   |   |  |    | Certification             | Certificate of<br>Compliance with<br>Typeface<br>Requirement and<br>Type-Volume<br>Limitation to<br>Plaintiffs<br>Opposition to<br>Defendant's<br>Motion to Dismiss<br>or Stay Counts II &<br>III | Accepted         | 0.1MB |
|             |                             |                   |   |  |    | Certificate of<br>Service | Certificate of<br>Service to<br>Plaintiffs<br>Opposition to<br>Defendant's<br>Motion to Dismiss<br>or Stay Counts II &<br>III   | Accepted         | 0.1MB |

|          |                            |                   |   |   |    |                              |  |          |       |
|----------|----------------------------|-------------------|---|---|----|------------------------------|--|----------|-------|
| 59378155 | 8/5/2016<br>2:07 PM<br>EDT | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Kevin G<br>Abrams,<br>Abrams &<br>Bayliss LLP | 44 | Third Party<br>Complaint     | Highland's<br>Counterclaim and<br>Third Party<br>Complaint<br>[CONFIDENTIAL<br>FILING]<br>• Linked to (2)<br>• Linked from<br>(15)   | Accepted | 0.1MB |
|          |                            |                   |   |   | 45 | Motion                       | Highland Capital<br>Management,<br>L.P.'s Motion for<br>Preliminary<br>Injunction<br>• Linked to (1)<br>• Linked from (1)  | Accepted | 0.1MB |
|          |                            |                   |   |   | 46 | Motion to<br>Expedite        | Motion to<br>Expedite<br>Proceedings<br>[CONFIDENTIAL<br>FILING]<br>• Linked to (2)<br>• Linked from (4)   | Accepted | 0.1MB |
|          |                            |                   |   |   |    | Verification to<br>Complaint | Verification of Eric<br>Girard in Support<br>of Highland's<br>Counterclaim and<br>Third Party<br>Complaint   | Accepted | 0.2MB |
|          |                            |                   |   |   |    | Exhibits                     | Exhibits A-E to<br>Highland's<br>Counterclaim and<br>Third Party<br>Complaint<br>[CONFIDENTIAL<br>FILING]  | Accepted | 1.3MB |
|          |                            |                   |   |   |    | Certificate of<br>Service    | Certificate of<br>Service to (i)<br>Highland's<br>Counterclaim and<br>Third Party<br>Complaint; (ii)<br>Highland Capital<br>Management,<br>L.P.'s Motion for<br>Preliminary<br>Injunction; and<br>(iii) Motion to<br>Expedite<br>Proceedings | Accepted | 0.1MB |
|          |                            |                   |   |   |    | Summons<br>Instructions      | Letter to The<br>Register in<br>Chancery from<br>Kevin G. Abrams,<br>Esq. regarding<br>Summons<br>Instructions for<br>Third-Party<br>Complaint<br>• Linked from (1)  | Accepted | 0.1MB |
|          |                            |                   |   |   |    | Proposed Order               | [Proposed] Order   | Accepted | 0.1MB |

|          |                        |                |   |  |    |                        |   |          |       |
|----------|------------------------|----------------|---|--|----|------------------------|---|----------|-------|
|          |                        |                |   |  |    |                        | Granting Motion to Expedite   |          |       |
| 59378629 | 8/5/2016 11:14 AM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Sam Glasscock, DE Court of Chancery Civil Action | 43 | Order                  | Granted ([Proposed] Order Granting Defendant Highland Capital Management, L.P.'s Motion to Permit Filing Under Seal) <ul style="list-style-type: none"> <li>Linked to (1)</li> <li>Linked from (3)</li> </ul> | Accepted | 0.2MB |
| 59377430 | 8/5/2016 12:11 AM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Matthew L Miller, Abrams & Bayliss LLP           | 42 | Motion                 | Defendant Highland Capital Management, L.P.'s Motion to Permit Filing Under Seal <ul style="list-style-type: none"> <li>Linked to (3)</li> </ul>  | Accepted | 0.1MB |
|          |                        |                |   |  |    | Proposed Order         | [Proposed] Order Granting Defendant Highland Capital Management, L.P.'s Motion to Permit Filing Under Seal <ul style="list-style-type: none"> <li>Linked from (1)</li> </ul>                                  | Accepted | 0.1MB |
|          |                        |                |   |  |    | Certificate of Service | Certificate of Service re: Defendant Highland Capital Management, L.P.'s Motion to Permit Filing Under Seal   | Accepted | 0.1MB |
| 59350226 | 7/29/2016 6:06 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Kevin G Abrams, Abrams & Bayliss LLP             | 41 | Public Version         | Public Version of Defendant's Opening Brief in Support of its Motion to Dismiss or Stay <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>   | Accepted | 0.2MB |
|          |                        |                |   |  |    | Certificate of Service | Certificate of Service re: Public Version of Defendant's Opening Brief in Support of its Motion to Dismiss or Stay  | Accepted | 0.1MB |
| 59322025 | 7/25/2016 11:22 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital                  | Matthew L Miller, Abrams & Bayliss LLP           | 40 | Letter                 | Letter to The Honorable Sam Glasscock, III from Matthew L. Miller, Esq. enclosing two (2) courtesy copies of Defendant's Opening Brief in Support of its  | Accepted | 0.1MB |

|          |                       |                |   |                                      |    |                                       |  |          |       |
|----------|-----------------------|----------------|---|--------------------------------------|----|---------------------------------------|--|----------|-------|
|          |                       |                | Management, L.P.  |                                      |    |                                       | Motion to Dismiss or Stay, filed with the Court on Friday, July 22, 2016.<br>• Linked to (4)   |          |       |
| 59320236 | 7/22/2016 6:58 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Kevin G Abrams, Abrams & Bayliss LLP | 39 | Letter                                | Letter to The Honorable Sam Glasscock, III from Kevin G. Abrams, Esq. enclosing two (2) copies of the Demand for Arbitration filed with the American Arbitration Association on July 5, 2016<br>• Linked to (3)<br>• Linked from (1) | Accepted | 0.1MB |
| 59319799 | 7/22/2016 6:54 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Kevin G Abrams, Abrams & Bayliss LLP | 38 | Opening Brief                         | Defendant's Opening Brief in Support of its Motion to Dismiss or Stay [CONFIDENTIAL FILING]<br>• Linked to (4)<br>• Linked from (5)  | Accepted | 0.6MB |
|          |                       |                |   |                                      |    | Exhibits                              | Exhibit A to Defendant's Opening Brief in Support of its Motion to Dismiss or Stay [CONFIDENTIAL FILING]<br>• Linked from (1)  | Accepted | 0.7MB |
|          |                       |                |   |                                      |    | Certification                         | Certificate of Compliance with Typeface Requirement and Type-Volume Limitation in support of Defendant's Opening Brief in Support of its Motion to Dismiss or Stay<br>• Linked from (1)  | Accepted | 0.1MB |
|          |                       |                |   |                                      |    | Certificate of Service                | Certificate of Service to Defendant's Opening Brief in Support of its Motion to Dismiss or Stay<br>• Linked from (1)   | Accepted | 0.1MB |
| 59310258 | 7/21/2016 12:32 PM    | File Only      | 12533-VCZ STAYED - CONF   | Maureen McCaffery,                   | 37 | Official Transcript (Addl Fees Apply) | Teleconference - various motions -   | Accepted | 0.1MB |

|          |                              |                   |   |  |    |                                   |   |          |       |
|----------|------------------------------|-------------------|---|--|----|-----------------------------------|---|----------|-------|
|          | EDT                          |                   | ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P.                               | DE Court of<br>Chancery Civil<br>Action                      |    |                                   | July 8, 2016<br>• Linked from (2)   |          |       |
| 59285681 | 7/15/2016<br>3:30 PM<br>EDT  | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Kevin G<br>Abrams,<br>Abrams &<br>Bayliss LLP                | 36 | Public Version                    | Public Version of<br>Defendant's<br>Answering Brief in<br>Opposition to<br>Plaintiff's Motions<br>for Entry of a<br>Status Quo Order<br>and to Expedite<br>Proceedings (With<br>Certificate of<br>Service)<br>• Linked to (1) | Accepted | 0.1MB |
| 59270084 | 7/13/2016<br>10:14 AM<br>EDT | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Sam<br>Glasscock,<br>DE Court of<br>Chancery Civil<br>Action | 35 | Judicial Action<br>Form           | Judicial Action<br>Form completed<br>by Maureen<br>McCaffery, Court<br>Reporter.<br>Teleconference<br>held 7-8-16 on<br>Plaintiff's Motion<br>to Expedite and<br>Motion for Status<br>Quo Order.<br>• Linked to (1)           | Accepted | 0.1MB |
| 59260772 | 7/11/2016<br>4:16 PM<br>EDT  | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Sam<br>Glasscock,<br>DE Court of<br>Chancery Civil<br>Action | 34 | Order                             | Granted<br>(Stipulation and<br>[Proposed] Order<br>Governing<br>Briefing Schedule<br>for Defendant's<br>Motion to Dismiss<br>or Stay)<br>• Linked to (1)<br>• Linked from<br>(11)   | Accepted | 0.3MB |
| 59260758 | 7/11/2016<br>4:14 PM<br>EDT  | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund<br>v. Highland<br>Capital<br>Management,<br>L.P. | Sam<br>Glasscock,<br>DE Court of<br>Chancery Civil<br>Action | 33 | Order                             | Granted<br>(Enclosure to<br>Letter -<br>[Proposed]<br>Agreed Standstill<br>Order)<br>• Linked to (1)  | Accepted | 0.2MB |
| 59259861 | 7/11/2016<br>3:12 PM<br>EDT  | File And<br>Serve | 12533-VCZ<br>STAYED - CONF<br>ORDER<br>Redeemer<br>Committee of<br>the Highland<br>Crusader Fund  | Kevin G<br>Abrams,<br>Abrams &<br>Bayliss LLP                | 32 | Stipulation &<br>(Proposed) Order | Stipulation and<br>[Proposed] Order<br>Governing<br>Briefing Schedule<br>for Defendant's<br>Motion to Dismiss<br>or Stay  | Accepted | 0.1MB |

|          |                      |                |   |   |    |                |  |          |       |
|----------|----------------------|----------------|---|---|----|----------------|--|----------|-------|
|          |                      |                | v. Highland Capital Management, L.P.  |   |    |                | <ul style="list-style-type: none"> <li>• Linked to (3)</li> <li>• Linked from (1)</li> </ul>   |          |       |
| 59255975 | 7/8/2016 8:28 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Glenn R McGillivray, Morris Nichols Arsht & Tunnell LLP- Wilmington | 31 | Letter         | Letter to The Honorable Sam Glasscock, III from Kevin M. Coen regarding Standstill Order agreed upon by the parties <ul style="list-style-type: none"> <li>• Linked to (2)</li> </ul>  | Accepted | 0.1MB |
|          |                      |                |   |   |    | Proposed Order | Enclosure to Letter - [Proposed] Agreed Standstill Order <ul style="list-style-type: none"> <li>• Linked from (1)</li> </ul>   | Accepted | 0.1MB |
| 59255328 | 7/8/2016 5:49 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Matthew L Miller, Abrams & Bayliss LLP                              | 30 | Letter         | Letter to The Honorable Sam Glasscock, III from Matthew L. Miller, Esq. Enclosing Courtesy Copies of (i) Defendant's Motion to Dismiss or Stay; and (ii) Defendant's Answering Brief in Opposition to Plaintiff's Motion for Entry of a Status Quo Order and to Expedite Proceedings <ul style="list-style-type: none"> <li>• Linked to (2)</li> </ul> | Accepted | 0.1MB |
| 59254924 | 7/8/2016 5:18 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Glenn R McGillivray, Morris Nichols Arsht & Tunnell LLP- Wilmington | 27 | Public Version | PUBLIC VERSION Verified Complaint and Certificate of Service <ul style="list-style-type: none"> <li>• Linked to (1)</li> </ul>   | Accepted | 2.0MB |
|          |                      |                |   |   | 28 | Public Version | PUBLIC VERSION Brief In Support Of Plaintiff's Motion For Entry Of A Status Quo Order and Motion To Expedite Proceedings with Certificate of Service <ul style="list-style-type: none"> <li>• Linked to (1)</li> </ul>   | Accepted | 0.4MB |
|          |                      |                |   |   | 29 | Public Version | PUBLIC VERSION Corrected Brief In Support Of Plaintiff's Motion For Entry Of A Status Quo Order and Motion To  | Accepted | 0.5MB |

|          |                       |                |   |                                      |    |                 |  |          |       |
|----------|-----------------------|----------------|---|--------------------------------------|----|-----------------|--|----------|-------|
|          |                       |                |   |                                      |    |                 | Expedite Proceedings with Certificate of Service   |          |       |
|          |                       |                |   |                                      |    |                 | <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>  |          |       |
| 59251232 | 7/8/2016 12:55 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Kevin G Abrams, Abrams & Bayliss LLP | 26 | Exhibits        | Exhibit B to Defendant's Answering Brief in Opposition to Plaintiff's Motion for Entry of a Status Quo Order and Expedite Proceedings [CONFIDENTIAL FILING]                        | Accepted | 0.6MB |
|          |                       |                |   |                                      |    |                 | <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>  |          |       |
| 59250603 | 7/8/2016 12:05 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Kevin G Abrams, Abrams & Bayliss LLP | 25 | Answering Brief | Defendant's Answering Brief in Opposition to Plaintiff's Motion for Entry of a Status Quo Order and Expedite Proceedings [CONFIDENTIAL FILING]                                     | Accepted | 0.5MB |
|          |                       |                |   |                                      |    |                 | <ul style="list-style-type: none"> <li>Linked to (8)</li> <li>Linked from (3)</li> </ul>   |          |       |
|          |                       |                |   |                                      |    | Exhibits        | Exhibit A to Defendant's Answering Brief in Opposition to Plaintiff's Motion for Entry of a Status Quo Order and Expedite Proceedings  | Accepted | 0.6MB |
|          |                       |                |   |                                      |    | Exhibits        | Exhibit C to Defendant's Answering Brief in Opposition to Plaintiff's Motion for Entry of a Status Quo Order and Expedite Proceedings [CONFIDENTIAL FILING]                        | Accepted | 0.6MB |
|          |                       |                |   |                                      |    | Certification   | Certificate of Compliance With Typeface Requirement and Type-Volume Limitation re: Defendant's Answering Brief in Opposition to Plaintiff's Motion for Entry of a Status Quo Order | Accepted | 0.1MB |



|          |                       |                |   |  |    |                        |   |          |       |
|----------|-----------------------|----------------|---|--|----|------------------------|---|----------|-------|
|          |                       |                |   |  |    |                        | and Expedite Proceedings  |          |       |
|          |                       |                |   |  |    | Certificate of Service | Certificate of Service re: Defendant's Answering Brief in Opposition to Plaintiff's Motion for Entry of a Status Quo Order and Expedite Proceedings   | Accepted | 0.1MB |
| 59250150 | 7/8/2016 10:59 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Sam Glasscock, DE Court of Chancery Civil Action | 24 | Order                  | Granted ([Proposed] Order Granting Defendant Highland Capital Management, L.P.'s Motion to Permit Filing Under Seal of Defendant's Answering Brief in Opposition to Plaintiff's Motion for Entry of a Status Quo Order and Motion to Expedite Proceedings) <ul style="list-style-type: none"> <li>Linked to (1)</li> <li>Linked from (1)</li> </ul> | Accepted | 0.2MB |
| 59249576 | 7/8/2016 9:51 AM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Sam Glasscock, DE Court of Chancery Civil Action | 23 | Order - Pro Hac Vice   | Granted (Cruciani, Gary: Proposed Order Granting Motion for Admission Pro Hac Vice on behalf of defendant Highland Capital Management, L.P.) <ul style="list-style-type: none"> <li>Linked to (1)</li> <li>Linked from (1)</li> </ul>   | Accepted | 0.2MB |
| 59249564 | 7/8/2016 9:48 AM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Sam Glasscock, DE Court of Chancery Civil Action | 20 | Order - Pro Hac Vice   | Granted (Mascherin, Terri L. : Proposed Order Granting Motion For Admission Pro Hac Vice of Terri L. Mascherin to represent Plaintiff) <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>  | Accepted | 0.2MB |
|          |                       |                |   |  | 21 | Order - Pro Hac Vice   | Granted (Vail, Andrew M. : Proposed Order Granting Motion For Admission Pro Hac Vice of Andrew M. Vail to represent Plaintiff) <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>  | Accepted | 0.2MB |

|          |                      |                |   |                                      |    |                        |   |          |       |
|----------|----------------------|----------------|---|--------------------------------------|----|------------------------|---|----------|-------|
|          |                      |                |   |                                      | 22 | Order - Pro Hac Vice   | Granted (Van Horn, Shaun M.: Proposed Order Granting Motion For Admission Pro Hac Vice of Shaun M. Van Horn to represent Plaintiff)<br>• Linked to (1)  | Accepted | 0.2MB |
| 59249114 | 7/8/2016 8:34 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Kevin G Abrams, Abrams & Bayliss LLP | 18 | Motion                 | Defendant Highland Capital Management, L.P.'s Motion to Permit Filing Under Seal of Defendant's Answering Brief in Opposition to Plaintiff's Motion for Entry of a Status Quo Order and Motion to Expedite Proceedings<br>• Linked to (5)                             | Accepted | 0.1MB |
|          |                      |                |   |                                      | 19 | Motion to Dismiss      | Defendant Highland Capital Management, L.P.'s Motion to Dismiss or Stay<br>• Linked to (5)<br>• Linked from (5)   | Accepted | 0.1MB |
|          |                      |                |   |                                      |    | Proposed Order         | [Proposed] Order Granting Defendant Highland Capital Management, L.P.'s Motion to Permit Filing Under Seal of Defendant's Answering Brief in Opposition to Plaintiff's Motion for Entry of a Status Quo Order and Motion to Expedite Proceedings<br>• Linked from (1) | Accepted | 0.1MB |
|          |                      |                |   |                                      |    | Certificate of Service | Certificate of Service to Defendant Highland Capital Management, L.P.'s Motion to Permit Filing Under Seal of Defendant's Answering Brief in Opposition to Plaintiff's Motion   | Accepted | 0.1MB |

|          |                      |                |   |   |    |                                |  |          |       |
|----------|----------------------|----------------|---|---|----|--------------------------------|--|----------|-------|
|          |                      |                |   |   |    |                                | for Entry of a Status Quo Order and Motion to Expedite Proceedings   |          |       |
|          |                      |                |   |   |    | Proposed Order                 | [Proposed] Order on Defendant's Motion to Dismiss or Stay <ul style="list-style-type: none"><li>Linked from (3)</li></ul>  | Accepted | 0.1MB |
|          |                      |                |   |   |    | Certificate of Service         | Certificate of Service to Defendant Highland Capital Management, L.P.'s Motion to Dismiss or Stay  | Accepted | 0.1MB |
| 59248216 | 7/7/2016 6:26 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Glenn R McGillivray, Morris Nichols Arsht & Tunnell LLP- Wilmington | 15 | Motion for Pro Hac Vice        | Mascherin, Terri L. - Motion For Admission Pro Hac Vice of Terri L. Mascherin to represent Plaintiff with Certificate of Service <ul style="list-style-type: none"><li>Linked to (1)</li></ul> | Accepted | 0.1MB |
|          |                      |                |   |   | 16 | Motion for Pro Hac Vice        | Vail, Andrew M. - Motion For Admission Pro Hac Vice of Andrew M. Vail to represent Plaintiff with Certificate of Service <ul style="list-style-type: none"><li>Linked to (1)</li></ul>         | Accepted | 0.1MB |
|          |                      |                |   |   | 17 | Motion for Pro Hac Vice        | Van Horn, Shaun M. : Motion For Admission Pro Hac Vice of Shaun M. Van Horn to represent Plaintiff with Certificate of Service <ul style="list-style-type: none"><li>Linked to (1)</li></ul>   | Accepted | 0.1MB |
|          |                      |                |   |   |    | Certification for Pro Hac Vice | Mascherin, Terri L. : Certification to Motion For Admission Pro Hac Vice of Terri L. Mascherin to represent Plaintiff  | Accepted | 0.1MB |
|          |                      |                |   |   |    | Proposed Order - Pro Hac Vice  | Mascherin, Terri L. : Proposed Order Granting Motion For Admission Pro Hac Vice of Terri L. Mascherin to represent Plaintiff <ul style="list-style-type: none"><li>Linked from (1)</li></ul>   | Accepted | 0.1MB |
|          |                      |                |   |   |    | Certification for              | Vail, Andrew M. -  | Accepted | 0.1MB |

|          |                      |                |   |   |    |                                |   |          |       |
|----------|----------------------|----------------|---|---|----|--------------------------------|---|----------|-------|
|          |                      |                |   |   |    | Pro Hac Vice                   | Certification to Motion For Admission Pro Hac Vice of Andrew M. Vail to represent Plaintiff   |          |       |
|          |                      |                |   |   |    | Proposed Order - Pro Hac Vice  | Vail, Andrew M. : Proposed Order Granting Motion For Admission Pro Hac Vice of Andrew M. Vail to represent Plaintiff <ul style="list-style-type: none"> <li>Linked from (1)</li> </ul>  | Accepted | 0.1MB |
|          |                      |                |   |   |    | Certification for Pro Hac Vice | Van Horn, Shaun M. : Certification to Motion For Admission Pro Hac Vice of Shaun M. Van Horn to represent Plaintiff   | Accepted | 0.2MB |
|          |                      |                |   |   |    | Proposed Order - Pro Hac Vice  | Van Horn, Shaun M.: Proposed Order Granting Motion For Admission Pro Hac Vice of Shaun M. Van Horn to represent Plaintiff <ul style="list-style-type: none"> <li>Linked from (1)</li> </ul>   | Accepted | 0.1MB |
| 59244762 | 7/7/2016 2:40 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Glenn R McGillivray, Morris Nichols Arsht & Tunnell LLP- Wilmington | 14 | Summons                        | Original Summons and Affidavit of Service showing service of the Verified Complaint and related suit papers on 7-7-16 upon Defendant Highland Capital Management, L.P., by serving its registered agent, The Corporation Trust Company, with Certificate of Service <ul style="list-style-type: none"> <li>Linked to (2)</li> </ul> | Accepted | 0.1MB |
| 59244768 | 7/7/2016 2:23 PM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Kevin G Abrams, Abrams & Bayliss LLP                                | 13 | Motion for Pro Hac Vice        | Cruciani, Gary: Motion for Admission Pro Hac Vice of Gary Cruciani, Esquire on behalf of defendant Highland Capital Management, L.P. (with Certificate of Service) <ul style="list-style-type: none"> <li>Linked to (2)</li> <li>Linked from (1)</li> </ul>   | Accepted | 0.1MB |

|          |                       |                |   |   |    |                                |  |          |       |
|----------|-----------------------|----------------|---|---|----|--------------------------------|--|----------|-------|
|          |                       |                |   |   |    | Certification for Pro Hac Vice | Cruciani, Gary: Certification of Gary Cruciani, Esquire in support of Motion for Admission Pro Hac Vice on behalf of defendant Highland Capital Management, L.P.   | Accepted | 0.2MB |
|          |                       |                |   |   |    | Proposed Order - Pro Hac Vice  | Cruciani, Gary: Proposed Order Granting Motion for Admission Pro Hac Vice on behalf of defendant Highland Capital Management, L.P.<br>• Linked from (1)  | Accepted | 0.1MB |
| 59241828 | 7/7/2016 11:51 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Glenn R McGillivray, Morris Nichols Arsht & Tunnell LLP- Wilmington | 12 | Brief                          | Plaintiffs Corrected Brief in Support of Plaintiffs Motion for Entry of a Status Quo Order and Motion to Expedite Proceedings (CONFIDENTIAL FILING)<br>• Linked to (3)<br>• Linked from (4)                      | Accepted | 0.4MB |
|          |                       |                |   |   |    | Certification                  | Certificate Of Compliance With Typeface Requirement And Type-Volume Limitation re: Plaintiffs Corrected Brief in Support of Plaintiffs Motion for Entry of a Status Quo Order and Motion to Expedite Proceedings | Accepted | 0.1MB |
|          |                       |                |   |   |    | Certificate of Service         | Certificate of Service to Plaintiffs Corrected Brief in Support of Plaintiffs Motion for Entry of a Status Quo Order and Motion to Expedite Proceedings  | Accepted | 0.1MB |
|          |                       |                |   |   |    | Letter                         | Letter dated 7-7-16 to The Honorable Sam Glasscock, III from   | Accepted | 0.1MB |

|          |                       |                |   |   |    |                     |   |          |       |
|----------|-----------------------|----------------|---|---|----|---------------------|---|----------|-------|
|          |                       |                |   |   |    |                     | Glenn R. McGillivray enclosing Plaintiff's Corrected Brief in Support of Plaintiff's Motion for Entry of a Status Quo Order and Motion to Expedite Proceedings<br><ul style="list-style-type: none"> <li>• Linked to (3)</li> </ul>   |          |       |
| 59240945 | 7/7/2016 10:38 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Kevin G Abrams, Abrams & Bayliss LLP                                | 11 | Entry of Appearance | Entry of Appearance of Kevin G. Abrams, Esq., and Matthew L. Miller, Esq., of Abrams & Bayliss LLP on behalf of defendant Highland Capital Management, L.P. (with Certificate of Service)<br><ul style="list-style-type: none"> <li>• Linked to (2)</li> <li>• Linked from (2)</li> </ul> | Accepted | 0.1MB |
| 59234690 | 7/6/2016 3:28 PM EDT  | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Sam Glasscock, DE Court of Chancery Civil Action                    | 10 | Issuance of Summons | FedEx 1 Summons 1 Copy to Glenn McGillivray Esq. 07.06.2016<br><ul style="list-style-type: none"> <li>• Linked to (1)</li> <li>• Linked from (1)</li> </ul>   | Accepted | 0.1MB |
| 59231450 | 7/6/2016 11:40 AM EDT | File And Serve | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Sam Glasscock, DE Court of Chancery Civil Action                    | 9  | Letter              | Letter to Litigants confirming oral argument by phone on pending Motion to Expedite and Motion for Status Quo Order<br><ul style="list-style-type: none"> <li>• Linked from (4)</li> </ul>  | Accepted | 0.1MB |
| 59208279 | 7/5/2016 9:06 AM EDT  | File Only      | 12533-VCZ STAYED - CONF ORDER Redeemer Committee of the Highland Crusader Fund v. Highland Capital Management, L.P. | Glenn R McGillivray, Morris Nichols Arsht & Tunnell LLP- Wilmington | 1  | Complaint           | Verified Complaint for Declaratory Relief and a Status Quo Order [CONFIDENTIAL FILING]<br><ul style="list-style-type: none"> <li>• Linked from (53)</li> </ul>  | Accepted | 2.8MB |
|          |                       |                |   |   | 2  | Letter              | Letter to Register in Chancery from Kevin Coen regarding  | Accepted | 0.1MB |

|   |                                |  |          |       |
|---|--------------------------------|--|----------|-------|
|   |                                | confidential filings pursuant to Rule 5.1<br><ul style="list-style-type: none"> <li>• Linked from (2)</li> </ul>   |          |       |
| 3 | Supplemental Information Sheet | Supplemental Information Pursuant to Rule 3(A) with Statement of Good Cause  | Accepted | 0.1MB |
| 4 | Motion                         | Plaintiff's Motion for Entry of a Status Quo Order<br><ul style="list-style-type: none"> <li>• Linked from (3)</li> </ul>  | Accepted | 0.1MB |
| 5 | Motion to Expedite             | Plaintiff's Motion to Expedite Proceedings<br><ul style="list-style-type: none"> <li>• Linked from (3)</li> </ul>  | Accepted | 0.1MB |
| 6 | Brief                          | Brief in Support of Plaintiff's Motion for Entry of a Status Quo Order and Motion to Expedite Proceedings [CONFIDENTIAL FILING]<br><ul style="list-style-type: none"> <li>• Linked from (6)</li> </ul> | Accepted | 0.4MB |
| 7 | Summons Instructions           | Summons Instruction Letter<br><ul style="list-style-type: none"> <li>• Linked from (1)</li> </ul>  | Accepted | 0.1MB |
| 8 | Letter                         | Letter to Chancellor Bouchard from Glenn McGillivray regarding expedited proceedings and enclosing copies of documents   | Accepted | 0.1MB |
|   | Exhibits                       | Exhibits A-C to Verified Complaint for Declaratory Relief and a Status Quo Order [CONFIDENTIAL FILING]   | Accepted | 0.7MB |
|   | Exhibits                       | Exhibit D Part 1 to Verified Complaint for Declaratory Relief and a Status Quo Order [CONFIDENTIAL FILING]   | Accepted | 0.7MB |
|   | Exhibits                       | Exhibit D Part 2 to Verified Complaint for   | Accepted | 0.4MB |

|  |  |  |  |  |                           |   |          |       |
|--|--|--|--|--|---------------------------|---|----------|-------|
|  |  |  |  |  |                           | Declaratory Relief and a Status Quo Order<br>[CONFIDENTIAL FILING]  |          |       |
|  |  |  |  |  | Exhibits                  | Exhibit D Part 3 to Verified Complaint for Declaratory Relief and a Status Quo Order<br>[CONFIDENTIAL FILING]                 | Accepted | 0.4MB |
|  |  |  |  |  | Verification to Complaint | Verification of Mark DiSalvo, authorized agent of the Redeemer Committee of the Highland Crusader Fund, to Verified Complaint | Accepted | 0.1MB |
|  |  |  |  |  | Proposed Order            | [Proposed] Status Quo Order   | Accepted | 0.1MB |
|  |  |  |  |  | Proposed Order            | [Proposed] Order Expediting Proceedings   | Accepted | 0.1MB |
|  |  |  |  |  | Certification             | Certificate of Compliance with Typeface Requirement and Type-Volume Limitation  | Accepted | 0.1MB |



## **EXHIBIT NNNNNNN**

[Click to Print](#)

Printed on: 2/1/2021 14:46:01 GMT-0500 (Eastern Standard Time)

### Case History Search

Search Created:

2/1/2021 14:46:01 GMT-0500 (Eastern Standard Time)

**Court:** DE Court of Chancery **Judge:** Zurn, Morgan **File & ServeXpress** 7/6/2017  
Civil Action **Live Date:**  
**Division:** N/A **Case Number:** 2017-0488-MTZ **Document(s)** 616  
**Case Type:** Breach of Contract **Case Name:** STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. **Filed:**  
**Linked** 292,2019 [View Case History] **Date Range:** All  
**Case(s):**

1-50 of 349 transactions <<Prev Page 1 of 7 Next>>

| Transaction | ▼ Date/Time                   | Option            | Case Number<br>Case Name  | Authorizer<br>Organization  | #   | Document Type                            | Document Title   | Review<br>Status | Size  |
|-------------|-------------------------------|-------------------|---|---|-----|--|--|------------------|-------|
| 66295856    | 1/29/2021<br>2:17 PM<br>EST   | File<br>Only      | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Juli LaBadia,<br>DE Court of<br>Chancery Civil<br>Action            | 367 | Official Transcript<br>(Addl Fees Apply) | Transcript of<br>10.8.19<br>Telephonic<br>Follow-Up Pretrial<br>Conference   | Accepted         | 0.5MB |
| 65807713    | 7/31/2020<br>4:40 PM<br>EDT   | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Ryan P<br>Newell,<br>Connolly<br>Gallagher                          | 366 | Notice                                   | Notice of Change<br>of Law Firm<br>Affiliation of<br>Special Discovery<br>Neutral Ryan P.<br>Newell with<br>Certificate of<br>Service<br>• Linked to (1) | Accepted         | 0.1MB |
| 64508629    | 12/10/2019<br>10:13 AM<br>EST | File<br>Only      | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Juli LaBadia,<br>DE Court of<br>Chancery Civil<br>Action            | 365 | Official Transcript<br>(Addl Fees Apply) | Transcript of<br>11.15.19<br>Teleconference<br>Disclosing<br>Improper Witness<br>Communication   | Accepted         | 0.1MB |
| 64435189    | 11/15/2019<br>3:10 PM<br>EST  | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Register in<br>Chancery,<br>DE Court of<br>Chancery Civil<br>Action | 364 | Judicial Action<br>Form                  | Telephonic<br>hearing regarding<br>Disclosing<br>Improper Witness<br>Communication<br>held with VC Zurn<br>11.15.2019 See<br>Transcript.                 | Accepted         | 0.1MB |
| 64433780    | 11/15/2019<br>12:15 PM<br>EST | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland                                   | Morgan Zurn,<br>DE Court of<br>Chancery Civil<br>Action             | 363 | Official Transcript<br>(Addl Fees Apply) | FILED UNDER<br>SEAL 10.15.19 Trial<br>Transcript -<br>Volume II (281<br>pages)   | Accepted         | 0.6MB |

014063

|          |                        |                |  |  |     |                                       |   |          |       |
|----------|------------------------|----------------|--|--|-----|---------------------------------------|---|----------|-------|
|          |                        |                | Capital Management, L.P.   |  |     |                                       |   |          |       |
| 64432494 | 11/15/2019 9:38 AM EST | File Only      | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Juli LaBadia, DE Court of Chancery Civil Action    | 360 | Official Transcript (Addl Fees Apply) | 10.14.19 Trial Transcript - Volume I  | Accepted | 0.6MB |
|          |                        |                |  |  | 361 | Official Transcript (Addl Fees Apply) | 10.15.19 Redacted Trial Transcript - Volume II  | Accepted | 0.9MB |
|          |                        |                |  |  | 362 | Official Transcript (Addl Fees Apply) | 10.16.19 Trial Transcript - Volume III  | Accepted | 0.1MB |
| 64377664 | 11/1/2019 12:10 PM EDT | File Only      | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Dennel Niezgoda, DE Court of Chancery Civil Action | 359 | Official Transcript (Addl Fees Apply) | 8-16-19 Transcript of the Telephonic Status Conference  | Accepted | 0.2MB |
| 64323086 | 10/16/2019 3:26 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action     | 358 | Judicial Action Form                  | Judicial Action Form: Defendant Highland Capital Management filed Ch. 11 Bankruptcy 10.16.20`19. Matter stayed vs. them and stayed as against all Defendants by stipulation. Trial in recess pending further action. See transcript and CA 12533-VCZ.             | Accepted | 0.2MB |
| 64311063 | 10/11/2019 6:14 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Ryan P Newell, Connolly Gallagher                  | 357 | Masters Report                        | Special Discovery Neutral's Report with Certificate of Service <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>  | Accepted | 0.2MB |
| 64311090 | 10/11/2019 6:12 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Peter H Kyle, DLA Piper US LLP- Wilmington         | 356 | Letter                                | Letter to The Honorable Morgan T. Zurn dated October 11, 2019 from Peter H. Kyle regarding Plaintiff Patrick Daugherty's recently stated position with respect to the order of witnesses at trial <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul> | Accepted | 0.1MB |
|          |                        |                |  |  |     | Exhibits                              | Exhibit A to letter to The Honorable Morgan T. Zurn   | Accepted | 0.2MB |

|          |                        |                |  |  |     |                |  |          |       |
|----------|------------------------|----------------|--|--|-----|----------------|--|----------|-------|
|          |                        |                |  |  |     |                | dated October 11, 2019 from Peter H. Kyle regarding Plaintiff Patrick Daugherty's recently stated position with respect to the order of witnesses at trial                                       |          |       |
| 64310815 | 10/11/2019 5:14 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Kelly L Freund, DLA Piper US LLP- Wilmington | 355 | Motion         | Defendants' Motion To Continue The Confidential Treatment Of Certain Joint Trial Exhibits with Certificate of Service <ul style="list-style-type: none"> <li>Linked to (5)</li> </ul>            | Accepted | 0.2MB |
|          |                        |                |  |  |     | Exhibits       | Exhibits A through D to Defendants' Motion To Continue The Confidential Treatment Of Certain Joint Trial Exhibits  | Accepted | 2.0MB |
|          |                        |                |  |  |     | Exhibits       | Exhibits E through G to Defendants' Motion To Continue The Confidential Treatment Of Certain Joint Trial Exhibits  | Accepted | 0.9MB |
|          |                        |                |  |  |     | Proposed Order | [Proposed] Order Granting Defendants' Motion To Continue The Confidential Treatment Of Certain Joint Trial Exhibits  | Accepted | 0.1MB |
|          |                        |                |  |  |     | Letter         | Letter to The Honorable Morgan T. Zurn dated October 11, 2019 from Kelly L. Freund enclosing copies of Defendants' Motion To Continue The Confidential Treatment Of Certain Joint Trial Exhibits | Accepted | 0.1MB |
| 64307079 | 10/11/2019             | File And       | 2017-0488-MTZ  | Matthew                                      | 354 | Entry of       | Entry of   | Accepted | 0.1MB |

|          |                         |                |  |  |     |                                       |  |          |       |
|----------|-------------------------|----------------|--|--|-----|---------------------------------------|--|----------|-------|
|          | 11:03 AM EDT            | Serve          | STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P.               | Denn, DLA Piper US LLP- Wilmington                             |     | Appearance                            | Appearance of Matthew Denn of DLA Piper LLP (US), as attorney for defendants, Highland Capital Management, L.P., Highland Employee Retention Assets LLC, Highland ERA Management LLC and James Dondero, with Certificate of Service<br>• Linked to (2)   |          |       |
| 64306482 | 10/11/2019 9:57 AM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action                 | 353 | Order                                 | Denied ([Proposed] Order Granting Non-Party Abrams & Bayliss LLP's Motion for Leave to Examine Its Witness at Trial)<br>• Linked to (1)  | Accepted | 0.3MB |
| 64306320 | 10/11/2019 9:43 AM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action                 | 352 | Order                                 | Granted with Modifications ([CONFIDENTIAL] Stipulated [PROPOSED] Joint Pre-Trial Order)<br>• Linked to (1)<br>• Linked from (2)  | Accepted | 0.8MB |
| 64300812 | 10/10/2019 10:05 AM EDT | File Only      | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Karen Ct Reporter Siedlecki, DE Court of Chancery Civil Action | 351 | Official Transcript (Addl Fees Apply) | 9-24-19 ORAL ARGUMENT ON CROSS-MOTIONS FOR SUMMARY JUDGMENT  | Accepted | 0.3MB |
| 64292307 | 10/8/2019 2:30 PM EDT   | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action                 | 350 | Minute Order                          | This confirms that trial in this matter, scheduled for October 14-16, 2019, will begin at 11:00 a.m. on Wednesday, October 16 to accommodate another hearing. Trial will begin at 9:15 a.m. on Monday, October 14 & Tuesday, October 15. Should the hearing on October 16 be cancelled, trial will | Accepted | 0MB   |

|          |                       |                |  |   |     |                   |  |          |       |
|----------|-----------------------|----------------|--|---|-----|-------------------|--|----------|-------|
|          |                       |                |  |   |     |                   | then begin at 9:15 a.m.<br>• Linked from (1)   |          |       |
| 64287676 | 10/7/2019 6:18 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 349 | Notice of Lodging | Notice of Lodging<br>• Linked to (1)   | Accepted | 0.1MB |
|          |                       |                |  |   |     | Exhibits          | SEALED - Exhibits A-J to Notice of Lodging   | Accepted | 3.8MB |
| 64276861 | 10/4/2019 3:19 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Peter H Kyle, DLA Piper US LLP- Wilmington        | 348 | Letter            | Letter to The Honorable Morgan T. Zurn regarding the resolution of the claims, counterclaims, and third-party claims asserted in the Texas Action<br>• Linked to (3) | Accepted | 0.1MB |
|          |                       |                |  |   |     | Exhibits          | Exhibit A to Letter to The Honorable Morgan T. Zurn regarding the resolution of the claims, counterclaims, and third-party claims asserted in the Texas Action       | Accepted | 2.1MB |
|          |                       |                |  |   |     | Exhibits          | Exhibit B to Letter to The Honorable Morgan T. Zurn regarding the resolution of the claims, counterclaims, and third-party claims asserted in the Texas Action       | Accepted | 0.1MB |
|          |                       |                |  |   |     | Exhibits          | Exhibit C to Letter to The Honorable Morgan T. Zurn regarding the resolution of the claims, counterclaims, and third-party claims asserted in the Texas Action       | Accepted | 0.7MB |
|          |                       |                |  |   |     | Exhibits          | Exhibit D to Letter to The Honorable Morgan T. Zurn regarding the resolution of the claims, counterclaims, and third-party   | Accepted | 5.5MB |

|          |                       |                |  |  |     |          |  |          |       |
|----------|-----------------------|----------------|--|--|-----|----------|--|----------|-------|
|          |                       |                |  |  |     |          | claims asserted in the Texas Action  |          |       |
|          |                       |                |  |  |     | Exhibits | Exhibit E to Letter to The Honorable Morgan T. Zurn regarding the resolution of the claims, counterclaims, and third-party claims asserted in the Texas Action | Accepted | 0.1MB |
|          |                       |                |  |  |     | Exhibits | Exhibit F to Letter to The Honorable Morgan T. Zurn regarding the resolution of the claims, counterclaims, and third-party claims asserted in the Texas Action | Accepted | 0.1MB |
|          |                       |                |  |  |     | Exhibits | Exhibit G to Letter to The Honorable Morgan T. Zurn regarding the resolution of the claims, counterclaims, and third-party claims asserted in the Texas Action | Accepted | 0.1MB |
|          |                       |                |  |  |     | Exhibits | Exhibit H to Letter to The Honorable Morgan T. Zurn regarding the resolution of the claims, counterclaims, and third-party claims asserted in the Texas Action | Accepted | 2.3MB |
| 64243632 | 9/26/2019 9:51 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action | 347 | Order    | Granted with Modifications ([PROPOSED] Order Approving Special Discovery Neutral's Fees and Expenses)<br>• Linked to (1)                                       | Accepted | 0.3MB |
| 64243094 | 9/25/2019 8:31 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Ryan P Newell, Connolly Gallagher              | 346 | Letter   | Letter to The Honorable Morgan T. Zurn from Ryan P. Newell regarding Petition for Approval of Special Discovery Neutral's Fees and Expenses<br>• Linked to (1) | Accepted | 0.1MB |

|          |                             |                   |   |   |     |                         |   |          |       |
|----------|-----------------------------|-------------------|---|---|-----|-------------------------|---|----------|-------|
| 64236048 | 9/24/2019<br>1:20 PM<br>EDT | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Register in<br>Chancery,<br>DE Court of<br>Chancery Civil<br>Action | 343 | Judicial Action<br>Form | Cross-Motions for<br>Partial Summary<br>Judgment on<br>Counts VI and VII<br>of the Plaintiff's<br>Second Amended<br>Complaint.<br>Decision taken<br>under<br>advisement.<br>• Linked from (1)   | Accepted | 0.1MB |
| 64229352 | 9/20/2019<br>5:46 PM<br>EDT | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Joseph A<br>Sparco,<br>Abrams &<br>Bayliss LLP                      | 344 | Letter                  | Letter to The<br>Honorable<br>Morgan T. Zurn<br>from Joseph A.<br>Sparco, Esq.<br>enclosing<br>courtesy copies of<br>Non-Party Abrams<br>& Bayliss LLP's<br>Motion for Leave<br>to Examine Its<br>Witness at Trial<br>and Exhibit A to<br>Non-Party Abrams<br>& Bayliss LLP's<br>Motion for Leave<br>to Examine Its<br>Witness at Trial,<br>filed with the<br>Court on Friday,<br>September 20,<br>2019.<br>• Linked to (2) | Accepted | 0.1MB |
|          |                             |                   |   |   |     | Exhibits                | [CONFIDENTIAL<br>FILING] Exhibit A<br>to Non-Party<br>Abrams & Bayliss<br>LLP's Motion for<br>Leave to Examine<br>Its Witness at Trial<br>• Linked to (2)   | Accepted | 1.7MB |
| 64228324 | 9/20/2019<br>3:25 PM<br>EDT | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Joseph A<br>Sparco,<br>Abrams &<br>Bayliss LLP                      | 342 | Entry of<br>Appearance  | Entry of<br>Appearance of<br>Joseph A. Sparco,<br>Esq. of Abrams &<br>Bayliss LLP on<br>behalf of non-<br>party Abrams &<br>Bayliss LLP, with<br>Certificate of<br>Service<br>• Linked to (2)   | Accepted | 0.1MB |
|          |                             |                   |   |   | 343 | Motion                  | Non-Party Abrams<br>& Bayliss LLP's<br>Motion for Leave<br>to Examine Its<br>Witness at Trial,<br>with Certificate of<br>Service<br>• Linked to (2)<br>• Linked from (2)  | Accepted | 0.1MB |



|          |                       |                |  |   |     |                                       |   |          |       |
|----------|-----------------------|----------------|--|---|-----|---------------------------------------|---|----------|-------|
|          |                       |                |  |   |     | Proposed Order                        | [Proposed] Order Granting Non-Party Abrams & Bayliss LLP's Motion for Leave to Examine Its Witness at Trial <ul style="list-style-type: none"> <li>• Linked from (3)</li> </ul> | Accepted | 0.1MB |
| 64214308 | 9/17/2019 3:26 PM EDT | File Only      | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Ryan P Newell, Connolly Gallagher                 | 341 | Petition - Other Than a New Case      | Petition for Approval of Special Discovery Neutral's Fees and Expenses <ul style="list-style-type: none"> <li>• Linked to (2)</li> <li>• Linked from (1)</li> </ul>             | Accepted | 0.1MB |
|          |                       |                |  |   |     | Exhibits                              | [CONFIDENTIAL] Exhibit 1 to Petition for Approval of Special Discovery Neutral's Fees and Expenses  | Accepted | 1.1MB |
|          |                       |                |  |   |     | Proposed Order                        | [PROPOSED] Order Approving Special Discovery Neutral's Fees and Expenses <ul style="list-style-type: none"> <li>• Linked from (1)</li> </ul>                                    | Accepted | 0.1MB |
| 64209218 | 9/16/2019 3:31 PM EDT | File Only      | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Juli LaBadia, DE Court of Chancery Civil Action   | 340 | Official Transcript (Addl Fees Apply) | Transcript of 9.3.19 Telephonic Pretrial Conference, Oral Argument on Motions in Limine, and Rulings of the Court   | Accepted | 0.2MB |
| 64179996 | 9/9/2019 3:31 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Kelly L Freund, DLA Piper US LLP- Wilmington      | 339 | Public Version                        | Redacted Public Version of Defendants' Motion for Continuance with Certificate of Service <ul style="list-style-type: none"> <li>• Linked to (1)</li> </ul>                     | Accepted | 0.5MB |
| 64179450 | 9/9/2019 2:47 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 338 | Public Version                        | Public Version of Patrick Daugherty's Reply in Support of Motion in Limine with certificate of service <ul style="list-style-type: none"> <li>• Linked to (1)</li> </ul>        | Accepted | 0.4MB |
| 64175701 | 9/8/2019 5:57 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Kelly L Freund, DLA Piper US LLP- Wilmington      | 337 | Public Version                        | [PUBLIC VERSION] Stipulated [PROPOSED] Joint Pre-Trial Order <ul style="list-style-type: none"> <li>• Linked to (1)</li> </ul>  | Accepted | 0.7MB |

|          |                             |                   |   |   |     |                                   |  |          |       |
|----------|-----------------------------|-------------------|---|---|-----|-----------------------------------|--|----------|-------|
| 64175695 | 9/8/2019<br>5:55 PM<br>EDT  | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Kelly L<br>Freund,<br>DLA Piper US<br>LLP-<br>Wilmington            | 336 | Public Version                    | [PUBLIC VERSION]<br>Patrick<br>Daugherty's Pre-<br>Trial Brief<br>• Linked to (1)  | Accepted | 1.1MB |
|          |                             |                   |   |   |     | Certificate of<br>Service         | Certificate of<br>Service of [PUBLIC<br>VERSION] Patrick<br>Daugherty's Pre-<br>Trial Brief  | Accepted | 0.3MB |
| 64174491 | 9/6/2019<br>5:40 PM<br>EDT  | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Kelly L<br>Freund,<br>DLA Piper US<br>LLP-<br>Wilmington            | 335 | Public Version                    | Redacted Public<br>Version of<br>Defendants' Pre-<br>Trial Brief with<br>Certificate of<br>Service<br>• Linked to (1)  | Accepted | 1.1MB |
| 64163181 | 9/5/2019<br>12:56 PM<br>EDT | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Morgan Zurn,<br>DE Court of<br>Chancery Civil<br>Action             | 334 | Letter                            | Letter to Counsel<br>from Vice<br>Chancellor Zurn<br>regarding Mr.<br>Seaman's request<br>at the September<br>3, 2019 pre-trial<br>conference  | Accepted | 0.2MB |
| 64162009 | 9/5/2019<br>10:49 AM<br>EDT | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Morgan Zurn,<br>DE Court of<br>Chancery Civil<br>Action             | 333 | Order                             | Granted with<br>Modifications<br>(Stipulation and<br>[Proposed] Order<br>Regarding Trial<br>Schedule and<br>Discovery Neutral)<br>• Linked to (1)<br>• Linked from (1)                 | Accepted | 0.4MB |
| 64161432 | 9/5/2019<br>9:42 AM<br>EDT  | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Thomas<br>Uebler,<br>McCollom<br>D'Emilio Smith<br>Uebler LLC       | 332 | Letter                            | Letter from<br>Thomas Uebler to<br>Vice Chancellor<br>Zurn enclosing<br>Stipulation and<br>[Proposed] Order<br>Regarding Trial<br>Schedule and<br>Discovery Neutral<br>• Linked to (1) | Accepted | 0.1MB |
|          |                             |                   |   |   |     | Stipulation &<br>(Proposed) Order | Stipulation and<br>[Proposed] Order<br>Regarding Trial<br>Schedule and<br>Discovery Neutral<br>• Linked from (1)   | Accepted | 0.2MB |
| 64160132 | 9/4/2019<br>4:25 PM<br>EDT  | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Register in<br>Chancery,<br>DE Court of<br>Chancery Civil<br>Action | 331 | Judicial Action<br>Form           | Oral Argument Re:<br>Motions in Limine<br>before V.C. Zurn.<br>Motion to<br>postpone trial<br>granted.<br>Defendants'<br>motions denied.<br>Plaintiffs motion                          | Accepted | 0.1MB |

|          |                       |                |  |   |     |                        |   |          |       |
|----------|-----------------------|----------------|--|---|-----|------------------------|---|----------|-------|
|          |                       |                |  |   |     |                        | denied. See transcript.<br>• Linked from (1)  |          |       |
| 64151003 | 9/3/2019 8:30 AM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 330 | Opposition             | [Confidential Filing] Patrick Daugherty's Opposition to Defendants' Motion to Delay Trial, with Certificate of Service<br>• Linked to (1)       | Accepted | 0.2MB |
| 64149831 | 8/30/2019 5:03 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Peter H Kyle, DLA Piper US LLP- Wilmington        | 329 | Motion                 | [CONFIDENTIAL] Defendants' Motion for Continuance with Certificate of Service<br>• Linked to (1)<br>• Linked from (1)                           | Accepted | 0.3MB |
|          |                       |                |  |   |     | Exhibits               | [CONFIDENTIAL] Exhibit A to Defendants' Motion for Continuance  | Accepted | 0.6MB |
|          |                       |                |  |   |     | Exhibits               | [CONFIDENTIAL] Exhibit B to Defendants' Motion for Continuance  | Accepted | 1.5MB |
|          |                       |                |  |   |     | Exhibits               | [CONFIDENTIAL] Exhibit C to Defendants' Motion for Continuance  | Accepted | 0.5MB |
|          |                       |                |  |   |     | Proposed Order         | [PROPOSED] Order to [CONFIDENTIAL] Defendants' Motion for Continuance   | Accepted | 0.2MB |
| 64150170 | 8/30/2019 4:25 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 328 | Reply                  | Patrick Daugherty's Reply in Support of Motion in Limine with certificate of service (filed under seal)<br>• Linked to (1)<br>• Linked from (1) | Accepted | 0.3MB |
| 64149858 | 8/30/2019 3:59 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Kelly L Freund, DLA Piper US LLP- Wilmington      | 327 | Reply                  | Defendants' Reply in Further Support of Their Combined Motions in Limine<br>• Linked to (2)   | Accepted | 0.2MB |
|          |                       |                |  |   |     | Certificate of Service | Certificate of Service to   | Accepted | 0.2MB |

|          |                        |                |  |   |     |                                |  |          |       |
|----------|------------------------|----------------|--|---|-----|--------------------------------|--|----------|-------|
|          |                        |                |  |   |     |                                | Defendants' Reply in Further Support of Their Combined Motions in Limine   |          |       |
| 64147525 | 8/30/2019 10:32 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Peter H Kyle, DLA Piper US LLP- Wilmington        | 326 | Letter                         | Letter to The Honorable Morgan T. Zurn enclosing Defendants' Pre-Trial Brief and The parties' Stipulated [Proposed] Joint Pre-Trial Order<br>• Linked to (4)                                     | Accepted | 0.2MB |
| 64147472 | 8/30/2019 10:24 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 325 | Letter                         | Letter from Thomas Uebler to Vice Chancellor Zurn enclosing courtesy copies of (1) Patrick Daugherty's Pretrial Brief and (2) the Stipulated [Proposed] Joint Pre-Trial Order<br>• Linked to (2) | Accepted | 0.1MB |
| 64146749 | 8/29/2019 9:46 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Peter H Kyle, DLA Piper US LLP- Wilmington        | 324 | Stipulation & (Proposed) Order | [CONFIDENTIAL] Stipulated [PROPOSED] Joint Pre-Trial Order<br>• Linked from (6)  | Accepted | 0.6MB |
|          |                        |                |  |   |     | Exhibits                       | Exhibit A to Stipulated [PROPOSED] Joint Pre-Trial Order<br>• Linked from (1)  | Accepted | 0.2MB |
| 64146733 | 8/29/2019 8:57 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Peter H Kyle, DLA Piper US LLP- Wilmington        | 323 | Pretrial Brief                 | [CONFIDENTIAL] Defendants' Pre-Trial Brief<br>• Linked to (1)<br>• Linked from (2)   | Accepted | 0.8MB |
|          |                        |                |  |   |     | Certificate of Service         | Certificate of Service to Defendants' Pre-Trial Brief<br>• Linked from (1)   | Accepted | 0.2MB |
| 64146007 | 8/29/2019 4:55 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 322 | Pretrial Brief                 | Patrick Daugherty's Pretrial Brief with certificate of service (filed under seal)<br>• Linked to (1)<br>• Linked from (2)  | Accepted | 1.1MB |
| 64132623 | 8/26/2019 5:33 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland                          | Morgan Zurn, DE Court of Chancery Civil Action    | 321 | Order - Pro Hac Vice           | Granted ([PROPOSED]) Order of Motion for Admission Pro Hac Vice of   | Accepted | 0.2MB |

|          |                        |                |  |   |     |                                |   |          |       |
|----------|------------------------|----------------|--|---|-----|--------------------------------|---|----------|-------|
|          |                        |                | Capital Management, L.P.   |   |     |                                | Michael W. Massiatte)<br>• Linked to (1)  |          |       |
| 64132295 | 8/26/2019 4:45 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 320 | Letter                         | Letter with Courtesy Copies of Patrick Daugherty's Opposition to Motions in Limine<br>• Linked to (1)   | Accepted | 0.1MB |
| 64131115 | 8/26/2019 2:38 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Kelly L Freund, DLA Piper US LLP- Wilmington      | 319 | Motion for Pro Hac Vice        | Motion for Admission Pro Hac Vice of Michael W. Massiatte with Certificate of Service<br>• Linked to (1)  | Accepted | 0.3MB |
|          |                        |                |  |   |     | Proposed Order - Pro Hac Vice  | [PROPOSED] Order of Motion for Admission Pro Hac Vice of Michael W. Massiatte<br>• Linked from (1)  | Accepted | 0.1MB |
|          |                        |                |  |   |     | Certification for Pro Hac Vice | Certification of Michael W. Massiatte to Motion for Admission Pro Hac Vice of Michael W. Massiatte  | Accepted | 0.1MB |
| 64130261 | 8/26/2019 12:30 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Peter H Kyle, DLA Piper US LLP- Wilmington        | 318 | Letter                         | Letter to The Honorable Morgan T. Zurn dated August 26, 2019 from Peter H. Kyle enclosing copies of Defendants' Response In Opposition To Plaintiff's Motion In Limine<br>• Linked to (1) | Accepted | 0.1MB |
| 64128138 | 8/23/2019 4:57 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Peter H Kyle, DLA Piper US LLP- Wilmington        | 317 | Opposition                     | Defendants' Response In Opposition To Plaintiff's Motion In Limine with Certificate of Service<br>• Linked to (1)<br>• Linked from (1)  | Accepted | 0.3MB |
|          |                        |                |  |   |     | Exhibits                       | [CONFIDENTIAL FILING] Exhibits A through D to Defendants' Response In   | Accepted | 3.9MB |

Case 3:21-cv-00538-N Document 26-53 Filed 06/09/21 Page 148 of 254 PageID 17032

|          |                        |                |  |   |     |                      |   |          |       |
|----------|------------------------|----------------|--|---|-----|----------------------|---|----------|-------|
|          |                        |                |  |   |     |                      | Opposition To Plaintiff's Motion In Limine  |          |       |
|          |                        |                |  |   |     | Exhibits             | [CONFIDENTIAL FILING] Exhibits E through I to Defendants' Response In Opposition To Plaintiff's Motion In Limine  | Accepted | 7.9MB |
|          |                        |                |  |   |     | Exhibits             | [CONFIDENTIAL FILING] Exhibit J (Part 1) to Defendants' Response In Opposition To Plaintiff's Motion In Limine  | Accepted | 4.0MB |
|          |                        |                |  |   |     | Exhibits             | [CONFIDENTIAL FILING] Exhibit J (Part 2) to Defendants' Response In Opposition To Plaintiff's Motion In Limine  | Accepted | 7.7MB |
|          |                        |                |  |   |     | Exhibits             | [CONFIDENTIAL FILING] Exhibit K to Defendants' Response In Opposition To Plaintiff's Motion In Limine   | Accepted | 6.4MB |
| 64127152 | 8/23/2019 2:49 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 316 | Opposition           | Patrick Daugherty's Opposition to Motions in Limine with Certificate of Service <ul style="list-style-type: none"><li>Linked to (1)</li><li>Linked from (1)</li></ul> | Accepted | 0.3MB |
| 64126059 | 8/23/2019 12:03 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 315 | Notice of Deposition | Notice of Deposition of Tami Bolder with Certificate of Service <ul style="list-style-type: none"><li>Linked to (1)</li></ul>   | Accepted | 0.1MB |

1-50 of 349 transactions <<Prev Page 1 of 7 Next>>

Case History Search

Search Created:  
2/1/2021 14:49:21 GMT-0500 (Eastern Standard Time)

**Court:** DE Court of Chancery **Judge:** Zurn, Morgan **File & ServeXpress Live** 7/6/2017  
Civil Action  
**Division:** N/A **Case Number:** 2017-0488-MTZ **Date:**  
**Case Type:** Breach of Contract **Case Name:** STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. **Document(s) Filed:** 616  
**Linked Case(s):** 292,2019 [View Case History] **Date Range:** All

51-100 of 349 transactions <<Prev Page 2 of 7 Next>>

| Transaction | ▼Date/Time                  | Option            | Case Number<br>Case Name  | Authorizer<br>Organization                               | #   | Document Type           | Document Title  | Review<br>Status | Size  |
|-------------|-----------------------------|-------------------|---|--|-----|-------------------------|---|------------------|-------|
| 64118086    | 8/21/2019<br>2:09 PM<br>EDT | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Morgan Zurn,<br>DE Court of<br>Chancery Civil<br>Action  | 314 | Letter                  | Letter to Counsel<br>confirming a<br>hearing on the<br>Cross-Motions<br>for Summary<br>Judgment in this<br>matter for<br>Tuesday,<br>September 24,<br>2019 at 11:00<br>a.m.             | Accepted         | 0.2MB |
| 64114565    | 8/20/2019<br>3:52 PM<br>EDT | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Kelly L<br>Freund,<br>DLA Piper US<br>LLP-<br>Wilmington | 313 | Notice of<br>Deposition | Defendants'<br>Notice of<br>Deposition of C.<br>Paul Wazzan,<br>Ph.D. scheduled<br>for August 23,<br>2019 at 10:00<br>a.m. Pacific with<br>Certificate of<br>Service<br>• Linked to (4) | Accepted         | 0.1MB |
| 64113480    | 8/20/2019<br>1:50 PM<br>EDT | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Morgan Zurn,<br>DE Court of<br>Chancery Civil<br>Action  | 312 | Order                   | Granted with<br>Modifications<br>([PROPOSED]<br>Order Governing<br>Expert Discovery)<br>• Linked to (1)<br>• Linked from<br>(1)   | Accepted         | 0.3MB |
| 64110965    | 8/19/2019<br>6:30 PM<br>EDT | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Peter H Kyle,<br>DLA Piper US<br>LLP-<br>Wilmington      | 311 | Letter                  | Letter to The<br>Honorable<br>Morgan T. Zurn<br>dated August 19,<br>2019 from Peter<br>H. Kyle enclosing<br>copies of (i)<br>Defendant's  | Accepted         | 0.1MB |

|          |                        |                |  |   |     |                        |  |          |       |
|----------|------------------------|----------------|--|---|-----|------------------------|--|----------|-------|
|          |                        |                |  |   |     |                        | Reply Brief In Support Of Its Cross Motion For Partial Summary Judgment On Counts VI-VII Of Plaintiff's Second Amended Complaint, (ii) Transmittal Affidavit, and (iii) a Compendium of Authorities Cited in the Reply Brief |          |       |
|          |                        |                |  |   |     |                        | <ul style="list-style-type: none"> <li>Linked to (2)</li> </ul>  |          |       |
| 64107967 | 8/19/2019 11:57 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Register in Chancery, DE Court of Chancery Civil Action | 310 | Judicial Action Form   | Telephonic Status Conference before Vice Chancellor Zurn on 8.16.19. See transcript.   | Accepted | 0.1MB |
| 64106148 | 8/16/2019 5:41 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Peter H Kyle, DLA Piper US LLP- Wilmington              | 309 | Reply Brief            | Defendant's Reply Brief In Support Of Its Cross Motion For Partial Summary Judgment On Counts VI - VII Of Plaintiff's Second Amended Complaint   | Accepted | 0.4MB |
|          |                        |                |  |   |     | Affidavit              | Transmittal Affidavit of Peter H. Kyle, Esq. In Support of Defendant's Reply Brief In Support Of Its Cross Motion For Partial Summary Judgment On Counts VI - VII Of Plaintiff's Second Amended Complaint                    | Accepted | 0.4MB |
|          |                        |                |  |   |     | Certificate of Service | Certificate of Service of (i) Defendant's Reply Brief In Support Of Its Cross Motion For Partial Summary Judgment On Counts VI - VII Of  | Accepted | 0.1MB |



|  |          |  |          |       |
|--|----------|--|----------|-------|
|  |          | Plaintiff's Second Amended Complaint, ans (ii) Transmittal Affidavit of Peter H. Kyle, Esq. In Support of Defendant's Reply Brief In Support Of Its Cross Motion For Partial Summary Judgment On Counts VI - VII Of Plaintiff's Second Amended Complaint |          |       |
|  | Exhibits | Exhibits 9 through 13 to Transmittal Affidavit of Peter H. Kyle, Esq. In Support of Defendant's Reply Brief In Support Of Its Cross Motion For Partial Summary Judgment On Counts VI - VII Of Plaintiff's Second Amended Complaint                       | Accepted | 2.0MB |
|  | Exhibits | CONFIDENTIAL FILING - Exhibit 14 to Transmittal Affidavit of Peter H. Kyle, Esq. In Support of Defendant's Reply Brief In Support Of Its Cross Motion For Partial Summary Judgment On Counts VI - VII Of Plaintiff's Second Amended Complaint            | Accepted | 2.4MB |
|  | Exhibits | Exhibits 15 through 16 Transmittal Affidavit of Peter H. Kyle, Esq. In Support of Defendant's Reply Brief In Support Of Its Cross Motion For Partial Summary Judgment On Counts VI - VII Of Plaintiff's Second   | Accepted | 5.2MB |

Case 3:21-cv-00538-N Document 26-53 Filed 06/09/21 Page 152 of 254 PageID 17036

|          |                        |                |  |  |     |                                       |   |          |       |
|----------|------------------------|----------------|--|--|-----|---------------------------------------|---|----------|-------|
|          |                        |                |  |  |     | Amended Complaint                     |   |          |       |
|          |                        |                |  |  |     | Exhibits                              | CONFIDENTIAL FILING - Exhibit 17 to Transmittal Affidavit of Peter H. Kyle, Esq. In Support of Defendant's Reply Brief In Support Of Its Cross Motion For Partial Summary Judgment On Counts VI - VII Of Plaintiffs Second Amended Complaint            | Accepted | 0.3MB |
| 64105623 | 8/16/2019 4:11 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC  | 307 | Public Version                        | Public Version of Patrick Daugherty's Motion in Limine with Certificate of Service  | Accepted | 0.4MB |
|          |                        |                |  |  | 308 | Certificate of Service                | Certificate of Service to Public Version of Patrick Daugherty's Motion in Limine with Certificate of Service  | Accepted | 0.1MB |
| 64096000 | 8/14/2019 2:49 PM EDT  | File Only      | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Jeanne K Cahill, DE Court of Chancery Civil Action | 306 | Official Transcript (Addl Fees Apply) | 6-17-2019 ORAL ARGUMENT RE PLAINTIFF'S MOTIONS TO COMPEL AND THE COURT'S RULINGS <ul style="list-style-type: none"><li>Linked from (1)</li></ul>  | Accepted | 0.1MB |
| 64094784 | 8/14/2019 11:57 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Peter H Kyle, DLA Piper US LLP- Wilmington         | 305 | Letter                                | Letter to The Honorable Morgan T. Zurn dated August 14, 2019 from Peter H. Kyle in response to the issues presented in Plaintiff, Patrick Daugherty's August 12, 2019 letter to the Court <ul style="list-style-type: none"><li>Linked to (2)</li></ul> | Accepted | 0.1MB |
|          |                        |                |  |  |     | Exhibits                              | Exhibit A-1 to letter to The Honorable Morgan T. Zurn dated August 14, 2019 from Peter  | Accepted | 0.1MB |

|          |                       |           |  |                                   |     |          |  |          |       |
|----------|-----------------------|-----------|--|-----------------------------------|-----|----------|--|----------|-------|
|          |                       |           |  |                                   |     |          | H. Kyle in response to the issues presented in Plaintiff, Patrick Daugherty's August 12, 2019 letter to the Court  |          |       |
|          |                       |           |  |                                   |     | Exhibits | Exhibit A-2 to letter to The Honorable Morgan T. Zurn dated August 14, 2019 from Peter H. Kyle in response to the issues presented in Plaintiff, Patrick Daugherty's August 12, 2019 letter to the Court | Accepted | 0.1MB |
|          |                       |           |  |                                   |     | Exhibits | Exhibit B-1 to letter to The Honorable Morgan T. Zurn dated August 14, 2019 from Peter H. Kyle in response to the issues presented in Plaintiff, Patrick Daugherty's August 12, 2019 letter to the Court | Accepted | 0.1MB |
|          |                       |           |  |                                   |     | Exhibits | Exhibit B-2 to letter to The Honorable Morgan T. Zurn dated August 14, 2019 from Peter H. Kyle in response to the issues presented in Plaintiff, Patrick Daugherty's August 12, 2019 letter to the Court | Accepted | 2.0MB |
| 64093252 | 8/13/2019 5:56 PM EDT | File Only | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Ryan P Newell, Connolly Gallagher | 304 | Letter   | Letter to the Honorable Morgan T. Zurn from Ryan P. Newell Enclosing Invoice for Special Master Neutral  | Accepted | 0.1MB |

|          |                        |                |  |  |     |                                       |   |          |       |
|----------|------------------------|----------------|--|--|-----|---------------------------------------|---|----------|-------|
|          |                        |                |  |  |     | Exhibits                              | Exhibit 1 to Letter to the Honorable Morgan T. Zurn from Ryan P. Newell Enclosing Invoice for Special Discovery Master Neutral  | Accepted | 0.2MB |
| 64087729 | 8/12/2019 4:55 PM EDT  | File Only      | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Karen Ct Reporter Siedlecki, DE Court of Chancery Civil Action | 303 | Official Transcript (Addl Fees Apply) | 7-26-19 TELEPHONIC ORAL ARGUMENT AND RULINGS OF THE COURT ON PLAINTIFF'S MOTION TO COMPEL TESTIMONY ON TAX FILINGS AND MOTION FOR PROTECTIVE ORDER AND DEFENDANTS' MOTION TO COMPEL PRODUCTION OF DOCUMENTS                                 | Accepted | 0.2MB |
| 64085718 | 8/12/2019 1:36 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Kelly L Freund, DLA Piper US LLP- Wilmington                   | 302 | Letter                                | Letter to The Honorable Morgan T. Zurn dated August 12, 2019 from Kelly L. Freund enclosing copies of Defendants' Combined Motions in Limine and a form of [Proposed] Order <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul> | Accepted | 0.1MB |
| 64085141 | 8/12/2019 12:38 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC              | 301 | Letter                                | Letter to Vice Chancellor Zurn from Thomas A. Uebler with courtesy copies of Patrick Daugherty's Motion in Limine <ul style="list-style-type: none"> <li>Linked to (1)</li> <li>Linked from (1)</li> </ul>                                  | Accepted | 0.3MB |
| 64084608 | 8/12/2019 11:30 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC              | 300 | Letter                                | Letter to the Honorable Vice Chancellor Zurn from Thomas A. Uebler dated August 12, 2019 <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>  | Accepted | 0.3MB |

|          |                      |                |  |   |     |                  |   |          |        |
|----------|----------------------|----------------|--|---|-----|------------------|---|----------|--------|
|          |                      |                |  |   |     | Proposed Order   | [PROPOSED] Order Governing Expert Discovery<br>• Linked from (1)  | Accepted | 0.1MB  |
|          |                      |                |  |   |     | Exhibits         | Exhibits A-C to Letter to the Honorable Vice Chancellor Zurn from Thomas A. Uebler dated August 12, 2019          | Accepted | 5.8MB  |
| 64082897 | 8/9/2019 4:39 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Kelly L Freund, DLA Piper US LLP- Wilmington      | 299 | Motion in Limine | Defendants' Combined Motions In Limine with Certificate of Service<br>• Linked to (3)<br>• Linked from (3)        | Accepted | 0.2MB  |
|          |                      |                |  |   |     | Proposed Order   | [Proposed] Order Granting Defendants' Combined Motions in Limine  | Accepted | 0.3MB  |
| 64081832 | 8/9/2019 4:13 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 298 | Motion in Limine | SEALED - Patrick Daugherty's Motion in Limine with Certificate of Service<br>• Linked to (3)<br>• Linked from (3) | Accepted | 0.3MB  |
|          |                      |                |  |   |     | Exhibits         | SEALED - Exhibits A-E to Patrick Daugherty's Motion in Limine   | Accepted | 6.9MB  |
|          |                      |                |  |   |     | Exhibits         | SEALED - Exhibits F-J to Patrick Daugherty's Motion in Limine   | Accepted | 10.3MB |
|          |                      |                |  |   |     | Exhibits         | Exhibit K to Patrick Daugherty's Motion in Limine   | Accepted | 2.1MB  |
|          |                      |                |  |   |     | Exhibits         | SEALED - Exhibits L-N to Patrick Daugherty's Motion in Limine   | Accepted | 1.1MB  |
| 63650653 | 8/1/2019 4:54 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 297 | Public Version   | Public Version of Patrick Daugherty's Reply in Support of Motion to Compel Testimony                              | Accepted | 0.3MB  |

|          |                       |                |  |   |     |                        |   |          |       |
|----------|-----------------------|----------------|--|---|-----|------------------------|---|----------|-------|
|          |                       |                |  |   |     |                        | Regarding Tax Filings   |          |       |
|          |                       |                |  |   |     |                        | <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>   |          |       |
|          |                       |                |  |   |     | Certificate of Service | Certificate of Service to Public Version of Patrick Daugherty's Reply in Support of Motion to Compel Testimony Regarding Tax Filings  | Accepted | 0.1MB |
| 63646801 | 7/31/2019 6:22 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 295 | Public Version         | Public Version of Patrick Daugherty's Combined Brief in Support of His Motion for Partial Summary Judgment and in Opposition to Highland's Cross Motion for Summary Judgment on Counts Six and Seven of Second Amended Verified Complaint                           | Accepted | 0.5MB |
|          |                       |                |  |   | 296 | Certificate of Service | Certificate of Service to Public Version of Patrick Daugherty's Combined Brief in Support of His Motion for Partial Summary Judgment and in Opposition to Highland's Cross Motion for Summary Judgment on Counts Six and Seven of Second Amended Verified Complaint | Accepted | 0.1MB |
| 63637420 | 7/29/2019 4:16 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Kelly L Freund, DLA Piper US LLP- Wilmington      | 294 | Public Version         | [PUBLIC VERSION] Defendants' Opposition to Patrick Daugherty's Motion to Compel Testimony Regarding Tax Filings and Cross-  | Accepted | 0.4MB |

|          |                        |                |  |   |     |                      |  |          |       |
|----------|------------------------|----------------|--|---|-----|----------------------|--|----------|-------|
|          |                        |                |  |   |     |                      | Motion for Protective Order with Certificate of Service  |          |       |
|          |                        |                |  |   |     |                      | <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>  |          |       |
| 63634332 | 7/29/2019 9:16 AM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action    | 293 | Judicial Action Form | Motions to Compel & Protective Order held 7.26.2019 before Vice Chancellor Zurn  | Accepted | 0.1MB |
| 63633335 | 7/26/2019 5:18 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 292 | Letter               | Letter from Thomas Uebler to Vice Chancellor Zurn enclosing [Proposed] Order Governing Expert Discovery  | Accepted | 0.1MB |
|          |                        |                |  |   |     | Proposed Order       | [Proposed] Order Governing Expert Discovery  | Accepted | 0.1MB |
| 63631103 | 7/26/2019 12:04 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action    | 291 | Order                | Denied ([Proposed] Order Granting Defendants' Motion to Compel Production of Documents And Continued Deposition of Plaintiff)  | Accepted | 0.4MB |
|          |                        |                |  |   |     |                      | <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>  |          |       |
| 63627028 | 7/25/2019 12:40 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action    | 290 | Order                | Granted (Stipulated [and Proposed] Modified Rule 510(f) Order)   | Accepted | 0.4MB |
|          |                        |                |  |   |     |                      | <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>  |          |       |
| 63626681 | 7/25/2019 11:57 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Kelly L Freund, DLA Piper US LLP- Wilmington      | 289 | Letter               | Letter to The Honorable Morgan T. Zurn dated July 25, 2019 from Kelly L. Freund enclosing copies of Defendants' Reply In Further Support Of Their Motion To Compel Production Of Documents And Continued | Accepted | 0.1MB |

|          |                        |                |  |   |     |                                |  |          |       |
|----------|------------------------|----------------|--|---|-----|--------------------------------|--|----------|-------|
|          |                        |                |  |   |     |                                | Deposition Of Plaintiff<br>• Linked to (2)   |          |       |
| 63626522 | 7/25/2019 11:32 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 288 | Reply                          | Patrick Daugherty's Reply in Support of Motion to Compel Testimony Regarding Tax Filings with certificate of service (Filed under seal)<br>• Linked to (3)<br>• Linked from (1)                  | Accepted | 0.2MB |
|          |                        |                |  |   |     | Exhibits                       | Exhibits D & E to Patrick Daugherty's Reply in Support of Motion to Compel Testimony Regarding Tax Filings (Filed under seal)  | Accepted | 0.8MB |
| 63626354 | 7/25/2019 11:08 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Kelly L Freund, DLA Piper US LLP- Wilmington      | 287 | Reply                          | Defendants' Reply In Further Support Of Their Motion To Compel Production Of Documents And Continued Deposition Of Plaintiff with Certificate of Service<br>• Linked to (3)                      | Accepted | 0.2MB |
| 63626104 | 7/25/2019 10:30 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 286 | Stipulation & (Proposed) Order | Stipulated [and Proposed] Modified Rule 510(f) Order<br>• Linked to (1)<br>• Linked from (1)   | Accepted | 0.3MB |
| 63625851 | 7/25/2019 9:37 AM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 285 | Letter                         | Letter with Courtesy Copies of (i) Patrick Daugherty's Combined Brief in Support of His Motion for Partial Summary Judgment and in Opposition to Highland's Cross Motion for Summary Judgment on | Accepted | 0.3MB |



|          |                       |                |  |   |     |                        |   |          |       |
|----------|-----------------------|----------------|--|---|-----|------------------------|---|----------|-------|
|          |                       |                |  |   |     |                        | Counts Six and Seven of Second Amended Verified Complaint and (ii) Patrick Daugherty's Brief in Support of Motion for Partial Summary Judgment on Counts Six and Seven of Second Amended Verified Complaint                                       |          |       |
|          |                       |                |  |   |     |                        | <ul style="list-style-type: none"> <li>Linked to (2)</li> </ul>   |          |       |
| 63623787 | 7/24/2019 3:21 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 284 | Reply Brief            | Patrick Daugherty's Combined Brief in Support of His Motion for Partial Summary Judgment and in Opposition to Highland's Cross Motion for Summary Judgment on Counts Six and Seven of Second Amended Verified Complaint                           | Accepted | 0.5MB |
|          |                       |                |  |   |     | Certificate of Service | Certificate of Service to Patrick Daugherty's Combined Brief in Support of His Motion for Partial Summary Judgment and in Opposition to Highland's Cross Motion for Summary Judgment on Counts Six and Seven of Second Amended Verified Complaint | Accepted | 0.1MB |
|          |                       |                |  |   |     | Exhibits               | Exhibit Z to Patrick Daugherty's Combined Brief in Support of His Motion for Partial Summary Judgment and in  | Accepted | 0.2MB |

|          |                        |                |  |  |     |                     |   |          |       |
|----------|------------------------|----------------|--|--|-----|---------------------|---|----------|-------|
|          |                        |                |  |  |     |                     | Opposition to Highland's Cross Motion for Summary Judgment on Counts Six and Seven of Second Amended Verified Complaint   |          |       |
|          |                        |                |  |  |     | Exhibits            | Exhibits AA-DD to Patrick Daugherty's Combined Brief in Support of His Motion for Partial Summary Judgment and in Opposition to Highland's Cross Motion for Summary Judgment on Counts Six and Seven of Second Amended Verified Complaint   | Accepted | 1.3MB |
| 63617557 | 7/23/2019 11:57 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Kelly L Freund, DLA Piper US LLP- Wilmington | 283 | Entry of Appearance | Entry of Appearance of Kelly Freund of DLA Piper LLP (US) as attorney for defendants Highland Capital Management, L.P., Highland Employee Retention Assets LLC, Highland ERA Management LLC and James Dondero, with Certificate of Service <ul style="list-style-type: none"> <li>• Linked to (3)</li> <li>• Linked from (1)</li> </ul> | Accepted | 0.1MB |
| 63569949 | 7/22/2019 3:14 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Peter H Kyle, DLA Piper US LLP- Wilmington   | 282 | Letter              | Letter to The Honorable Morgan T. Zurn dated July 22, 2019 from Peter H. Kyle enclosing copies of Defendants' Opposition To Patrick Daugherty's Motion To Compel Testimony Regarding Tax  | Accepted | 0.1MB |

|          |                        |                |  |   |     |            |  |          |       |
|----------|------------------------|----------------|--|---|-----|------------|--|----------|-------|
|          |                        |                |  |   |     |            | Filings And Cross-Motion For Protective Order<br>• Linked to (1)   |          |       |
| 63568065 | 7/22/2019 11:49 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 281 | Opposition | Patrick Daugherty's Opposition to Defendants' Motion to Compel Privileged Communications, with Certificate of Service<br>• Linked to (1)<br>• Linked from (2)  | Accepted | 0.1MB |
|          |                        |                |  |   |     | Exhibits   | Exhibits A and B to Patrick Daugherty's Opposition to Defendants' Motion to Compel Privileged Communications   | Accepted | 1.4MB |
|          |                        |                |  |   |     | Exhibits   | Exhibit C to Patrick Daugherty's Opposition to Defendants' Motion to Compel Privileged Communications [Confidential Filing]  | Accepted | 1.1MB |
| 63568125 | 7/22/2019 11:42 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Peter H Kyle, DLA Piper US LLP- Wilmington        | 280 | Opposition | [CONFIDENTIAL FILING] Defendants' Opposition To Patrick Daugherty's Motion To Compel Testimony Regarding Tax Filings And Cross-Motion For Protective Order with Certificate of Service<br>• Linked to (1)<br>• Linked from (3) | Accepted | 0.3MB |
|          |                        |                |  |   |     | Exhibits   | Exhibits 1 through 4 to Defendants' Opposition To Patrick Daugherty's Motion To  | Accepted | 5.9MB |

|          |                        |                |  |   |     |                                |  |          |       |
|----------|------------------------|----------------|--|---|-----|--------------------------------|--|----------|-------|
|          |                        |                |  |   |     |                                | Compel Testimony Regarding Tax Filings And Cross-Motion For Protective Order   |          |       |
|          |                        |                |  |   |     | Proposed Order                 | [Proposed] Order Denying Plaintiffs Motion Compel Testimony Regarding Tax Filings And Granting Defendants' Cross-Motion For Protective Order   | Accepted | 0.1MB |
| 63559798 | 7/18/2019 1:20 PM EDT  | File Only      | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action    | 279 | Supreme Court Mandate          | Certified copy of Order dated 7-18-19, to Clerk of Court Below, Case Closed.   | Accepted | 0.2MB |
| 63554390 | 7/17/2019 10:33 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action    | 278 | Order                          | Granted (Stipulation And [Proposed] Order Under Delaware Rule of Evidence 510(f)) <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>  | Accepted | 0.4MB |
| 63552777 | 7/16/2019 4:42 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 277 | Notice of Deposition           | Amended Notice of Deposition of James Dondero and Scott Ellington with Certificate of Service <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>                                  | Accepted | 0.2MB |
| 63552210 | 7/16/2019 3:29 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Ryan P Newell, Connolly Gallagher                 | 276 | Letter                         | Letter to Vice Chancellor Zurn from Ryan P. Newell Accepting Appointment as Special Discovery Neutral <ul style="list-style-type: none"> <li>Linked to (1)</li> <li>Linked from (1)</li> </ul> | Accepted | 0.1MB |
| 63551847 | 7/16/2019 2:57 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Peter H Kyle, DLA Piper US LLP- Wilmington        | 275 | Stipulation & (Proposed) Order | Stipulation And [Proposed] Order Under Delaware Rule of Evidence 510(f) <ul style="list-style-type: none"> <li>Linked to (4)</li> <li>Linked from (1)</li> </ul>                               | Accepted | 0.2MB |
| 63551626 | 7/16/2019 2:22 PM      | File And Serve | 2017-0488-MTZ STAYED - CONF  | Register in Chancery,                             | 274 | Judicial Action Form           | Teleconference on Motions to   | Accepted | 0.1MB |

|          |                        |                |  |   |     |                  |   |          |       |
|----------|------------------------|----------------|--|---|-----|------------------|---|----------|-------|
|          | EDT                    |                | ORDER Patrick Daugherty v. Highland Capital Management, L.P.                             | DE Court of Chancery Civil Action                 |     |                  | Compel filed with VC Zurn 7.16.2019<br>• Linked from (1)  |          |       |
| 63550633 | 7/16/2019 12:11 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action    | 273 | Order            | Granted with Modifications ([Proposed] Amended Scheduling Order)<br>• Linked to (1)<br>• Linked from (14)   | Accepted | 0.3MB |
| 63550430 | 7/16/2019 11:44 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action    | 272 | Order            | Denied ([PROPOSED] Order to Defendants' Motion for Protective Order)<br>• Linked to (1)   | Accepted | 0.3MB |
| 63550056 | 7/16/2019 11:00 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 270 | Motion to Compel | Patrick Daugherty's Motion to Compel Testimony Regarding Tax Filings with Certificate of Service - SEALED<br>• Linked to (1)<br>• Linked from (3) | Accepted | 0.2MB |
|          |                        |                |  |   | 271 | Letter           | Letter to Vice Chancellor Zurn enclosing courtesy copies of Patrick Daugherty's Motion to Compel Testimony Regarding Tax Filings                  | Accepted | 0.3MB |
|          |                        |                |  |   |     | Exhibits         | Exhibits A-C to Patrick Daugherty's Motion to Compel Testimony Regarding Tax Filings - SEALED   | Accepted | 7.7MB |
| 63549601 | 7/16/2019 10:14 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital                  | Peter H Kyle, DLA Piper US LLP- Wilmington        | 269 | Motion to Compel | Defendants' Motion to Compel Production of Documents And Continued Deposition of  | Accepted | 0.3MB |

|          |                       |                |  |  |                |  |          |       |
|----------|-----------------------|----------------|--|--|----------------|--|----------|-------|
|          |                       |                | Management, L.P.   |  |                | Plaintiff with Certificate of Service  |          |       |
|          |                       |                |  |  |                | <ul style="list-style-type: none"> <li>• Linked to (2)</li> <li>• Linked from (4)</li> </ul>   |          |       |
|          |                       |                |  |  | Exhibits       | Exhibits A and B to Defendants' Motion to Compel Production of Documents And Continued Deposition of Plaintiff   | Accepted | 0.4MB |
|          |                       |                |  |  | Exhibits       | [CONFIDENTIAL FILING] Exhibit C to Defendants' Motion to Compel Production of Documents And Continued Deposition of Plaintiff  | Accepted | 0.3MB |
|          |                       |                |  |  | Proposed Order | [Proposed] Order Granting Defendants' Motion to Compel Production of Documents And Continued Deposition of Plaintiff   | Accepted | 0.2MB |
|          |                       |                |  |  |                | <ul style="list-style-type: none"> <li>• Linked from (1)</li> </ul>  |          |       |
|          |                       |                |  |  | Letter         | Letter to The Honorable Morgan T. Zurn dated July 16, 2019 from Peter H. Kyle enclosing copies of Defendants' Motion to Compel Production of Documents And Continued Deposition of Plaintiff | Accepted | 0.1MB |
| 63546792 | 7/15/2019 2:24 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Peter H Kyle, DLA Piper US LLP- Wilmington | 268 Notice     | Notice of Service of (i) Defendants' Objections and Responses to Plaintiffs July 8, 2019 Notice of 30(b)(6) Deposition, and (ii) this Notice   | Accepted | 0.1MB |

|          |                        |                |  |   |     |                        |  |          |       |
|----------|------------------------|----------------|--|---|-----|------------------------|--|----------|-------|
|          |                        |                |  |   |     |                        | and Certificate of Service<br>• Linked to (2)  |          |       |
| 63546220 | 7/15/2019 12:52 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Peter H Kyle, DLA Piper US LLP- Wilmington        | 267 | Letter                 | Letter to The Honorable Morgan T. Zurn dated July 15, 2019 from Peter H. Kyle enclosing copies of Defendants' Reply In Further Support Of Their Motion For Protective Order<br>• Linked to (3) | Accepted | 0.1MB |
| 63545711 | 7/15/2019 11:34 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | John Reed, DLA Piper US LLP- Wilmington           | 266 | Reply                  | Defendants' Reply In Further Support Of Their Motion For Protective Order<br>• Linked to (2)   | Accepted | 0.2MB |
|          |                        |                |  |   |     | Exhibits               | Exhibit 1 to Defendants' Reply In Further Support Of Their Motion For Protective Order   | Accepted | 0.1MB |
|          |                        |                |  |   |     | Certificate of Service | Certificate of Service of Defendants' Reply In Further Support Of Their Motion For Protective Order  | Accepted | 0.1MB |
| 63537296 | 7/11/2019 3:54 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 264 | Opposition             | Patrick Daugherty's Opposition to Defendants' Motion for Protective Order and Motion to Compel with certificate of service (Filed Under Seal)<br>• Linked to (2)<br>• Linked from (2)          | Accepted | 0.3MB |
|          |                        |                |  |   | 265 | Proposed Order         | [Proposed] Order Compelling Deposition   | Accepted | 0.1MB |
|          |                        |                |  |   |     | Exhibits               | Exhibits A-I to Patrick Daugherty's Opposition to Defendants' Motion for Protective Order and Motion to  | Accepted | 3.3MB |

|          |                      |                |  |   |     |                                   |   |          |       |
|----------|----------------------|----------------|--|---|-----|-----------------------------------|---|----------|-------|
|          |                      |                |  |   |     |                                   | Compet (Filed Under Seal)   |          |       |
| 63524306 | 7/9/2019 4:04 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Register in Chancery, DE Court of Chancery Civil Action | 262 | Letter                            | Letter dated 7-9-19 from Senior Court Clerk to Appellant Counsel, stating that no further action can be taken with the notice of appeal from interlocutory order until a supplemental notice of appeal from interlocutory order is filed. | Accepted | 0.1MB |
|          |                      |                |  |   | 263 | Notice of Appeal to Supreme Court | Notice of Appeal from Interlocutory Order dated 5-17-19 & 6-7-19 in the Court of Chancery by Vice Chancellor Zurn, in C.A. No. 2017-0488-MTZ, with designation of no transcripts. (DISCLOSURES DUE 7-23-19)                               | Accepted | 0.1MB |
| 63523439 | 7/9/2019 3:08 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action          | 261 | Letter                            | Letter to Counsel from Vice Chancellor Zurn confirming a telephonic hearing on the Motion for Protective Order for Tuesday, July 16, 2019 at 11:00 a.m.<br><ul style="list-style-type: none"> <li>• Linked from (2)</li> </ul>            | Accepted | 0.2MB |
| 63521090 | 7/9/2019 9:43 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action          | 260 | Order                             | Stipulation & Order Appointing Special Discovery Neutral, issued July 9, 2019<br><ul style="list-style-type: none"> <li>• Linked from (5)</li> </ul>  | Accepted | 0.3MB |



Case History Search

Search Created:  
2/1/2021 14:50:58 GMT-0500 (Eastern Standard Time)

**Court:** DE Court of Chancery  
Civil Action

**Judge:** Zurn, Morgan

**File & ServeXpress** 7/6/2017  
**Live Date:**  
**Document(s)** 616  
**Filed:**  
**Date Range:** All

**Division:** N/A

**Case Number:** 2017-0488-MTZ

**Case Type:** Breach of Contract  
**Case Name:** STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P.

**Linked** 292,2019 [View Case History]

**Case(s):**

101-150 of 349 transactions <<Prev Page 3 of 7 Next>>

| Transaction | ▼Date/Time                  | Option            | Case Number<br>Case Name  | Authorizer<br>Organization   | #   | Document Type                  | Document Title  | Review<br>Status | Size  |
|-------------|-----------------------------|-------------------|---|--|-----|--------------------------------|---|------------------|-------|
| 63520472    | 7/8/2019<br>10:54 PM<br>EDT | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Peter H Kyle,<br>DLA Piper US<br>LLP-<br>Wilmington                | 259 | Motion for<br>Protective Order | Defendants'<br>Motion for<br>Protective Order<br>• Linked from (3)  | Accepted         | 0.3MB |
|             |                             |                   |   |  |     | Proposed Order                 | [PROPOSED]<br>Order to<br>Defendants'<br>Motion for<br>Protective Order<br>• Linked from (1)  | Accepted         | 0.1MB |
|             |                             |                   |   |  |     | Exhibits                       | Exhibits A - F to<br>Defendants'<br>Motion for<br>Protective Order  | Accepted         | 0.6MB |
|             |                             |                   |   |  |     | Exhibits                       | [FILED UNDER<br>SEAL] Exhibits G -<br>H to Defendants'<br>Motion for<br>Protective Order  | Accepted         | 3.0MB |
|             |                             |                   |   |  |     | Certificate of<br>Service      | Certificate of<br>Service of<br>Defendants'<br>Motion for<br>Protective Order   | Accepted         | 0.1MB |
| 63518730    | 7/8/2019<br>4:50 PM<br>EDT  | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Joseph<br>Christensen,<br>McCollom<br>D'Emilio Smith<br>Uebler LLC | 258 | Notice of<br>Deposition        | Notice of<br>Deposition<br>Pursuant to Court<br>of Chancery Rule<br>30(b)(6) of<br>Representative of<br>Defendants<br>Regarding Tax<br>Matters<br>• Linked from (3) | Accepted         | 0.1MB |
| 63518480    | 7/8/2019<br>4:38 PM<br>EDT  | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick   | Thomas<br>Uebler,<br>McCollom                                      | 257 | Letter                         | Letter from T.<br>Uebler to the<br>Honorable  | Accepted         | 0.4MB |

|          |                       |                |  |  |     |                                       |   |          |       |
|----------|-----------------------|----------------|--|--|-----|---------------------------------------|---|----------|-------|
|          |                       |                | Daugherty v. Highland Capital Management, L.P.   | D Emilio Smith Uebler LLC                                      |     |                                       | Morgan T. Zurn enclosing Stipulation and [Proposed] Order Appointing Special Discovery Master   |          |       |
|          |                       |                |  |  |     | Proposed Order                        | Stipulation and [Proposed] Order Appointing Special Discovery Master  | Accepted | 0.2MB |
| 63518449 | 7/8/2019 4:21 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action                 | 256 | Order                                 | Order Denying Application to Certify Interlocutory Appeal, issued July 8, 2019  | Accepted | 0.3MB |
| 63510842 | 7/5/2019 1:43 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action                 | 255 | Letter                                | Letter to Counsel from Vice Chancellor Zurn dated July 5, 2019 regarding recent submissions concerning discovery issues   | Accepted | 0.3MB |
| 63510559 | 7/5/2019 11:57 AM EDT | File Only      | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Karen Ct Reporter Siedlecki, DE Court of Chancery Civil Action | 254 | Official Transcript (Addl Fees Apply) | 6-7-19 REDACTED TELEPHONIC RULINGS OF THE COURT ON DEFENDANTS' MOTION FOR REARGUMENT AND DEFENDANTS' MOTION FOR A TEMPORARY STAY OF RULING                                  | Accepted | 0.2MB |
| 63510552 | 7/5/2019 11:53 AM EDT | File Only      | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Karen Ct Reporter Siedlecki, DE Court of Chancery Civil Action | 253 | Official Transcript (Addl Fees Apply) | 6-7-19 UNREDACTED TELEPHONIC RULINGS OF THE COURT ON DEFENDANTS' MOTION FOR REARGUMENT AND DEFENDANTS' MOTION FOR A TEMPORARY STAY OF RULING (Interlocutory Appeal Refused) | Accepted | 0.2MB |
| 63508639 | 7/3/2019 3:52 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick  | Thomas Uebler, McCollom  | 251 | Letter                                | Letter from T. Uebler to the Honorable Vice   | Accepted | 0.3MB |

|          |                       |                |  |   |     |                      |  |          |       |
|----------|-----------------------|----------------|--|---|-----|----------------------|--|----------|-------|
|          |                       |                | Daugherty v. Highland Capital Management, L.P.   | D'Emilio Smith Uebler LLC                         |     |                      | Chancellor Zurn requesting scheduling conference   |          |       |
|          |                       |                |  |   | 252 | Proposed Order       | [Proposed] Amended Scheduling Order  | Accepted | 0.1MB |
|          |                       |                |  |   |     | Exhibits             | Exhibits A-C to Letter from T. Uebler to the Honorable Vice Chancellor Zurn requesting scheduling conference                       | Accepted | 0.3MB |
| 63507011 | 7/3/2019 11:11 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 250 | Notice of Deposition | Notice of Deposition of James Dondero and Scott Ellington with Certificate of Service  | Accepted | 0.2MB |
|          |                       |                |  |   |     |                      | <ul style="list-style-type: none"> <li>Linked to (1)</li> <li>Linked from (1)</li> </ul>   |          |       |
| 63494262 | 6/28/2019 5:53 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Peter H Kyle, DLA Piper US LLP- Wilmington        | 249 | Letter               | Letter to The Honorable Morgan T. Zurn dated June 28, 2019 from Peter H. Kyle pursuant to the Court's request dated June 28, 2019  | Accepted | 0.1MB |
|          |                       |                |  |   |     | Exhibits             | CONFIDENTIAL - Exhibits A and B to letter to The Honorable Morgan T. Zurn dated June 28, 2019                                      | Accepted | 1.8MB |
| 63494086 | 6/28/2019 4:59 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Peter H Kyle, DLA Piper US LLP- Wilmington        | 248 | Notice of Deposition | Defendants' Notice of Deposition of Patrick Daugherty scheduled for Monday, July 1, 2019 at 9:00 a.m., with Certificate of Service | Accepted | 0.1MB |
|          |                       |                |  |   |     |                      | <ul style="list-style-type: none"> <li>Linked to (3)</li> <li>Linked from (2)</li> </ul>   |          |       |
| 63491082 | 6/28/2019 9:52 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital                  | Morgan Zurn, DE Court of Chancery Civil Action    | 247 | Order                | Any response to Mr. Uebler's letters of June 26 and 27 shall be filed today, June 28, 2019. The Court will then                    | Accepted | 0MB   |

|          |                        |                |  |  |     |            |  |          |       |
|----------|------------------------|----------------|--|--|-----|------------|--|----------|-------|
|          |                        |                | Management, L.P.   |  |     |            | take the outstanding discovery issues under advisement.<br>• Linked from (1)   |          |       |
| 63490549 | 6/27/2019 9:46 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC  | 246 | Letter     | Letter to the Honorable Vice Chancellor Zurn from T. Uebler regarding further discovery issues<br>• Linked to (2)  | Accepted | 0.3MB |
| 63489207 | 6/27/2019 3:14 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Hayley Lenahan, McCollom D'Emilio Smith Uebler LLC | 245 | Letter     | Letter to Vice Chancellor Zurn enclosing courtesy copies of Patrick Daugherty's Opposition to Defendants' Application for Certification of Interlocutory Appeal<br>• Linked to (1) | Accepted | 0.3MB |
| 63488874 | 6/27/2019 2:28 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action     | 244 | Order      | Granted (Stipulation and [Proposed] Order Under Delaware Rule of Evidence 510(f))<br>• Linked to (1)<br>• Linked from (2)  | Accepted | 0.4MB |
| 63486261 | 6/27/2019 11:30 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Hayley Lenahan, McCollom D'Emilio Smith Uebler LLC | 243 | Opposition | Patrick Daugherty's Opposition to Defendants' Application for Certification of Interlocutory Appeal with Certificate of Service<br>• Linked to (3)<br>• Linked from (1)            | Accepted | 0.4MB |
|          |                        |                |  |  |     | Exhibits   | Exhibit A to Patrick Daugherty's Opposition to Defendants' Application for Certification of Interlocutory Appeal   | Accepted | 0.3MB |
|          |                        |                |  |  |     | Exhibits   | Exhibit B to Patrick Daugherty's Opposition to Defendants'   | Accepted | 1.0MB |

|          |                        |                |  |  |     |                                |   |          |       |
|----------|------------------------|----------------|--|--|-----|--------------------------------|---|----------|-------|
|          |                        |                |  |  |     |                                | Application for Certification of Interlocutory Appeal (filed under seal)  |          |       |
| 63487385 | 6/27/2019 10:42 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC  | 242 | Letter                         | Letter from Thomas Uebler to Vice Chancellor Zurn enclosing Order Under DRE 510(f)<br>• Linked to (1)                                       | Accepted | 0.1MB |
|          |                        |                |  |  |     | Stipulation & (Proposed) Order | Stipulation and [Proposed] Order Under Delaware Rule of Evidence 510(f)<br>• Linked from (1)  | Accepted | 0.2MB |
| 63484565 | 6/26/2019 3:07 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Hayley Lenahan, McCollom D'Emilio Smith Uebler LLC | 241 | Entry of Appearance            | Entry of Appearance of Hayley M. Lenahan on behalf of Plaintiff Patrick Daugherty<br>• Linked to (2)<br>• Linked from (1)                   | Accepted | 0.1MB |
| 63482720 | 6/26/2019 9:47 AM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC  | 240 | Notice of Deposition           | Notice of Deposition of David Klos of Highland Capital Management, L.P. with certificate of service<br>• Linked to (1)<br>• Linked from (2) | Accepted | 0.1MB |
| 63482646 | 6/26/2019 9:34 AM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC  | 239 | Letter                         | Letter from T. Uebler to The Honorable Vice Chancellor Morgan T. Zurn re June 18, 2019 Hearing<br>• Linked to (2)<br>• Linked from (1)      | Accepted | 0.3MB |
|          |                        |                |  |  |     | Exhibits                       | Exhibits A-C to Letter from T. Uebler to The Honorable Vice Chancellor Morgan T. Zurn re June 18, 2019 Hearing                              | Accepted | 2.2MB |
| 63473937 | 6/24/2019 10:20 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Peter H Kyle, DLA Piper US LLP- Wilmington         | 238 | Answer                         | Defendants' Answer to Plaintiff's Second Amended Verified Complaint with Certificate of Service<br>• Linked to (1)<br>• Linked from (1)     | Accepted | 0.4MB |

|          |                              |                   |   |   |     |                                   |   |          |       |
|----------|------------------------------|-------------------|---|---|-----|-----------------------------------|---|----------|-------|
| 63473590 | 6/24/2019<br>9:03 AM<br>EDT  | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Morgan Zurn,<br>DE Court of<br>Chancery Civil<br>Action             | 237 | Order                             | Granted<br>(Stipulation and<br>[Proposed]<br>Scheduling Order<br>Governing<br>Briefing of<br>Motions for<br>Summary<br>Judgment)<br>• Linked to (1)   | Accepted | 0.4MB |
| 63387009 | 6/21/2019<br>2:36 PM<br>EDT  | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Thomas<br>Uebler,<br>McCollom<br>D'Emilio Smith<br>Uebler LLC       | 236 | Stipulation &<br>(Proposed) Order | Stipulation and<br>[Proposed]<br>Scheduling Order<br>Governing<br>Briefing of<br>Motions for<br>Summary<br>Judgment<br>• Linked to (2)<br>• Linked from (1)   | Accepted | 0.2MB |
| 63380288 | 6/19/2019<br>5:40 PM<br>EDT  | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Peter H Kyle,<br>DLA Piper US<br>LLP-<br>Wilmington                 | 235 | Letter                            | Letter to The<br>Honorable<br>Morgan T. Zurn<br>dated June 19,<br>2019 from Peter<br>H. Kyle pursuant<br>to the Court's<br>June 17<br>telephonic ruling<br>on Plaintiff's<br>Motion to Compel<br>• Linked to (2)<br>• Linked from (1) | Accepted | 0.1MB |
|          |                              |                   |   |   |     | Exhibits                          | Exhibit - June 18,<br>2019 Affidavit of<br>Isaac Leventon   | Accepted | 0.1MB |
|          |                              |                   |   |   |     | Exhibits                          | Exhibit - Letter<br>from Crystal<br>Jamison Woods<br>dated June 19,<br>2019 to Thomas A.<br>Uebler addressing<br>certain discovery-<br>related issues   | Accepted | 0.1MB |
| 63373717 | 6/18/2019<br>11:48 AM<br>EDT | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Peter H Kyle,<br>DLA Piper US<br>LLP-<br>Wilmington                 | 234 | Letter                            | Letter to The<br>Honorable<br>Morgan T. Zurn<br>dated June 18,<br>2019 from Peter<br>H. Kyle enclosing<br>copies of<br>Defendants'<br>Application For<br>Interlocutory<br>Appeal<br>• Linked to (1)                                   | Accepted | 0.1MB |
| 63372564 | 6/18/2019<br>8:09 AM<br>EDT  | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland                                   | Register in<br>Chancery,<br>DE Court of<br>Chancery Civil<br>Action | 233 | Judicial Action<br>Form           | Telephonic Oral<br>Argument on<br>Motion to Compel<br>held with VC Zurn<br>6.17.2019.   | Accepted | 0.1MB |

|          |                       |                |  |  |     |                      |   |          |       |
|----------|-----------------------|----------------|--|--|-----|----------------------|---|----------|-------|
|          |                       |                | Capital Management, L.P.   |  |     |                      | • Linked from (3)   |          |       |
| 63371376 | 6/17/2019 5:01 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Peter H Kyle, DLA Piper US LLP- Wilmington | 232 | Notice of Service    | Notice of Service of (i) Defendants' First Supplemental Responses and Objections to Plaintiffs First Request for Interrogatories, (ii) Defendants' Responses and Objections to Plaintiffs Second Set of Interrogatories, and (iii) this Notice and Certificate of Service<br>• Linked to (2)<br>• Linked from (1)                                       | Accepted | 0.1MB |
| 63371218 | 6/17/2019 4:35 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Peter H Kyle, DLA Piper US LLP- Wilmington | 231 | Interlocutory Appeal | Defendants' Application For Certification Of Interlocutory Appeal with Certificate of Service<br>• Linked to (2)<br>• Linked from (2)   | Accepted | 0.3MB |
|          |                       |                |  |  |     | Proposed Order       | [Proposed] Order Granting Leave To Appeal From Interlocutory Order  | Accepted | 0.1MB |
| 63370894 | 6/17/2019 3:53 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Peter H Kyle, DLA Piper US LLP- Wilmington | 230 | Letter               | Letter to The Honorable Morgan T. Zurn dated June 17, 2019 from Peter H. Kyle, Esq. enclosing copies of (i) Defendant Highland Capital Management, L.P.'s Cross Motion for Partial Summary Judgment on Counts VI-VII of Plaintiffs Second Amended Complaint; (ii) Combined Brief In Opposition to Plaintiffs Motion for Partial Summary Judgment and in | Accepted | 0.1MB |

|          |                             |                   |   |   |     |                           |  |          |       |
|----------|-----------------------------|-------------------|---|---|-----|---------------------------|--|----------|-------|
|          |                             |                   |   |   |     |                           | Support of<br>Plaintiffs Cross<br>Motion; (iii)<br>Transmittal<br>Affidavit of Peter<br>H. Kyle, Esq.; (iv)<br>[Proposed] Order;<br>and (v)<br>Compendium of<br>Cases Cited in the<br>Brief<br>• Linked to (1)   |          |       |
| 63366551 | 6/14/2019<br>5:23 PM<br>EDT | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Peter H Kyle,<br>DLA Piper US<br>LLP-<br>Wilmington | 229 | Motion                    | Defendant<br>Highland Capital<br>Management<br>L.P.'s Cross<br>Motion For Partial<br>Summary<br>Judgment On<br>Counts VI-VII of<br>Plaintiffs Second<br>Amended<br>Complaint<br>• Linked to (1)<br>• Linked from (2)   | Accepted | 0.2MB |
|          |                             |                   |   |   |     | Answering Brief           | Defendant's<br>Combined Brief In<br>Opposition To<br>Plaintiffs Motion<br>For Partial<br>Summary<br>Judgment And In<br>Support Of Its<br>Cross Motion For<br>Partial Summary<br>Judgment On<br>Counts VI-VII of<br>Plaintiffs Second<br>Amended<br>Complaint<br>• Linked to (2)<br>• Linked from (1) | Accepted | 0.6MB |
|          |                             |                   |   |   |     | Proposed Order            | [Proposed] Order<br>Granting<br>Defendant<br>Highland Capital<br>Management<br>L.P.'s Cross<br>Motion For Partial<br>Summary<br>Judgment On<br>Counts VI-VII of<br>Plaintiffs Second<br>Amended<br>Complaint   | Accepted | 0.1MB |
|          |                             |                   |   |   |     | Certificate of<br>Service | Certificate of<br>Service of (i)<br>Defendant<br>Highland Capital<br>Management<br>L.P.'s Cross<br>Motion For Partial  | Accepted | 0.1MB |



Summary  
Judgment On  
Counts VI-VII of  
Plaintiff's Second  
Amended  
Complaint, (ii)  
Combined Brief,  
(iii) Transmittal  
Affidavit of Peter  
H. Kyle, and (iv)  
[Proposed] Order  
Granting  
Defendant  
Highland Capital  
Management  
L.P.'s Cross  
Motion For Partial  
Summary  
Judgment On  
Counts VI-VII of  
Plaintiff's Second  
Amended  
Complaint

Affidavit

Transmittal  
Affidavit of Peter  
H. Kyle In Support  
of Defendant's  
Combined Brief In  
Opposition To  
Plaintiff's Motion  
For Partial  
Summary  
Judgment And In  
Support Of Its  
Cross Motion For  
Partial Summary  
Judgment On  
Counts VI-VII of  
Plaintiff's Second  
Amended  
Complaint

Accepted

0.3MB

Exhibits

Exhibits 1 through  
3 to Transmittal  
Affidavit of Peter  
H. Kyle In Support  
of Defendant's  
Combined Brief In  
Opposition To  
Plaintiff's Motion  
For Partial  
Summary  
Judgment And In  
Support Of Its  
Cross Motion For  
Partial Summary  
Judgment On  
Counts VI-VII of  
Plaintiff's Second  
Amended  
Complaint

Accepted

9.5MB

Exhibits

Exhibits 4 through  
8 to Transmittal  
Affidavit of Peter

Accepted

7.8MB

|          |                        |                |  |   |     |                      |  |          |       |
|----------|------------------------|----------------|--|---|-----|----------------------|--|----------|-------|
|          |                        |                |  |   |     |                      | H. Kyle In Support of Defendant's Combined Brief In Opposition To Plaintiff's Motion For Partial Summary Judgment And In Support Of Its Cross Motion For Partial Summary Judgment On Counts VI-VII of Plaintiff's Second Amended Complaint |          |       |
| 63354026 | 6/12/2019 11:43 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC       | 227 | Notice of Deposition | Patrick Daugherty's Notice of Deposition to Take the Deposition of Defendants' Custodian of Records with Certificate of Service<br>• Linked from (1)   | Accepted | 0.1MB |
|          |                        |                |  |   | 228 | Notice of Deposition | Patrick Daugherty's Notice of Deposition of Isaac Leventon with Certificate of Service<br>• Linked from (1)  | Accepted | 0.1MB |
| 63350479 | 6/11/2019 3:00 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action          | 226 | Letter               | Letter to Counsel from Vice Chancellor Zurn confirming a telephonic hearing on the Motion to Compel Escrow-Related Discovery in this matter for Monday, June 17, 2019 at 3:15 p.m.<br>• Linked from (1)                                    | Accepted | 0.2MB |
| 63347765 | 6/11/2019 8:08 AM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Register in Chancery, DE Court of Chancery Civil Action | 225 | Judicial Action Form | Telephonic Bench Ruling on Defendants' Motion for Reargument and Defendants' Motion for Temporary Stay of Ruling held with VC Zurn 6.7.2019. Both denied. Escrow-related discovery cutoff  | Accepted | 0.1MB |

|          |                      |                |  |  |     |            |  |          |       |
|----------|----------------------|----------------|--|--|-----|------------|--|----------|-------|
|          |                      |                |  |  |     |            | extended to June 21.<br>• Linked from (3)  |          |       |
| 63331919 | 6/6/2019 2:09 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Joseph Christensen, McCollom D'Emilio Smith Uebler LLC | 224 | Opposition | Patrick Daugherty's Opposition to Defendants' Motion for Temporary Stay Pending Interlocutory Appeal with Certificate of Service<br>• Linked to (2)  | Accepted | 0.3MB |
| 63326061 | 6/5/2019 2:08 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action         | 223 | Letter     | Letter to Counsel from Vice Chancellor Zurn confirming a teleconference on the Motion for Reargument in this matter for Friday, June 7, 2019 at 3:15 p.m.  | Accepted | 0.2MB |
| 63323720 | 6/4/2019 6:40 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action         | 222 | Order      | Any opposition to Defendants' Motion for Temporary Stay of May 17, 2019 Discovery Order Pending Interlocutory Appeal shall be filed by 3:00 p.m. on Thursday, June 6, 2019.<br>• Linked from (1)                       | Accepted | 0MB   |
| 63318028 | 6/3/2019 4:41 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Peter H Kyle, DLA Piper US LLP- Wilmington             | 221 | Letter     | Letter to The Honorable Morgan T. Zurn from Peter H. Kyle dated June 3, 2019 enclosing copies of Defendants' Motion For Temporary Stay Of May 17, 2019 Discovery Order Pending Interlocutory Appeal<br>• Linked to (1) | Accepted | 0.1MB |
| 63316177 | 6/3/2019 1:58 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Peter H Kyle, DLA Piper US LLP- Wilmington             | 220 | Motion     | Defendants' Motion For Temporary Stay Of May 17, 2019 Discovery Order Pending Interlocutory Appeal with  | Accepted | 0.3MB |

|          |                        |                |  |  |     |                                       |  |          |       |
|----------|------------------------|----------------|--|--|-----|---------------------------------------|--|----------|-------|
|          |                        |                |  |  |     |                                       | Certificate of Service   |          |       |
|          |                        |                |  |  |     |                                       | <ul style="list-style-type: none"> <li>Linked to (1)</li> <li>Linked from (2)</li> </ul>   |          |       |
|          |                        |                |  |  |     | Proposed Order                        | [Proposed] Order Granting Defendants' Motion For Temporary Stay Of May 17, 2019 Discovery Order Pending Interlocutory Appeal   | Accepted | 0.2MB |
| 63316193 | 6/3/2019 1:31 PM EDT   | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC              | 219 | Opposition                            | Patrick Daugherty's Opposition to Defendants' Motion for Reargument with Certificate of Service <ul style="list-style-type: none"> <li>Linked to (2)</li> </ul>  | Accepted | 0.3MB |
| 63312199 | 5/31/2019 3:47 PM EDT  | File Only      | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Karen Ct Reporter Siedlecki, DE Court of Chancery Civil Action | 218 | Official Transcript (Addl Fees Apply) | 5-17-19 RULINGS OF THE COURT ON PLAINTIFF'S MOTION TO COMPEL AND MOTIONS FOR COMMISSIONS ORAL ARGUMENT AND RULINGS OF THE COURT ON PLAINTIFF'S MOTION FOR STATUS QUO ORDER AND DEFENDANTS' MOTION TO DISMISS COUNT IX OF SECOND AMENDED VERIFIED COMPLAINT <ul style="list-style-type: none"> <li>Linked from (4)</li> </ul> | Accepted | 0.3MB |
| 63310034 | 5/31/2019 10:00 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC              | 217 | Reply                                 | Patrick Daugherty's Reply in Support of Motion to Compel (Filed under seal) <ul style="list-style-type: none"> <li>Linked to (2)</li> </ul>  | Accepted | 0.3MB |
|          |                        |                |  |  |     | Exhibits                              | Exhibits G-O to Reply in Support of Motion to Compel (Filed under seal)  | Accepted | 2.1MB |
|          |                        |                |  |  |     | Certificate of Service                | Certificate of Service to Reply in Support of Motion to Compel   | Accepted | 0.1MB |
| 63307435 | 5/30/2019              | File And       | 2017-0488-MTZ  | Register in  | 216 | Judicial Action                       | Telephonic Status  | Accepted | 0.1MB |

|          |                        |                |  |   |     |                        |   |          |       |
|----------|------------------------|----------------|--|---|-----|------------------------|---|----------|-------|
|          | 1:30 PM EDT            | Serve          | STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P.               | Chancery, DE Court of Chancery Civil Action |     | Form                   | Conference held with Vice Chancellor Zurn   |          |       |
| 63306375 | 5/30/2019 10:14 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Peter H Kyle, DLA Piper US LLP- Wilmington  | 215 | Letter                 | Letter to The Honorable Morgan T. Zurn dated May 30, 2019 Enclosing Courtesy Copies of Defendants' Response in Opposition to Patrick Daugherty's Motion to Compel Escrow-Related Discovery<br>• Linked to (1) | Accepted | 0.1MB |
| 63305268 | 5/29/2019 8:00 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Peter H Kyle, DLA Piper US LLP- Wilmington  | 214 | Opposition             | Defendant's Response in Opposition to Patrick Daugherty's Motion to Compel Escrow-Related Discovery<br>• Linked to (1)<br>• Linked from (1)   | Accepted | 0.2MB |
|          |                        |                |  |   |     | Exhibits               | Exhibits A-C to Defendant's Response in Opposition to Patrick Daugherty's Motion to Compel Escrow-Related Discovery   | Accepted | 4.5MB |
|          |                        |                |  |   |     | Certificate of Service | Certificate of Service of Defendant's Response in Opposition to Patrick Daugherty's Motion to Compel Escrow-Related Discovery   | Accepted | 0.1MB |
| 63298971 | 5/28/2019 11:50 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | John Reed, DLA Piper US LLP- Wilmington     | 213 | Letter                 | Letter to the Honorable Vice Chancellor Morgan T. Zurn regarding courtesy copies of Defendants' Motion for Reargument of the Court's May 17, 2019 Ruling  | Accepted | 0.2MB |

Case 3:21-cv-00538-N Document 26-53 Filed 06/09/21 Page 180 of 254 PageID 17064

|          |                        |                |  |   |     |                        |   |          |       |
|----------|------------------------|----------------|--|---|-----|------------------------|---|----------|-------|
|          |                        |                |  |   |     |                        | Granting Plaintiffs Motion to Compel <ul style="list-style-type: none"><li>• Linked to (1)</li></ul>  |          |       |
| 63298517 | 5/28/2019 10:34 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 212 | Notice of Service      | Notice of Service of Plaintiffs Second Set of Interrogatories Directed to Defendants, with Certificate of Service <ul style="list-style-type: none"><li>• Linked to (1)</li><li>• Linked from (2)</li></ul>                     | Accepted | 0.5MB |
| 63297291 | 5/24/2019 4:37 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | John Reed, DLA Piper US LLP- Wilmington           | 211 | Motion                 | Defendants' Motion for Reargument of the Court's May 17, 2019 Ruling Granting Plaintiffs Motion to Compel with Certificate of Service <ul style="list-style-type: none"><li>• Linked to (2)</li><li>• Linked from (2)</li></ul> | Accepted | 0.3MB |
|          |                        |                |  |   |     | Proposed Order         | [PROPOSED] Order to Defendants' Motion for Reargument of the Court's May 17, 2019 Ruling Granting Plaintiffs Motion to Compel   | Accepted | 0.1MB |
| 63296817 | 5/24/2019 3:44 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 210 | Motion                 | Patrick Daugherty's Motion to Compel Escrow-Related Discovery (Filed under seal) <ul style="list-style-type: none"><li>• Linked to (1)</li><li>• Linked from (2)</li></ul>  | Accepted | 0.3MB |
|          |                        |                |  |   |     | Exhibits               | Exhibits A-F to Patrick Daugherty's Motion to Compel Escrow-Related Discovery (Filed under seal)  | Accepted | 8.1MB |
|          |                        |                |  |   |     | Proposed Order         | [Proposed] Order to Patrick Daugherty's Motion to Compel Escrow-Related Discovery   | Accepted | 0.2MB |
|          |                        |                |  |   |     | Certificate of Service | Certificate of Service to Patrick Daugherty's Motion to Compel Escrow-Related Discovery   | Accepted | 0.1MB |

|          |                              |                   |   |   |     |        |   |          |       |
|----------|------------------------------|-------------------|---|---|-----|--------|---|----------|-------|
| 63296134 | 5/24/2019<br>1:58 PM<br>EDT  | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Morgan Zurn,<br>DE Court of<br>Chancery Civil<br>Action       | 209 | Letter | Letter to Counsel<br>from Vice<br>Chancellor Zurn<br>confirming a<br>teleconference in<br>this matter for<br>Wednesday, May<br>29, 2019 at 1:30<br>p.m. | Accepted | 0.2MB |
| 63294812 | 5/24/2019<br>10:27 AM<br>EDT | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Thomas<br>Uebler,<br>McCollom<br>D'Emilio Smith<br>Uebler LLC | 208 | Letter | Letter to the Hon.<br>Vice Chancellor<br>Zurn Regarding<br>Lack of Document<br>Production<br>• Linked to (2)  | Accepted | 0.3MB |

101-150 of 349 transactions    <<Prev   Page 3 of 7   Next>>

### Case History Search

Search Created:

2/1/2021 14:52:03 GMT-0500 (Eastern Standard Time)

|   |  |  |
|---|--|--|
| <b>Court:</b> DE Court of Chancery<br>Civil Action  | <b>Judge:</b> Zurn, Morgan   | <b>File &amp; Serve</b> Express 7/6/2017 |
| <b>Division:</b> N/A                                | <b>Case Number:</b> 2017-0488-MTZ  | <b>Live Date:</b>                        |
| <b>Case Type:</b> Breach of Contract                | <b>Case Name:</b> STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | <b>Document(s) Filed:</b> 616            |
| <b>Linked Case(s):</b> 292,2019 [View Case History] |  | <b>Date Range:</b> All                   |

151-200 of 349 transactions <<Prev Page 4 of 7 Next>>

| Transaction | ▼Date/Time                   | Option            | Case Number<br>Case Name  | Authorizer<br>Organization                                    | #   | Document Type             | Document Title  | Review<br>Status | Size  |
|-------------|------------------------------|-------------------|---|---|-----|---------------------------|---|------------------|-------|
| 63292418    | 5/23/2019<br>4:27 PM<br>EDT  | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Thomas<br>Uebler,<br>McCollom<br>D'Emilio Smith<br>Uebler LLC | 207 | Motion                    | Motion for Rule to Show<br>Cause Why Defendants<br>Should Not Be Held in<br>Contempt<br>• Linked to (3)<br>• Linked from (1)  | Accepted         | 0.2MB |
|             |                              |                   |   |   |     | Exhibits                  | Exhibit A to Motion for<br>Rule to Show Cause   | Accepted         | 0.2MB |
|             |                              |                   |   |   |     | Proposed Order            | [Proposed] Order to<br>Motion for Rule to Show<br>Cause   | Accepted         | 0.1MB |
|             |                              |                   |   |   |     | Certificate of<br>Service | Certificate of Service to<br>Motion for Rule to Show<br>Cause Why Defendants<br>Should Not Be Held in<br>Contempt   | Accepted         | 0.1MB |
| 63293199    | 5/23/2019<br>4:15 PM<br>EDT  | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | John Reed,<br>DLA Piper US<br>LLP-<br>Wilmington              | 206 | Letter                    | Letter to The Honorable<br>Morgan T. Zurn dated<br>May 23, 2019 from John<br>L. Reed regarding Your<br>Honor's May 22, 2019<br>Order Regarding Escrow-<br>Related Discovery and<br>May 23, 2019 Order<br>Regarding Escrow-<br>Related Discovery<br>• Linked to (2)<br>• Linked from (1) | Accepted         | 0.1MB |
| 63291080    | 5/23/2019<br>12:03 PM<br>EDT | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Morgan Zurn,<br>DE Court of<br>Chancery Civil<br>Action       | 205 | Order                     | Granted ([Proposed]<br>Order Regarding Escrow-<br>Related Discovery)<br>• Linked to (1)<br>• Linked from (5)  | Accepted         | 0.3MB |
| 63288606    | 5/22/2019<br>3:37 PM<br>EDT  | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick   | Joseph<br>Christensen,<br>McCollom                            | 204 | Letter                    | Letter to the Hon. Vice<br>Chancellor Zurn<br>Enclosing Corrected   | Accepted         | 0.3MB |



|          |                        |                |  |   |     |                |   |          |       |
|----------|------------------------|----------------|--|---|-----|----------------|---|----------|-------|
|          |                        |                | Daugherty v. Highland Capital Management, L.P.   | D'Emilio Smith Uebler LLC                         |     |                | [Proposed] Order Regarding Escrow-Related Discovery   |          |       |
|          |                        |                |  |   |     | Proposed Order | [Proposed] Order Regarding Escrow-Related Discovery   | Accepted | 0.2MB |
| 63287889 | 5/22/2019 1:32 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action    | 203 | Order          | Granted ([Proposed] Order Regarding Escrow-Related Discovery)   | Accepted | 0.3MB |
| 63286807 | 5/22/2019 10:46 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 202 | Letter         | Letter to the Hon. Vice Chancellor Zurn enclosing [Proposed] Order regarding Abrams & Bayliss Production and Escrow-Related Discovery | Accepted | 0.3MB |
|          |                        |                |  |   |     | Exhibits       | Exhibit A to Letter to Vice Chancellor Zurn   | Accepted | 0.2MB |
|          |                        |                |  |   |     | Proposed Order | [Proposed] Order Regarding Escrow-Related Discovery   | Accepted | 0.2MB |
| 63269954 | 5/15/2019 5:33 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action    | 200 | Order          | Denied ([Proposed] Order to Plaintiff's Motion for Commission for Marc D. Katz)   | Accepted | 0.3MB |
|          |                        |                |  |   | 201 | Order          | Denied ([Proposed] Order to Plaintiff's Motion for Commission for James C. Bookhout)  | Accepted | 0.3MB |
| 63267859 | 5/15/2019 12:25 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action    | 199 | Letter         | Letter to Counsel from Vice Chancellor Zurn regarding the hearing scheduled for Friday, May 17, 2019                                  | Accepted | 0.2MB |
| 63267018 | 5/15/2019 10:53 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action    | 198 | Order          | Granted with Modifications ([Proposed] Order to Plaintiff's Motion for Commission for Eric L. Girard)                                 | Accepted | 0.3MB |
| 63266757 | 5/15/2019 10:19 AM     | File And Serve | 2017-0488-MTZ STAYED - CONF  | Thomas Uebler,                                    | 197 | Letter         | Letter to Vice Chancellor Zurn enclosing courtesy   | Accepted | 0.3MB |

|          |                       |                |  |   |     |                        |  |          |       |
|----------|-----------------------|----------------|--|---|-----|------------------------|--|----------|-------|
|          | EDT                   |                | ORDER Patrick Daugherty v. Highland Capital Management, L.P.                             | McCollom D'Emilio Smith Uebler LLC                |     |                        | Copies of Patrick Daugherty's Reply Brief in Support of Motion for Status Quo Order  |          |       |
| 63265389 | 5/14/2019 5:37 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 196 | Exhibits               | Exhibits T-V to Patrick Daugherty's Reply Brief in Support of Motion for Status Quo Order  | Accepted | 4.1MB |
|          |                       |                |  |   |     | Exhibits               | Exhibits W-Y to Patrick Daugherty's Reply Brief in Support of Motion for Status Quo Order (Filed under seal)   | Accepted | 5.4MB |
|          |                       |                |  |   |     | Certificate of Service | Certificate of Service to Exhibits to Patrick Daugherty's Reply Brief in Support of Motion for Status Quo Order  | Accepted | 0.1MB |
| 63265073 | 5/14/2019 4:30 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 195 | Reply Brief            | Patrick Daugherty's Reply Brief in Support of Motion for Status Quo Order (Filed under seal)   | Accepted | 0.6MB |
|          |                       |                |  |   |     | Certificate of Service | Certificate of Service to Patrick Daugherty's Reply Brief in Support of Motion for Status Quo Order  | Accepted | 0.1MB |
| 63259323 | 5/13/2019 2:48 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 194 | Public Version         | Public Version of Patrick Daugherty's Brief in Support of Motion for Partial Summary Judgment on Counts Six and Seven of Second Amended Verified Complaint                           | Accepted | 1.0MB |
|          |                       |                |  |   |     | Certificate of Service | Certificate of Service to Public Version of Patrick Daugherty's Brief in Support of Motion for Partial Summary Judgment on Counts Six and Seven of Second Amended Verified Complaint | Accepted | 0.1MB |
| 63244352 | 5/8/2019 12:32 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | John Reed, DLA Piper US LLP- Wilmington           | 193 | Letter                 | Letter to The Honorable Morgan T. Zurn enclosing courtesy copies of Defendants' Answering Brief In Opposition to Patrick Daugherty's Motion for Status Quo Order                     | Accepted | 0.4MB |
| 63240850 | 5/7/2019 4:14 PM      | File And Serve | 2017-0488-MTZ STAYED - CONF  | John Reed, DLA Piper US                           | 192 | Answering Brief        | Defendants' Answering Brief In Opposition To   | Accepted | 0.4MB |

|          |                       |                |  |   |     |                |  |          |       |
|----------|-----------------------|----------------|--|---|-----|----------------|--|----------|-------|
|          | EDT                   |                | ORDER Patrick Daugherty v. Highland Capital Management, L.P.                             | LLP- Wilmington                                   |     |                | Patrick Daugherty's Motion For Status Quo Order with Certificate of Service<br>• Linked to (3)   |          |       |
|          |                       |                |  |   |     | Exhibits       | Exhibits A through C to Defendants' Answering Brief In Opposition To Patrick Daugherty's Motion For Status Quo Order   | Accepted | 8.0MB |
| 63237992 | 5/7/2019 11:54 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 191 | Letter         | Letter to Vice Chancellor Zurn Enclosing Courtesy Copies of Patrick Daugherty's Brief in Support of Motion for Partial Summary Judgment on Counts Six and Seven of Second Amended and Verified Complaint and exhibits thereto<br>• Linked to (5) | Accepted | 0.3MB |
| 63237341 | 5/7/2019 10:36 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action    | 190 | Order          | Granted ([PROPOSED] Order to Motion to Withdraw the Appearance of Harrison S. Carpenter)<br>• Linked to (1)  | Accepted | 0.2MB |
| 63235017 | 5/6/2019 5:47 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 188 | Exhibits       | Exhibits S-T to Patrick Daugherty's Brief in Support of Motion for Partial Summary Judgment on Counts Six and Seven of Second Amended and Verified Complaint<br>• Linked to (2)<br>• Linked from (1)   | Accepted | 5.8MB |
|          |                       |                |  |   | 189 | Exhibits       | Exhibits U-Y to Patrick Daugherty's Brief in Support of Motion for Partial Summary Judgment on Counts Six and Seven of Second Amended and Verified Complaint (Filed under seal)<br>• Linked to (3)   | Accepted | 3.7MB |
| 63235001 | 5/6/2019 5:36 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Harrison Carpenter, DLA Piper US LLP- Wilmington  | 187 | Motion         | Motion to Withdraw the Appearance of Harrison S. Carpenter<br>• Linked to (1)  | Accepted | 0.1MB |
|          |                       |                |  |   |     | Proposed Order | [PROPOSED] Order to Motion to Withdraw the Appearance of Harrison S. Carpenter<br>• Linked from (1)  | Accepted | 0.1MB |
| 63234764 | 5/6/2019 5:31 PM      | File And Serve | 2017-0488-MTZ STAYED - CONF  | Thomas Uebler,                                    | 186 | Brief          | Patrick Daugherty's Brief in Support of Motion for   | Accepted | 0.9MB |

|          |                       |                |  |  |     |                                |  |          |       |
|----------|-----------------------|----------------|--|--|-----|--------------------------------|--|----------|-------|
|          | EDT                   |                | ORDER Patrick Daugherty v. Highland Capital Management, L.P.                             | McCollom D'Emilio Smith Uebler LLC             |     |                                | Partial Summary Judgment on Counts Six and Seven of Second Amended Verified Complaint (Filed under seal)<br>• Linked to (3)<br>• Linked from (6)   |          |       |
|          |                       |                |  |  |     | Exhibits                       | Exhibits A-D to Patrick Daugherty's Brief in Support of Motion for Partial Summary Judgment on Counts Six and Seven of Second Amended Verified Complaint (Filed under seal)<br>• Linked from (1) | Accepted | 7.1MB |
|          |                       |                |  |  |     | Exhibits                       | Exhibits E-Q to Patrick Daugherty's Brief in Support of Motion for Partial Summary Judgment on Counts Six and Seven of Second Amended Verified Complaint (Filed under seal)<br>• Linked from (1) | Accepted | 8.0MB |
|          |                       |                |  |  |     | Exhibits                       | Exhibit R to Patrick Daugherty's Brief in Support of Motion for Partial Summary Judgment on Counts Six and Seven of Second Amended Verified Complaint<br>• Linked from (1)                       | Accepted | 3.9MB |
|          |                       |                |  |  |     | Certificate of Service         | Certificate of Service to Patrick Daugherty's Brief in Support of Motion for Partial Summary Judgment on Counts Six and Seven of Second Amended Verified Complaint and exhibits thereto          | Accepted | 0.1MB |
| 63226459 | 5/3/2019 3:15 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action | 185 | Order                          | Granted (Amended Stipulation and [PROPOSED] Order Governing Briefing on Plaintiffs Motion for Status Quo Order and Commission for Eric L. Girard)<br>• Linked to (1)<br>• Linked from (3)        | Accepted | 0.3MB |
| 63224897 | 5/3/2019 11:36 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital                  | Peter H Kyle, DLA Piper US LLP- Wilmington     | 184 | Stipulation & (Proposed) Order | Amended Stipulation and [PROPOSED] Order Governing Briefing on Plaintiffs Motion for Status Quo Order and Commission for Eric L. Girard  | Accepted | 0.2MB |

|          |                        |                |  |   |     |                                       |   |          |       |
|----------|------------------------|----------------|--|---|-----|---------------------------------------|---|----------|-------|
|          |                        |                | Management, L.P.   |   |     |                                       | <ul style="list-style-type: none"> <li>• Linked to (2)</li> <li>• Linked from (1)</li> </ul>  |          |       |
| 63216702 | 5/1/2019 3:09 PM EDT   | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action      | 183 | Order                                 | <p>Granted (Stipulation and [Proposed] Order Governing Briefing on Plaintiff's Motions for Status Quo Order and Commission for Eric L. Girard)</p> <ul style="list-style-type: none"> <li>• Linked to (1)</li> <li>• Linked from (3)</li> </ul>                   | Accepted | 0.3MB |
| 63216311 | 5/1/2019 2:18 PM EDT   | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Harrison Carpenter, DLA Piper US LLP- Wilmington    | 182 | Stipulation & (Proposed) Order        | <p>Stipulation and [Proposed] Order Governing Briefing on Plaintiff's Motions for Status Quo Order and Commission for Eric L. Girard</p> <ul style="list-style-type: none"> <li>• Linked to (2)</li> <li>• Linked from (3)</li> </ul>                             | Accepted | 0.2MB |
| 63208766 | 4/29/2019 3:34 PM EDT  | File Only      | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Debra A Donnelly, DE Court of Chancery Civil Action | 181 | Official Transcript (Addl Fees Apply) | <p>April 12, 2019, ORAL ARGUMENT ON PLAINTIFF'S MOTION TO COMPEL DOCUMENTS FROM ABRAMS &amp; BAYLISS LLP</p>  | Accepted | 0.1MB |
| 63199029 | 4/25/2019 11:05 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC   | 179 | Notice of Service                     | <p>Plaintiff's Notice of Service of subpoena duces tecum directed to Hunton Andrews Kurth LLP pursuant to the Uniform Interstate Depositions and Discovery Act, with Certificate of Service</p> <ul style="list-style-type: none"> <li>• Linked to (2)</li> </ul> | Accepted | 0.2MB |
|          |                        |                |  |   | 180 | Subpoena                              | <p>Subpoena duces tecum directed to PricewaterhouseCoopers LLP, with affidavit of service</p> <ul style="list-style-type: none"> <li>• Linked to (2)</li> </ul>   | Accepted | 0.2MB |
|          |                        |                |  |   |     | Exhibits                              | <p>Exhibit A – subpoena duces tecum directed to Hunton Andrews Kurth LLP, with affidavit of service</p>   | Accepted | 2.9MB |
|          |                        |                |  |   |     | Exhibits                              | <p>Schedule A to Subpoena Duces Tecum directed to PricewaterhouseCoopers LLP</p>  | Accepted | 1.9MB |
| 63197656 | 4/24/2019 4:27 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | John Reed, DLA Piper US LLP- Wilmington             | 178 | Letter                                | <p>Letter to The Honorable Morgan T. Zurn from John L. Reed Enclosing Courtesy Copies of: (i) Defendants' Reply Brief in Further Support of Motion to Dismiss Count IX of Second Amended Verified Complaint; (ii)</p>   | Accepted | 0.1MB |

|          |                        |                |  |   |     |                        |  |          |       |
|----------|------------------------|----------------|--|---|-----|------------------------|--|----------|-------|
|          |                        |                |  |   |     |                        | Transmittal Affidavit of Harrison S. Carpenter, Esq. and (iii) Compendium of Cases Cited in the Reply Brief  |          |       |
|          |                        |                |  |   |     |                        | <ul style="list-style-type: none"> <li>Linked to (4)</li> </ul>  |          |       |
| 63195527 | 4/24/2019 11:35 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 177 | Letter                 | Letter from Thomas A. Uebler to VC Zurn regarding courtesy copies of Patrick Daugherty's Reply in Support of his Motions for Commissions for Marc D. Katz and James C. Bookhout  | Accepted | 0.4MB |
|          |                        |                |  |   |     |                        | <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>  |          |       |
| 63193813 | 4/23/2019 4:57 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | John Reed, DLA Piper US LLP- Wilmington           | 176 | Letter                 | Letter to The Honorable Morgan T. Zurn dated April 23, 2019 from John L. Reed pursuant to the Court's instructions   | Accepted | 0.1MB |
|          |                        |                |  |   |     |                        | <ul style="list-style-type: none"> <li>Linked to (2)</li> </ul>  |          |       |
| 63193471 | 4/23/2019 4:08 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | John Reed, DLA Piper US LLP- Wilmington           | 175 | Reply Brief            | Defendants' Reply Brief In Further Support Of Motion To Dismiss Count IX Of Second Amended Verified Complaint  | Accepted | 0.4MB |
|          |                        |                |  |   |     |                        | <ul style="list-style-type: none"> <li>Linked to (5)</li> <li>Linked from (1)</li> </ul>   |          |       |
|          |                        |                |  |   |     | Affidavit              | Transmittal Affidavit of Harrison S. Carpenter, Esq. in Support of Defendants' Reply Brief In Further Support Of Motion To Dismiss Count IX Of Second Amended Verified Complaint   | Accepted | 0.2MB |
|          |                        |                |  |   |     |                        | <ul style="list-style-type: none"> <li>Linked from (1)</li> </ul>  |          |       |
|          |                        |                |  |   |     | Certificate of Service | Certificate of Service of (i) Defendants' Reply Brief In Further Support Of Motion To Dismiss Count IX Of Second Amended Verified Complaint, and (ii) Transmittal Affidavit of Harrison S. Carpenter, Esq. in Support of Defendants' Reply Brief In Further Support Of Motion To Dismiss Count IX Of Second Amended Verified Complaint | Accepted | 0.1MB |
|          |                        |                |  |   |     |                        | <ul style="list-style-type: none"> <li>Linked from (1)</li> </ul>  |          |       |
|          |                        |                |  |   |     | Exhibits               | Exhibit A to Transmittal Affidavit of Harrison S. Carpenter, Esq. in Support of Defendants'  | Accepted | 0.1MB |

|          |                        |                |  |  |     |                       |  |          |       |
|----------|------------------------|----------------|--|--|-----|-----------------------|--|----------|-------|
|          |                        |                |  |  |     |                       | Reply Brief in Further Support Of Motion To Dismiss Count IX Of Second Amended Verified Complaint                                      |          |       |
|          |                        |                |  |  |     |                       | <ul style="list-style-type: none"> <li>• Linked from (1)</li> </ul>  |          |       |
| 63189601 | 4/22/2019 4:51 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC      | 174 | Notice                | Plaintiffs Notice of Issuance of Subpoena Duces Tecum Directed to PricewaterhouseCoopers LLP, with Certificate of Service              | Accepted | 0.3MB |
|          |                        |                |  |  |     | Subpoena              | Exhibit – Plaintiffs Subpoena Duces Tecum Directed to PricewaterhouseCoopers LLP   | Accepted | 2.0MB |
|          |                        |                |  |  |     |                       | <ul style="list-style-type: none"> <li>• Linked to (2)</li> <li>• Linked from (1)</li> </ul>   |          |       |
| 63188166 | 4/22/2019 1:43 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC      | 173 | Motion for Commission | Plaintiffs Motion for Commission for Eric L. Girard, with Certificate of Service   | Accepted | 0.3MB |
|          |                        |                |  |  |     | Commission            | [Proposed] Plaintiffs Commission for Eric L. Girard  | Accepted | 0.2MB |
|          |                        |                |  |  |     | Proposed Order        | [Proposed] Order to Plaintiffs Motion for Commission for Eric L. Girard  | Accepted | 0.2MB |
|          |                        |                |  |  |     |                       | <ul style="list-style-type: none"> <li>• Linked from (1)</li> </ul>  |          |       |
| 63186054 | 4/19/2019 4:56 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Joseph Christensen, McCollom D'Emilio Smith Uebler LLC | 172 | Reply                 | Patrick Daugherty's Reply in Support of His Motions for Commissions for Marc D. Katz and James C. Bookhout with Certificate of Service | Accepted | 0.4MB |
|          |                        |                |  |  |     | Exhibits              | Exhibit A to Patrick Daugherty's Reply in Support of His Motions for Commissions for Marc D. Katz and James C. Bookhout                | Accepted | 0.4MB |
|          |                        |                |  |  |     | Exhibits              | Exhibits B-M to Patrick Daugherty's Reply in Support of His Motions for Commissions for Marc D. Katz and James C. Bookhout             | Accepted | 8.9MB |
|          |                        |                |  |  |     |                       | <ul style="list-style-type: none"> <li>• Linked to (5)</li> <li>• Linked from (1)</li> </ul>   |          |       |
| 63181997 | 4/18/2019 12:22 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland                          | Morgan Zurn, DE Court of Chancery Civil Action         | 171 | Order                 | Granted ([Proposed] Order to Plaintiffs Motion for Leave to Exceed the Word Count Limit for Plaintiffs Reply                           | Accepted | 0.3MB |

|          |                        |                |  |  |     |                        |  |          |       |
|----------|------------------------|----------------|--|--|-----|------------------------|--|----------|-------|
|          |                        |                | Capital Management, L.P.   |  |     |                        | In Support of Motions for Commission)<br><ul style="list-style-type: none"> <li>• Linked to (1)</li> <li>• Linked from (1)</li> </ul>  |          |       |
| 63180980 | 4/18/2019 9:50 AM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action         | 170 | Letter                 | Letter to Counsel from The Honorable Morgan T. Zurn<br><ul style="list-style-type: none"> <li>• Linked from (1)</li> </ul>   | Accepted | 0.1MB |
| 63180167 | 4/17/2019 6:07 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Joseph Christensen, McCollom D'Emilio Smith Uebler LLC | 169 | Notice                 | Notice of Challenge to Confidential Treatment with Certificate of Service<br><ul style="list-style-type: none"> <li>• Linked to (2)</li> </ul>   | Accepted | 0.1MB |
| 63179693 | 4/17/2019 4:23 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC      | 168 | Motion                 | Plaintiffs Motion for Leave to Exceed the Word Count Limit for Plaintiffs Reply in Support of Motions for Commission<br><ul style="list-style-type: none"> <li>• Linked to (3)</li> </ul>  | Accepted | 0.2MB |
|          |                        |                |  |  |     | Proposed Order         | [Proposed] Order to Plaintiffs Motion for Leave to Exceed the Word Count Limit for Plaintiffs Reply in Support of Motions for Commission<br><ul style="list-style-type: none"> <li>• Linked from (1)</li> </ul>  | Accepted | 0.1MB |
|          |                        |                |  |  |     | Certificate of Service | Certificate of Service to Plaintiffs Motion for Leave to Exceed the Word Count Limit for Plaintiffs Reply in Support of Motions for Commission and [Proposed] Order  | Accepted | 0.1MB |
| 63173222 | 4/16/2019 11:56 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Joseph Christensen, McCollom D'Emilio Smith Uebler LLC | 167 | Public Version         | Public Version of Parties Joint Status Update Regarding Patrick Daugherty's Motion to Compel Documents from Abrams & Bayliss LLP with Certificate of Service<br><ul style="list-style-type: none"> <li>• Linked to (1)</li> <li>• Linked from (1)</li> </ul> | Accepted | 0.3MB |
| 63172742 | 4/16/2019 11:20 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Joseph Christensen, McCollom D'Emilio Smith Uebler LLC | 166 | Public Version         | Public Version of Patrick Daugherty's Brief in Support of Motion for Status Quo Order with Certificate of Service<br><ul style="list-style-type: none"> <li>• Linked to (1)</li> <li>• Linked from (1)</li> </ul>  | Accepted | 0.6MB |
| 63167940 | 4/15/2019              | File And       | 2017-0488-MTZ  | Morgan Zurn,   | 165 | Order - Pro Hac        | Granted (Pierson, Adam;  | Accepted | 0.2MB |



|          |                        |                |  |   |     |                                |  |          |       |
|----------|------------------------|----------------|--|---|-----|--------------------------------|--|----------|-------|
|          | 10:37 AM EDT           | Serve          | STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P.               | DE Court of Chancery Civil Action                       |     | Vice                           | [Proposed] Order Granting Motion For Admission Pro Hac Vice of Adam Pierson to represent defendants Highland Capital Management, L.P., Highland Employee Retention Assets LLC, Highland ERA Management LLC, and James Dondero)<br>• Linked to (1)                                      |          |       |
| 63165937 | 4/12/2019 4:18 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Harrison Carpenter, DLA Piper US LLP- Wilmington        | 164 | Motion for Pro Hac Vice        | Pierson, Adam: Motion for Admission Pro Hac Vice of Adam Pierson to represent defendants Highland Capital Management, L.P., Highland Employee Retention Assets LLC, Highland ERA Management LLC, and James Dondero with Certificate of Service<br>• Linked to (3)<br>• Linked from (1) | Accepted | 0.1MB |
|          |                        |                |  |   |     | Certification for Pro Hac Vice | Pierson, Adam: Certification of Adam Pierson to represent defendants Highland Capital Management, L.P., Highland Employee Retention Assets LLC, Highland ERA Management LLC, and James Dondero   | Accepted | 0.1MB |
|          |                        |                |  |   |     | Proposed Order - Pro Hac Vice  | Pierson, Adam: [Proposed] Order Granting Motion For Admission Pro Hac Vice of Adam Pierson to represent defendants Highland Capital Management, L.P., Highland Employee Retention Assets LLC, Highland ERA Management LLC, and James Dondero<br>• Linked from (1)                      | Accepted | 0.1MB |
| 63164271 | 4/12/2019 1:10 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Register in Chancery, DE Court of Chancery Civil Action | 163 | Judicial Action Form           | Oral Argument on Motion to Compel held before VC Zurn 4.12.2019. Additional information requested by the Court to be submitted within one week. Oral Ruling on the record. See transcript.<br>• Linked from (1)  | Accepted | 0.1MB |
| 63159130 | 4/11/2019 12:10 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick  | John Reed, DLA Piper US                                 | 162 | Letter                         | Letter to The Honorable Morgan T. Zurn dated April 11, 2019 enclosing  | Accepted | 0.1MB |

|          |                        |                |  |   |     |             |  |          |       |
|----------|------------------------|----------------|--|---|-----|-------------|--|----------|-------|
|          |                        |                | Daugherty v. Highland Capital Management, L.P.   | LLP- Wilmington                                   |     |             | document for oral argument regarding Patrick Daugherty's Motion to Compel Documents from Abrams & Bayliss LLP  |          |       |
|          |                        |                |  |   |     | Declaration | Declaration of Isaac Leventon  | Accepted | 0.2MB |
| 63157011 | 4/10/2019 4:56 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | John Reed, DLA Piper US LLP- Wilmington           | 161 | Opposition  | Defendants' Omnibus Opposition To Plaintiffs Motions For Commissions with Certificate of Service   | Accepted | 0.1MB |
|          |                        |                |  |   |     | Exhibits    | Exhibit A to Defendants' Omnibus Opposition To Plaintiffs Motions For Commissions  | Accepted | 0.1MB |
|          |                        |                |  |   |     | Exhibits    | Exhibit B to Defendants' Omnibus Opposition To Plaintiffs Motions For Commissions  | Accepted | 0.3MB |
|          |                        |                |  |   |     | Exhibits    | Exhibit C to Defendants' Omnibus Opposition To Plaintiffs Motions For Commissions  | Accepted | 1.0MB |
| 63153801 | 4/10/2019 11:06 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 160 | Letter      | Letter from Thomas Uebler to Vice Chancellor Zurn enclosing documents for oral argument regarding Patrick Daugherty's Motion to Compel Documents from Abrams & Bayliss LLP                     | Accepted | 0.1MB |
|          |                        |                |  |   |     | Exhibits    | [CONFIDENTIAL FILING] Documents for oral argument regarding Patrick Daugherty's Motion to Compel Documents from Abrams & Bayliss LLP   | Accepted | 1.2MB |
| 63153657 | 4/10/2019 10:36 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 159 | Letter      | Letter from Thomas Uebler to Vice Chancellor Zurn enclosing courtesy copies of Parties' Joint Status Update Regarding Patrick Daugherty's Motion to Compel Documents from Abrams & Bayliss LLP | Accepted | 0.4MB |
|          |                        |                |  |   |     |             | <ul style="list-style-type: none"> <li>Linked to (1)</li> <li>Linked from (1)</li> </ul>   |          |       |
| 63153274 | 4/10/2019 9:41 AM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v.                                   | Morgan Zurn, DE Court of Chancery Civil Action    | 158 | Order       | Granted ([Proposed] Order Granting Motion Of Cole Schotz P.C. to   | Accepted | 0.2MB |

|          |                      |                |  |  |     |                        |   |          |       |
|----------|----------------------|----------------|--|--|-----|------------------------|---|----------|-------|
|          |                      |                | Highland Capital Management, L.P.  |  |     |                        | Withdraw as Counsel for Defendants)<br><ul style="list-style-type: none"> <li>• Linked to (1)</li> <li>• Linked from (1)</li> </ul>   |          |       |
| 63151254 | 4/9/2019 5:22 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Bradley P Lehman, Cole Schotz PC                       | 157 | Motion                 | Motion of Cole Schotz P.C. to Withdraw as Counsel for Defendants with Certificate of Service<br><ul style="list-style-type: none"> <li>• Linked to (3)</li> </ul>   | Accepted | 0.1MB |
|          |                      |                |  |  |     | Proposed Order         | [Proposed] Order Granting Motion Of Cole Schotz P.C. to Withdraw as Counsel for Defendants<br><ul style="list-style-type: none"> <li>• Linked from (1)</li> </ul>   | Accepted | 0.1MB |
| 63151182 | 4/9/2019 5:10 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC      | 156 | Status Report          | [CONFIDENTIAL FILING] Parties' Joint Status Update Regarding Patrick Daugherty's Motion to Compel Documents from Abrams & Bayliss LLP<br><ul style="list-style-type: none"> <li>• Linked to (1)</li> <li>• Linked from (4)</li> </ul> | Accepted | 1.0MB |
|          |                      |                |  |  |     | Certificate of Service | Certificate of Service to Parties' Joint Status Update Regarding Patrick Daugherty's Motion to Compel Documents from Abrams & Bayliss LLP   | Accepted | 0.2MB |
| 63146095 | 4/8/2019 6:51 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Joseph Christensen, McCollom D'Emilio Smith Uebler LLC | 155 | Entry of Appearance    | Entry of Appearance of Joseph L. Christensen on behalf of Plaintiff Patrick Daugherty<br><ul style="list-style-type: none"> <li>• Linked to (2)</li> </ul>  | Accepted | 0.1MB |

151-200 of 349 transactions <<Prev Page 4 of 7 Next>>

Case History Search

Search Created:  
2/1/2021 14:53:01 GMT-0500 (Eastern Standard Time)

**Court:** DE Court of Chancery  
Civil Action

**Judge:** Zurn, Morgan

**File & ServeXpress** 7/6/2017  
**Live Date:**  
**Document(s)** 616  
**Filed:**  
**Date Range:** All

**Division:** N/A

**Case Number:** 2017-0488-MTZ

**Case Type:** Breach of Contract  
**Case Name:** STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P.

**Linked** 292,2019 [View Case History]

**Case(s):**

201-250 of 349 transactions <<Prev Page 5 of 7 Next>>

| Transaction | ▼Date/Time                  | Option            | Case Number<br>Case Name  | Authorizer<br>Organization                                    | #   | Document Type          | Document Title   | Review<br>Status | Size  |
|-------------|-----------------------------|-------------------|---|---|-----|------------------------|--|------------------|-------|
| 63145709    | 4/8/2019<br>5:59 PM<br>EDT  | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | John Reed,<br>DLA Piper US<br>LLP-<br>Wilmington              | 154 | Entry of<br>Appearance | Entry of<br>Appearances of<br>John L. Reed,<br>Peter H. Kyle and<br>Harrison S.<br>Carpenter of DLA<br>Piper LLP (US), as<br>attorneys for<br>Defendants<br>Highland Capital<br>Management,<br>L.P., Highland<br>Employee<br>Retention Assets<br>LLC, Highland ERA<br>Management LLC<br>and former<br>Defendant James<br>Dondero with<br>Certificate of<br>Service<br>• Linked to (3)<br>• Linked from (3) | Accepted         | 0.1MB |
| 63141762    | 4/8/2019<br>11:34 AM<br>EDT | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Thomas<br>Uebler,<br>McCollom<br>D'Emilio Smith<br>Uebler LLC | 153 | Letter                 | Courtesy Letter<br>enclosing copies<br>of Patrick<br>Daugherty's<br>Motion for Status<br>Quo Order, Brief<br>in Support of<br>Motion for Status<br>Quo Order and<br>exhibits thereto,<br>and [Proposed]<br>Status Quo Order<br>• Linked to (6)   | Accepted         | 0.3MB |
| 63140949    | 4/8/2019<br>10:14 AM<br>EDT | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick   | Thomas<br>Uebler,<br>McCollom                                 | 152 | Motion                 | Plaintiff's Motion<br>for Status Quo<br>Order  | Accepted         | 0.1MB |

|          |                      |                |  |   |     |                        |   |          |       |
|----------|----------------------|----------------|--|---|-----|------------------------|---|----------|-------|
|          |                      |                | Daugherty v. Highland Capital Management, L.P.   | D'Emilio Smith Uebler LLC                         |     |                        | <ul style="list-style-type: none"> <li>• Linked to (1)</li> <li>• Linked from (6)</li> </ul>  |          |       |
|          |                      |                |  |   |     | Brief                  | Plaintiffs Brief in Support of Motion for Status Quo Order <ul style="list-style-type: none"> <li>• Linked to (1)</li> <li>• Linked from (3)</li> </ul>   | Accepted | 0.6MB |
|          |                      |                |  |   |     | Proposed Order         | [Proposed] Status Quo Order <ul style="list-style-type: none"> <li>• Linked from (2)</li> </ul>   | Accepted | 0.2MB |
|          |                      |                |  |   |     | Certificate of Service | Certificate of Service in support of the Motion for Status Quo, Plaintiffs Brief and Exhibits. <ul style="list-style-type: none"> <li>• Linked from (1)</li> </ul>  | Accepted | 0.1MB |
|          |                      |                |  |   |     | Exhibits               | Exhibits A-I, M, N, S to Plaintiffs Brief in Support of Motion for Status Quo Order <ul style="list-style-type: none"> <li>• Linked from (1)</li> </ul>   | Accepted | 8.8MB |
|          |                      |                |  |   |     | Exhibits               | Exhibits J-L, O-R to Plaintiffs Brief in Support of Motion for Status Quo Order (FILED UNDER SEAL) <ul style="list-style-type: none"> <li>• Linked to (1)</li> <li>• Linked from (1)</li> </ul>   | Accepted | 3.5MB |
| 63134132 | 4/4/2019 4:31 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 151 | Public Version         | Public Version of Patrick Daugherty's Answering Brief in Opposition to Defendants' Motion to Dismiss Count IX of Second Amended Verified Complaint, with Certificate of Service <ul style="list-style-type: none"> <li>• Linked to (1)</li> </ul> | Accepted | 0.8MB |
| 63128021 | 4/3/2019 8:53 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action    | 150 | Order                  | Granted (Stipulation and [Proposed] Scheduling Order Governing Patrick Daugherty's Motions for Commission for Marc D. Katz and James C. Bookhout) <ul style="list-style-type: none"> <li>• Linked to (1)</li> <li>• Linked from (3)</li> </ul>    | Accepted | 0.4MB |
| 63125679 | 4/2/2019             | File And       | 2017-0488-MTZ  | Thomas  | 149 | Stipulation &          | Stipulation and   | Accepted | 0.2MB |

|          |                       |                |  |  |     |                  |  |          |       |
|----------|-----------------------|----------------|--|--|-----|------------------|--|----------|-------|
|          | 3:10 PM EDT           | Serve          | STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P.               | Uebler, McCollom D'Emilio Smith Uebler LLC     |     | (Proposed) Order | [Proposed] Scheduling Order Governing Patrick Daugherty's Motions for Commission for Marc D. Katz and James C. Bookhout <ul style="list-style-type: none"> <li>Linked to (1)</li> <li>Linked from (1)</li> </ul>   |          |       |
| 63117466 | 3/29/2019 4:34 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action | 148 | Order            | Granted ([Proposed] Order Granting Motion to Withdraw Nicholas J. Brannick, of Cole Schotz P.C. as Counsel to the Defendants, Highland Capital Management, L.P., Highland Employee Retention Assets LLC, and Highland ERA Management LLC) <ul style="list-style-type: none"> <li>Linked to (1)</li> <li>Linked from (1)</li> </ul> | Accepted | 0.3MB |
| 63117139 | 3/29/2019 3:57 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Nicholas J Brannick, Cole Schotz PC            | 147 | Motion           | Motion to Withdraw Nicholas J. Brannick, of Cole Schotz P.C. as Counsel to the Defendants, Highland Capital Management, L.P., Highland Employee Retention Assets LLC, and Highland ERA Management LLC with Certificate of Service <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>                                  | Accepted | 0.1MB |
|          |                       |                |  |  |     | Proposed Order   | [Proposed] Order Granting Motion to Withdraw Nicholas J. Brannick, of Cole Schotz P.C. as Counsel to the Defendants, Highland Capital Management, L.P., Highland Employee Retention Assets LLC, and Highland   | Accepted | 0.1MB |

|          |                        |                |  |   |     |                                |  |          |       |
|----------|------------------------|----------------|--|---|-----|--------------------------------|--|----------|-------|
|          |                        |                |  |   |     |                                | ERA Management LLC<br>• Linked from (1)  |          |       |
| 63115298 | 3/29/2019 12:47 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | G David Dean, Cole Schotz PC                      | 146 | Entry of Appearance            | Entry of Appearance of G. David Dean and Bradley P. Lehman, of Cole Schotz P.C. as Counsel for Defendants, Highland Capital Management, L.P., Highland Employee Retention Assets LLC, Highland ERA Management LLC, and James Dondero with Certificate of Service<br>• Linked to (1)<br>• Linked from (1) | Accepted | 0.1MB |
| 63115022 | 3/29/2019 11:48 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action    | 145 | Order                          | Granted (Stipulation and [Proposed] Amended Scheduling Order Governing Patrick Daugherty's Motion to Compel Documents from Abrams & Bayliss LLP)<br>• Linked to (1)<br>• Linked from (1)   | Accepted | 0.4MB |
| 63114592 | 3/29/2019 10:48 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 144 | Stipulation & (Proposed) Order | Stipulation and [Proposed] Amended Scheduling Order Governing Patrick Daugherty's Motion to Compel Documents from Abrams & Bayliss LLP<br>• Linked to (1)<br>• Linked from (1)   | Accepted | 0.2MB |
|          |                        |                |  |   |     | Certificate of Service         | Certificate of Service to Stipulation and [Proposed] Amended Scheduling Order Governing Patrick Daugherty's Motion to Compel Documents from Abrams & Bayliss LLP   | Accepted | 0.1MB |
| 63111478 | 3/28/2019              | File And       | 2017-0488-MTZ  | Thomas  | 143 | Letter                         | Courtesy Letter  | Accepted | 0.1MB |

|          |                        |                |  |   |     |                        |  |          |       |
|----------|------------------------|----------------|--|---|-----|------------------------|--|----------|-------|
|          | 2:16 PM EDT            | Serve          | STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P.               | Uebler, McCollom D'Emilio Smith Uebler LLC        |     |                        | enclosing copies of Patrick Daugherty's Answering Brief in Opposition to Defendants' Motion to Dismiss Count IX of Second Amended Verified Complaint       |          |       |
| 63105678 | 3/28/2019 11:26 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 142 | Answering Brief        | Patrick Daugherty's Answering Brief in Opposition to Defendants' Motion to Dismiss Count IX of Second Amended Verified Complaint (Filed Under Seal)        | Accepted | 0.8MB |
|          |                        |                |  |   |     | Exhibits               | Exhibits A and B to Plaintiff's Answering Brief in Opposition to Defendants' Motion to Dismiss Count IX of Second Amended Verified Complaint               | Accepted | 0.9MB |
|          |                        |                |  |   |     | Certificate of Service | Certificate of Service to Patrick Daugherty's Answering Brief in Opposition to Defendants' Motion to Dismiss Count IX of Second Amended Verified Complaint | Accepted | 0.1MB |
| 63095802 | 3/22/2019 4:39 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 140 | Motion for Commission  | Plaintiff's Motion for Commission for Marc D. Katz, with Certificate of Service  | Accepted | 0.3MB |
|          |                        |                |  |   | 141 | Motion for Commission  | Plaintiff's Motion for Commission for James C. Bookhout, with Certificate of Service   | Accepted | 0.3MB |



|          |                        |                |  |   |     |                |  |          |       |
|----------|------------------------|----------------|--|---|-----|----------------|--|----------|-------|
|          |                        |                |  |   |     | Commission     | [Proposed] Plaintiffs Commission for Marc D. Katz  | Accepted | 0.2MB |
|          |                        |                |  |   |     | Proposed Order | [Proposed] Order to Plaintiffs Motion for Commission for Marc D. Katz<br>• Linked from (1)   | Accepted | 0.2MB |
|          |                        |                |  |   |     | Commission     | [Proposed] Plaintiffs Commission for James C. Bookhout   | Accepted | 0.2MB |
|          |                        |                |  |   |     | Proposed Order | [Proposed] Order to Plaintiffs Motion for Commission for James C. Bookhout<br>• Linked from (1)  | Accepted | 0.2MB |
| 63094180 | 3/22/2019 12:46 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 139 | Notice         | Plaintiffs Notice of Issuance of Subpoena Duces Tecum Directed to Hunton Andrews Kurth LLP Pursuant to Interstate Depositions and Discovery Act, with Certificate of Service<br>• Linked to (1)<br>• Linked from (1) | Accepted | 0.2MB |
|          |                        |                |  |   |     | Subpoena       | Exhibit - Plaintiffs Subpoena Duces Tecum Directed to Hunton Andrews Kurth LLP Pursuant to Interstate Depositions and Discovery Act<br>• Linked from (1)   | Accepted | 2.7MB |
| 63053887 | 3/11/2019 4:35 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Nicholas J Brannick, Cole Schotz PC               | 138 | Brief          | Brief in Support of Motion to Dismiss Count IX of Second Amended Verified Complaint with Certificate of Service<br>• Linked to (1)<br>• Linked from (1)  | Accepted | 0.1MB |
| 63027288 | 3/5/2019 3:33 PM EST   | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland                          | Nicholas J Brannick, Cole Schotz PC               | 137 | Letter         | Letter to Vice Chancellor Morgan T. Zurn from Nicholas J. Brannick   | Accepted | 0.3MB |

|          |                       |                |  |  |     |           |  |          |       |
|----------|-----------------------|----------------|--|--|-----|-----------|--|----------|-------|
|          |                       |                | Capital Management, L.P.   |  |     |           | Enclosing Courtesy Copies of (1) Motion to Dismiss Count IX of Second Amended Verified Complaint, (2) Brief in Support of Motion to Dismiss Count IX of Second Amended Verified Complaint; and (3) Transmittal Affidavit of Nicholas J. Brannick |          |       |
|          |                       |                |  |  |     |           | • Linked to (3)  |          |       |
| 63025758 | 3/5/2019 12:52 PM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action | 136 | Order     | Pursuant to counsel's conversation with Chambers today, the hearing scheduled for March 6, 2019 regarding the Motion to Compel will be rescheduled as the parties continue to confer.  | Accepted | OMB   |
|          |                       |                |  |  |     |           | • Linked from (1)  |          |       |
| 63022505 | 3/4/2019 4:32 PM EST  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Nicholas J Brannick, Cole Schotz PC            | 135 | Brief     | Brief in Support of Motion to Dismiss Count IX of Second Amended Verified Complaint with Certificate of Service (Filed Under Seal)   | Accepted | 0.6MB |
|          |                       |                |  |  |     |           | • Linked to (2)  |          |       |
|          |                       |                |  |  |     |           | • Linked from (4)  |          |       |
|          |                       |                |  |  |     | Affidavit | Transmittal Affidavit of Nicholas J. Brannick in Support of Brief in Support of Motion to Dismiss Count IX of Second Amended Verified Complaint  | Accepted | 0.5MB |
|          |                       |                |  |  |     |           | • Linked from (1)  |          |       |
|          |                       |                |  |  |     | Exhibits  | Exhibit A - Part 1 of 3 to Transmittal Affidavit of Nicholas J. Brannick in Support of Brief   | Accepted | 7.7MB |

|          |                       |                |  |   |          |                             |   |          |       |
|----------|-----------------------|----------------|--|---|----------|-----------------------------|---|----------|-------|
|          |                       |                |  |   |          |                             | in Support of Motion to Dismiss Count IX of Second Amended Verified Complaint (Filed Under Seal)  |          |       |
|          |                       |                |  |   | Exhibits |                             | Exhibit A - Part 2 of 3 to Transmittal Affidavit of Nicholas J. Brannick in Support of Brief in Support of Motion to Dismiss Count IX of Second Amended Verified Complaint (Filed Under Seal) | Accepted | 5.3MB |
|          |                       |                |  |   | Exhibits |                             | Exhibit A - Part 3 of 3 to Transmittal Affidavit of Nicholas J. Brannick in Support of Brief in Support of Motion to Dismiss Count IX of Second Amended Verified Complaint (Filed Under Seal) | Accepted | 7.6MB |
|          |                       |                |  |   | Exhibits |                             | Exhibits B and C to Transmittal Affidavit of Nicholas J. Brannick in Support of Brief in Support of Motion to Dismiss Count IX of Second Amended Verified Complaint (Filed Under Seal)        | Accepted | 1.1MB |
| 63019366 | 3/4/2019 10:15 AM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action    | 134      | Letter                      | Letter to Counsel from Vice Chancellor Zurn Regarding the Motion to Compel  | Accepted | 0.2MB |
| 63015276 | 3/1/2019 4:30 PM EST  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 133      | Motion for Summary Judgment | Plaintiffs Motion for Partial Summary Judgment <ul style="list-style-type: none"> <li>Linked to (1)</li> <li>Linked from (5)</li> </ul>   | Accepted | 0.1MB |
|          |                       |                |  |   |          | Proposed Order              | Proposed Order  | Accepted | 0.1MB |

014128

|          |                       |                |  |   |     |                        |   |          |       |
|----------|-----------------------|----------------|--|---|-----|------------------------|---|----------|-------|
|          |                       |                |  |   |     |                        | Granting Plaintiff's Motion for Partial Summary Judgment  |          |       |
|          |                       |                |  |   |     | Certificate of Service | Certificate of Service to Plaintiff's Motion for Partial Summary Judgment   | Accepted | 0.2MB |
| 63017391 | 3/1/2019 4:23 PM EST  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Nicholas J Brannick, Cole Schotz PC               | 132 | Motion to Dismiss      | Defendants' Motion to Dismiss Count IX of Second Amended Verified Complaint with Certificate of Service                                 | Accepted | 0.1MB |
|          |                       |                |  |   |     | Proposed Order         | [Proposed] Order Granting Motion to Dismiss Count IX of Second Amended Verified Complaint   | Accepted | 0.1MB |
| 62996316 | 2/22/2019 4:15 PM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 130 | Public Version         | Public version of Plaintiff's Second Amended Verified Complaint, with Certificate of Service  | Accepted | 0.6MB |
| 62996472 | 2/22/2019 4:15 PM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 131 | Public Version         | Public version of Plaintiff's Reply in Support of His Motion to Compel Documents from Abrams & Bayliss LLP, with Certificate of Service | Accepted | 0.4MB |
| 62982200 | 2/19/2019 1:39 PM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 129 | Letter                 | Letter from Thomas A. Uebler to VC Zurn regarding courtesy copies of Plaintiff's reply in support of his motion to compel               | Accepted | 0.4MB |
| 62975856 | 2/15/2019 4:05 PM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital                  | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 128 | Reply                  | [Confidential Filing] Plaintiff's Reply in Support of His Motion to Compel Documents from Abrams & Bayliss                              | Accepted | 0.4MB |

|          |                       |                |  |   |     |                           |  |          |       |
|----------|-----------------------|----------------|--|---|-----|---------------------------|--|----------|-------|
|          |                       |                | Management, L.P.   |   |     |                           | LLP, with Certificate of Service   |          |       |
|          |                       |                |  |   |     |                           | <ul style="list-style-type: none"> <li>Linked to (3)</li> <li>Linked from (2)</li> </ul>   |          |       |
|          |                       |                |  |   |     | Exhibits                  | [Confidential Filing] Exhibits A through E to Plaintiff's Reply in Support of His Motion to Compel Documents from Abrams & Bayliss LLP                           | Accepted | 5.4MB |
|          |                       |                |  |   |     | Exhibits                  | Exhibit F to Plaintiff's Reply in Support of His Motion to Compel Documents from Abrams & Bayliss LLP  | Accepted | 0.5MB |
|          |                       |                |  |   |     |                           | <ul style="list-style-type: none"> <li>Linked from (1)</li> </ul>  |          |       |
| 62975131 | 2/15/2019 4:00 PM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 127 | Amended Complaint         | [Confidential Filing] Patrick Daugherty's Second Amended Verified Complaint for Fraudulent Transfer, Indemnification, Unjust Enrichment, and Promissory Estoppel | Accepted | 1.1MB |
|          |                       |                |  |   |     |                           | <ul style="list-style-type: none"> <li>Linked to (3)</li> <li>Linked from (15)</li> </ul>  |          |       |
|          |                       |                |  |   |     | Verification to Complaint | Patrick Daugherty's Verification to Second Amended Verified Complaint  | Accepted | 0.1MB |
|          |                       |                |  |   |     | Exhibits                  | [Confidential Filing] Exhibits A through K to Patrick Daugherty's Second Amended Verified Complaint  | Accepted | 6.9MB |
|          |                       |                |  |   |     | Exhibits                  | [Confidential Filing] Redline Exhibit to Patrick Daugherty's Second Amended Verified Complaint   | Accepted | 0.5MB |
|          |                       |                |  |   |     | Certificate of            | Certificate of   | Accepted | 0.2MB |

|          |                        |                |  |   |     | Service | Service to Patrick Daugherty's Second Amended Verified Complaint   |          |       |
|----------|------------------------|----------------|--|---|-----|---------|--|----------|-------|
| 62961490 | 2/12/2019 11:39 AM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action    | 126 | Order   | Granted ([Proposed] Order Granting Plaintiff's Motion for Leave to File Second Amended Complaint)<br><ul style="list-style-type: none"> <li>Linked to (1)</li> <li>Linked from (1)</li> </ul>  | Accepted | 0.3MB |
| 62961307 | 2/12/2019 11:19 AM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 125 | Letter  | Letter from Thomas A. Uebler to VC Zurn regarding Plaintiff's unopposed motion to amend<br><ul style="list-style-type: none"> <li>Linked to (2)</li> </ul>   | Accepted | 0.4MB |
| 62957028 | 2/11/2019 2:13 PM EST  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Nicholas J Brannick, Cole Schotz PC               | 124 | Letter  | Letter to Vice Chancellor Morgan T. Zurn from Nicholas J. Brannick Advising the Court that Defendants have Decided to Withdraw their Opposition to Plaintiff's Motion for Leave to File Second Amended Complaint<br><ul style="list-style-type: none"> <li>Linked to (2)</li> <li>Linked from (1)</li> </ul> | Accepted | 0.4MB |
| 62936358 | 2/6/2019 11:56 AM EST  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action    | 123 | Letter  | Letter to Counsel from Vice Chancellor Zurn confirming a hearing on the Motion to Compel in the matter for Wednesday, March 6, 2019 at 3:15 p.m.   | Accepted | 0.1MB |
| 62933417 | 2/5/2019 4:04 PM EST   | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action    | 122 | Order   | Granted with Modifications ([Proposed] Order granting Plaintiff's Motion to Strike Defendants' Opposition to Plaintiff's Motion to Amend Complaint)<br><ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>   | Accepted | 0.3MB |
| 62930564 | 2/5/2019 10:48 AM      | File And Serve | 2017-0488-MTZ STAYED - CONF  | Thomas Uebler,                                    | 121 | Letter  | Letter from Thomas A. Uebler   | Accepted | 0.4MB |

|          |                       |                |  |                                     |     |                   |  |          |       |
|----------|-----------------------|----------------|--|-------------------------------------|-----|-------------------|--|----------|-------|
|          | EST                   |                | ORDER Patrick Daugherty v. Highland Capital Management, L.P.                             | McCollom D'Emilio Smith Uebler LLC  |     |                   | to VC Zurn regarding courtesy copies of Plaintiffs motion to compel  |          |       |
| 62926086 | 2/4/2019 1:05 PM EST  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Nicholas J Brannick, Cole Schotz PC | 120 | Letter            | Letter to Vice Chancellor Morgan T. Zurn from Nicholas J. Brannick Enclosing copies of Defendants' Brief in Opposition to Plaintiffs Motion to Compel Documents from Abrams & Bayliss LLP  | Accepted | 0.5MB |
| 62922530 | 2/1/2019 3:41 PM EST  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Nicholas J Brannick, Cole Schotz PC | 119 | Brief             | Defendants' Brief in Opposition to Plaintiffs Motion to Compel Documents from Abrams & Bayliss LLP with Certificate of Service   | Accepted | 0.3MB |
|          |                       |                |  |                                     |     | Exhibits          | Exhibit 1 to Defendants' Brief in Opposition to Plaintiffs Motion to Compel Documents from Abrams & Bayliss LLP  |          |       |
| 62918168 | 1/31/2019 4:26 PM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Nicholas J Brannick, Cole Schotz PC | 118 | Notice of Service | Notice of Service of (1) Defendants' Amended Responses and Objections to Plaintiffs First Request for Interrogatories and (2) Defendants' Amended Responses and Objections to Plaintiffs First Request for Production of Documents with Certificate of Service | Accepted | 0.1MB |
| 62916475 | 1/31/2019             | File And       | 2017-0488-MTZ  | Nicholas J                          | 117 | Letter            | Letter to Vice   | Accepted | 0.5MB |

|          |                        |                |  |   |     |                |  |          |       |
|----------|------------------------|----------------|--|---|-----|----------------|--|----------|-------|
|          | 12:25 PM EST           | Serve          | STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P.               | Brannick, Cole Schotz PC                          |     |                | Chancellor Morgan T. Zurn from Nicholas J. Brannick Enclosing Courtesy Copies of Defendants' Opposition to Plaintiff's Motion for Leave to File Second Amended Complaint |          |       |
| 62909239 | 1/29/2019 2:32 PM EST  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 116 | Letter         | Letter from Thomas A. Uebler to VC Zurn regarding Plaintiff's motion to strike Defendants' opposition  | Accepted | 0.4MB |
| 62901123 | 1/25/2019 5:45 PM EST  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 115 | Public Version | Public Version of Plaintiff's Reply in Support of His Motion For Leave to File Second Amended Complaint, with Certificate of Service                                     | Accepted | 0.5MB |
| 62897823 | 1/25/2019 10:50 AM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Nicholas J Brannick, Cole Schotz PC               | 114 | Opposition     | Defendants' Opposition To Plaintiff's Motion To Strike Defendants' Opposition To Plaintiff's Motion To Amend Complaint with Certificate of Service                       | Accepted | 0.3MB |
| 62888142 | 1/23/2019 9:44 AM EST  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action    | 113 | Order          | Granted with Modifications (Stipulation And [Proposed] Scheduling Order Governing Patrick Daugherty's Motion To Compel Documents From Abrams & Bayliss LLP)              | Accepted | 0.4MB |
| 62883590 | 1/22/2019 11:10 AM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick  | Thomas Uebler, McCollom                           | 112 | Letter         | Letter from Thomas A. Uebler to VC Zurn  | Accepted | 0.4MB |



|          |                        |                |  |   |     |                                |   |          |       |
|----------|------------------------|----------------|--|---|-----|--------------------------------|---|----------|-------|
|          |                        |                | Daugherty v. Highland Capital Management, L.P.   | D'Emilio Smith Uebler LLC                         |     |                                | regarding courtesy copies of Plaintiffs motion to amend, Plaintiffs reply in support of motion to amend, and Plaintiffs motion to strike Defendants' opposition |          |       |
| 62883545 | 1/22/2019 11:02 AM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 111 | Stipulation & (Proposed) Order | Stipulation And [Proposed] Scheduling Order Governing Patrick Daugherty's Motion To Compel Documents From Abrams & Bayliss LLP                                  | Accepted | 0.2MB |
| 62878968 | 1/18/2019 4:55 PM EST  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 110 | Reply                          | [CONFIDENTIAL FILING] Plaintiffs Reply in Support of His Motion For Leave to File Second Amended Complaint, with Certificate of Service                         | Accepted | 0.5MB |
|          |                        |                |  |   |     | Exhibits                       | [CONFIDENTIAL FILING] Exhibits A through J to Plaintiffs Reply in Support of His Motion For Leave to File Second Amended Complaint                              | Accepted | 6.8MB |
| 62878878 | 1/18/2019 4:50 PM EST  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 109 | Motion                         | Plaintiffs Motion to Strike Defendants' Opposition to Plaintiffs Motion to Amend Complaint, with Certificate of Service   | Accepted | 0.3MB |
|          |                        |                |  |   |     | Proposed Order                 | [Proposed] Order granting Plaintiffs Motion to Strike Defendants' Opposition to Plaintiffs Motion   | Accepted | 0.2MB |

|          |                       |                |  |   |     |                  |   |          |       |
|----------|-----------------------|----------------|--|---|-----|------------------|---|----------|-------|
|          |                       |                |  |   |     |                  | to Amend Complaint  |          |       |
|          |                       |                |  |   |     |                  | <ul style="list-style-type: none"> <li>Linked from (2)</li> </ul>   |          |       |
| 62879608 | 1/18/2019 3:18 PM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 108 | Public Version   | Public Version of Plaintiff Patrick Daugherty's Motion to Compel Documents from Abrams & Bayliss LLP, with Certificate of Service | Accepted | 0.7MB |
|          |                       |                |  |   |     |                  | <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>   |          |       |
| 62857514 | 1/14/2019 9:48 AM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Nicholas J Brannick, Cole Schotz PC               | 107 | Brief            | Defendants' Opposition to Plaintiff's Motion for Leave to File Second Amended Complaint with Certificate of Service               | Accepted | 0.6MB |
|          |                       |                |  |   |     |                  | <ul style="list-style-type: none"> <li>Linked to (2)</li> <li>Linked from (4)</li> </ul>  |          |       |
|          |                       |                |  |   |     | Exhibits         | Exhibit 1 to Defendants' Opposition to Plaintiff's Motion for Leave to File Second Amended Complaint (FILED UNDER SEAL)           | Accepted | 0.3MB |
|          |                       |                |  |   |     |                  | <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>   |          |       |
| 62853833 | 1/11/2019 4:55 PM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 106 | Motion to Compel | [CONFIDENTIAL FILING] Plaintiff Patrick Daugherty's Motion to Compel Documents from Abrams & Bayliss LLP                          | Accepted | 0.4MB |
|          |                       |                |  |   |     |                  | <ul style="list-style-type: none"> <li>Linked to (3)</li> <li>Linked from (9)</li> </ul>  |          |       |
|          |                       |                |  |   |     | Exhibits         | [CONFIDENTIAL FILING] Exhibits A through Y to Plaintiff Patrick Daugherty's Motion to Compel Documents from Abrams & Bayliss LLP  | Accepted | 9.3MB |
|          |                       |                |  |   |     |                  | <ul style="list-style-type: none"> <li>Linked from (1)</li> </ul>   |          |       |
|          |                       |                |  |   |     | Proposed Order   | [Proposed] Order granting Plaintiff Patrick Daugherty's Motion to Compel Documents from Abrams & Bayliss LLP                      | Accepted | 0.1MB |
|          |                       |                |  |   |     |                  | <ul style="list-style-type: none"> <li>Linked from (2)</li> </ul>   |          |       |

|          |                        |                |  |   |     |                                |  |          |       |
|----------|------------------------|----------------|--|---|-----|--------------------------------|--|----------|-------|
|          |                        |                |  |   |     | Certificate of Service         | Certificate of Service to Plaintiff Patrick Daugherty's Motion to Compel Documents from Abrams & Bayliss LLP<br>• Linked from (1)                                      | Accepted | 0.2MB |
| 62773374 | 12/17/2018 9:17 AM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Morgan Zurn, DE Court of Chancery Civil Action    | 105 | Order                          | Granted (Stipulation and [Proposed] Order Governing Briefing on Plaintiff's Motion for Leave to File Second Amended Complaint)<br>• Linked to (1)<br>• Linked from (3) | Accepted | 0.4MB |
| 62768412 | 12/14/2018 8:53 AM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 104 | Stipulation & (Proposed) Order | Stipulation and [Proposed] Order Governing Briefing on Plaintiff's Motion for Leave to File Second Amended Complaint<br>• Linked to (1)<br>• Linked from (2)           | Accepted | 0.2MB |

201-250 of 349 transactions &lt;&lt;Prev Page 5 of 7 Next&gt;&gt;

Case History Search

Search Created:  
2/1/2021 14:54:26 GMT-0500 (Eastern Standard Time)

**Court:** DE Court of Chancery  
Civil Action

**Judge:** Zurn, Morgan

**File & ServeXpress Live Date:** 7/6/2017  
**Document(s) Filed:** 616  
**Date Range:** All

**Division:** N/A

**Case Number:** 2017-0488-MTZ

**Case Type:** Breach of Contract  
**Case Name:** STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P.  
**Linked Case(s):** 292,2019 [View Case History]

251-300 of 349 transactions <<Prev Page 6 of 7 Next>>

| Transaction | ▼Date/Time                   | Option            | Case Number<br>Case Name  | Authorizer<br>Organization                                    | #   | Document Type                          | Document Title  | Review<br>Status | Size  |
|-------------|------------------------------|-------------------|---|---|-----|--|---|------------------|-------|
| 62731890    | 12/6/2018<br>12:41 PM<br>EST | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Thomas<br>Uebler,<br>McCollom<br>D'Emilio Smith<br>Uebler LLC | 103 | Motion to File<br>Amended<br>Complaint | Plaintiff's Motion<br>for Leave to File<br>Second Amended<br>Complaint with<br>Certificate of<br>Service<br>• Linked to (1)<br>• Linked from (7)  | Accepted         | 0.1MB |
|             |                              |                   |   |   |     | Exhibits                               | [CONFIDENTIAL<br>FILING] Exhibits A<br>and B to Plaintiff's<br>Motion for Leave<br>to File Second<br>Amended<br>Complaint<br>• Linked from (1)  | Accepted         | 1.9MB |
|             |                              |                   |   |   |     | Proposed Order                         | [Proposed] Order<br>Granting Plaintiff's<br>Motion for Leave<br>to File Second<br>Amended<br>Complaint<br>• Linked from (2)   | Accepted         | 0.1MB |
| 62721179    | 12/4/2018<br>10:58 AM<br>EST | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Morgan Zurn,<br>DE Court of<br>Chancery Civil<br>Action       | 102 | Order                                  | Granted with<br>Modifications<br>(Stipulation and<br>[Proposed] Order<br>for the<br>Production and<br>Exchange of<br>Confidential and<br>Highly<br>Confidential<br>Information)<br>• Linked to (1)<br>• Linked from<br>(16) | Accepted         | 0.5MB |

|          |                              |                   |   |   |     |  |   |          |       |
|----------|------------------------------|-------------------|---|---|-----|--|---|----------|-------|
| 62714942 | 12/3/2018<br>3:25 PM<br>EST  | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Thomas<br>Uebler,<br>McCollom<br>D'Emilio Smith<br>Uebler LLC | 101 | Notice of Service                        | Notice and<br>Certificate of<br>Service to<br>Plaintiffs<br>Responses and<br>Objections to<br>Defendants' First<br>Document<br>Requests and First<br>Set of<br>Interrogatories<br>• Linked to (1) | Accepted | 0.1MB |
| 62716736 | 12/3/2018<br>1:39 PM<br>EST  | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Thomas<br>Uebler,<br>McCollom<br>D'Emilio Smith<br>Uebler LLC | 100 | Stipulation &<br>(Proposed) Order        | Stipulation and<br>[Proposed] Order<br>for the<br>Production and<br>Exchange of<br>Confidential and<br>Highly<br>Confidential<br>Information<br>• Linked to (1)<br>• Linked from (1)              | Accepted | 0.3MB |
|          |                              |                   |   |   |     | Exhibits                                 | Exhibit A to<br>Stipulation and<br>[Proposed] Order<br>for the<br>Production and<br>Exchange of<br>Confidential and<br>Highly<br>Confidential<br>Information                                      | Accepted | 0.1MB |
| 62689321 | 11/21/2018<br>9:50 AM<br>EST | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Thomas<br>Uebler,<br>McCollom<br>D'Emilio Smith<br>Uebler LLC | 99  | Stipulation &<br>(Proposed) Order        | Stipulation and<br>[Proposed] Order<br>for the<br>Production and<br>Exchange of<br>Confidential<br>Information<br>• Linked to (1)<br>• Linked from (1)  | Accepted | 0.3MB |
|          |                              |                   |   |   |     | Exhibits                                 | Exhibit A to<br>Stipulation and<br>[Proposed] Order<br>for the<br>Production and<br>Exchange of<br>Confidential<br>Information  | Accepted | 0.1MB |
| 62654848 | 11/9/2018<br>1:23 PM<br>EST  | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Morgan Zurn,<br>DE Court of<br>Chancery Civil<br>Action       | 98  | Order                                    | Granted with<br>Modifications<br>(Stipulated [and<br>Proposed]<br>Scheduling Order)<br>• Linked to (1)<br>• Linked from<br>(10)   | Accepted | 0.3MB |
| 62654079 | 11/9/2018<br>11:35 AM<br>EST | File<br>Only      | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick   | Jeanne K<br>Cahill,<br>DE Court of                            | 97  | Official Transcript<br>(Addl Fees Apply) | TELECONFERENCE<br>RE DEFENDANTS'<br>MOTION TO   | Accepted | 0.1MB |

|          |                        |                |  |   |    |  |   |          |       |
|----------|------------------------|----------------|--|---|----|--|---|----------|-------|
|          |                        |                | Daugherty v. Highland Capital Management, L.P.   | Chancery Civil Action                               |    |  | QUASH AND MOTION FOR PROTECTIVE ORDER AND THE COURT'S GUIDANCE HELD ON SEPTEMBER 18, 2018   |          |       |
| 62653470 | 11/9/2018 10:15 AM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC   | 96 | Stipulation & (Proposed) Order                     | Stipulated [and Proposed] Scheduling Order <ul style="list-style-type: none"> <li>Linked to (1)</li> <li>Linked from (1)</li> </ul>   | Accepted | 0.2MB |
| 62602644 | 10/26/2018 4:58 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Nicholas J Brannick, Cole Schotz PC                 | 95 | Notice of Service of Answers to Interrogatories    | Notice of Service of Defendants' Responses and Objections to Plaintiff's First Request for Interrogatories with Certificate of Service <ul style="list-style-type: none"> <li>Linked to (1)</li> <li>Linked from (3)</li> </ul>   | Accepted | 0.1MB |
| 62577619 | 10/19/2018 1:46 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Nicholas J Brannick, Cole Schotz PC                 | 94 | Notice of Service                                  | Notice of Service of (1) Defendants' First Interrogatories to Plaintiff and (2) Defendants' First Request for Production of Documents to Plaintiff with Certificate of Service <ul style="list-style-type: none"> <li>Linked to (1)</li> <li>Linked from (2)</li> </ul> | Accepted | 0.1MB |
| 62568169 | 10/17/2018 3:25 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Nicholas J Brannick, Cole Schotz PC                 | 93 | Notice of Service of Responses to Request for Prod | Notice of Service to Defendants' Responses and Objections to Plaintiff's First Request for Production of Documents with Certificate of Service <ul style="list-style-type: none"> <li>Linked to (1)</li> <li>Linked from (2)</li> </ul>                                 | Accepted | 0.8MB |
| 62534545 | 10/8/2018 2:54 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Andre G Bouchard, DE Court of Chancery Civil Action | 92 | Letter   | Case Reassignment Letter  | Accepted | 0.1MB |

|          |                              |                   |   |   |    |  |  |          |       |
|----------|------------------------------|-------------------|---|---|----|--|--|----------|-------|
| 62517156 | 10/3/2018<br>12:57 PM<br>EDT | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | John M<br>Seaman,<br>Abrams &<br>Bayliss LLP                  | 91 | Notice of Service<br>of Objections to<br>Discovery | Notice and<br>Certificate of<br>Service of (i) Non-<br>Party Abrams &<br>Bayliss LLP's<br>Responses and<br>Objections to<br>Plaintiff's<br>Amended<br>Subpoena Duces<br>Tecum and Ad<br>Testificandum;<br>and (ii) this Notice<br>and Certificate of<br>Service<br>• Linked to (2) | Accepted | 0.1MB |
| 62509405 | 10/2/2018<br>11:07 AM<br>EDT | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Sam<br>Glasscock,<br>DE Court of<br>Chancery Civil<br>Action  | 90 | Order  | Granted<br>(Stipulated [and<br>Proposed]<br>Scheduling Order)<br>• Linked to (1)<br>• Linked from (4)  | Accepted | 0.3MB |
| 62505318 | 10/1/2018<br>1:36 PM<br>EDT  | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Thomas<br>Uebler,<br>McCollom<br>D'Emilio Smith<br>Uebler LLC | 89 | Stipulation &<br>(Proposed) Order                  | Stipulated [and<br>Proposed]<br>Scheduling Order<br>• Linked to (1)<br>• Linked from (1)   | Accepted | 0.2MB |
| 62496483 | 9/27/2018<br>2:18 PM<br>EDT  | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Thomas<br>Uebler,<br>McCollom<br>D'Emilio Smith<br>Uebler LLC | 88 | Notice of Service                                  | Notice and<br>Certificate of<br>Service to<br>Plaintiff's First Set<br>of Interrogatories<br>Directed to<br>Defendants<br>• Linked to (1)<br>• Linked from (4)   | Accepted | 0.1MB |
| 62469651 | 9/19/2018<br>2:23 PM<br>EDT  | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Thomas<br>Uebler,<br>McCollom<br>D'Emilio Smith<br>Uebler LLC | 87 | Subpoena   | Amended<br>Subpoena Duces<br>Tecum and Ad<br>Testificandum<br>directed to<br>Abrams & Bayliss<br>LLP, with<br>attached affidavit<br>of service<br>• Linked to (2)<br>• Linked from (4)   | Accepted | 0.7MB |
|          |                              |                   |   |   |    | Exhibits   | Schedules A and B<br>to Amended<br>Subpoena<br>directed to<br>Abrams & Bayliss<br>LLP<br>• Linked from (1)   | Accepted | 0.1MB |
|          |                              |                   |   |   |    | Exhibits   | Exhibits A and B<br>to Amended   | Accepted | 1.0MB |

|          |                        |                |  |   |    |                                |   |          |       |
|----------|------------------------|----------------|--|---|----|--------------------------------|---|----------|-------|
|          |                        |                |  |   |    |                                | Subpoena directed to Abrams & Bayliss LLP<br>• Linked from (1)  |          |       |
| 62464793 | 9/18/2018 2:44 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Register in Chancery, DE Court of Chancery Civil Action | 86 | Judicial Action Form           | Judicial Action Form completed by Jeanne Cahill, Court Reporter. Telephonic Oral Argument held 9-18-18 on Defendants' Motion to Quash and Motion for Protective Order.<br>• Linked to (1)<br>• Linked from (1)  | Accepted | 0.1MB |
| 62462732 | 9/18/2018 10:03 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Sam Glasscock, DE Court of Chancery Civil Action        | 85 | Order - Pro Hac Vice           | Granted (Pierson, Adam: [Proposed] Order to Motion for Admission of Adam Pierson Pro Hac Vice to represent Defendants, Highland Capital Management, L.P., Highland Employee Retention Assets LLC, Highland ERA Management LLC and James Dondero)<br>• Linked to (1) | Accepted | 0.2MB |
| 62460742 | 9/17/2018 4:39 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Nicholas J Brannick, Cole Schotz PC                     | 84 | Motion for Pro Hac Vice        | Pierson, Adam: Motion for Admission of Adam Pierson Pro Hac Vice to represent Defendants, Highland Capital Management, L.P., Highland Employee Retention Assets LLC, Highland ERA Management LLC and James Dondero<br>• Linked to (1)                               | Accepted | 0.9MB |
|          |                        |                |  |   |    | Certification for Pro Hac Vice | Pierson, Adam: Certificate of Adam Pierson, Esquire to Motion for Admission of Adam Pierson Pro Hac Vice to represent Defendants,   | Accepted | 0.1MB |



|          |                       |                |  |                                     |    |  |   |          |       |
|----------|-----------------------|----------------|--|-------------------------------------|----|--|---|----------|-------|
|          |                       |                |  |                                     |    |  | Highland Capital Management, L.P., Highland Employee Retention Assets LLC, Highland ERA Management LLC and James Dondero  |          |       |
|          |                       |                |  |                                     |    | Proposed Order - Pro Hac Vice                | Pierson, Adam: [Proposed] Order to Motion for Admission of Adam Pierson Pro Hac Vice to represent Defendants, Highland Capital Management, L.P., Highland Employee Retention Assets LLC, Highland ERA Management LLC and James Dondero<br>• Linked from (1) | Accepted | 0.1MB |
|          |                       |                |  |                                     |    | Certificate of Service                       | Pierson, Adam: Certificate of Service to Motion for Admission of Adam Pierson Pro Hac Vice to represent Defendants, Highland Capital Management, L.P., Highland Employee Retention Assets LLC, Highland ERA Management LLC and James Dondero                | Accepted | 0.6MB |
| 62435307 | 9/10/2018 5:45 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | John M Seaman, Abrams & Bayliss LLP | 83 | Notice of Service of Objections to Discovery | Notice and Certificate of Service re: (i) Non-Party Abrams & Bayliss LLP's Amended Responses and Objections to Plaintiffs Subpoena Duces Tecum and Ad Testificandum; and (ii) this Notice and Certificate of Service<br>• Linked to (1)                     | Accepted | 0.1MB |
| 62405216 | 9/4/2018 10:11 AM     | File And Serve | 2017-0488-MTZ STAYED - CONF  | Thomas Uebler,                      | 82 | Notice of Service                            | Notice and Certificate of   | Accepted | 0.2MB |

|          |                        |                |  |  |    |                        |  |          |       |
|----------|------------------------|----------------|--|--|----|------------------------|--|----------|-------|
|          | EDT                    |                | ORDER Patrick Daugherty v. Highland Capital Management, L.P.                             | McCullom D'Emilio Smith Uebler LLC               |    |                        | Service to Plaintiffs First Request for Production of Documents Directed to Defendants   |          |       |
|          |                        |                |  |  |    |                        | <ul style="list-style-type: none"> <li>Linked to (2)</li> <li>Linked from (4)</li> </ul>   |          |       |
| 62383115 | 8/24/2018 6:42 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Nicholas J Brannick, Cole Schotz PC              | 81 | Answer                 | Defendants' Answer to Plaintiffs First Amended Verified Complaint with Certificate of Service  | Accepted | 4.9MB |
|          |                        |                |  |  |    |                        | <ul style="list-style-type: none"> <li>Linked to (1)</li> <li>Linked from (3)</li> </ul>   |          |       |
| 62376173 | 8/23/2018 11:00 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Nicholas J Brannick, Cole Schotz PC              | 80 | Reply Brief            | Defendants' Reply Brief in Support of Motion to Quash and Motion for Protective Order with Certificate of Service                                  | Accepted | 0.4MB |
|          |                        |                |  |  |    |                        | <ul style="list-style-type: none"> <li>Linked to (2)</li> </ul>  |          |       |
| 62343731 | 8/14/2018 10:23 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Kerry Porter, McCollom D'Emilio Smith Uebler LLC | 79 | Public Version         | Public version of Patrick Daugherty's First Amended Verified Complaint   | Accepted | 0.9MB |
|          |                        |                |  |  |    | Certificate of Service | Certificate of Service to public version of Patrick Daugherty's First Amended Verified Complaint   | Accepted | 0.2MB |
| 62319337 | 8/8/2018 8:00 AM EDT   | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Kerry Porter, McCollom D'Emilio Smith Uebler LLC | 78 | Public Version         | Public version of Patrick Daugherty's Brief in Opposition to Defendants' Motion to Quash and Motion for Protective Order                           | Accepted | 0.7MB |
|          |                        |                |  |  |    | Certificate of Service | Certificate of Service to public version of Patrick Daugherty's Brief in Opposition to Defendants' Motion to Quash and Motion for Protective Order | Accepted | 0.2MB |
| 62311603 | 8/6/2018 1:36 PM EDT   | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v.                                   | Thomas Uebler, McCollom                          | 76 | Notice                 | Patrick Daugherty's Notice of Filing of Amended  | Accepted | 0.1MB |

|          |                      |                |  |   |    |                           |   |          |       |
|----------|----------------------|----------------|--|---|----|---------------------------|---|----------|-------|
|          |                      |                | Highland Capital Management, L.P.  | D'Emilio Smith Uebler LLC                         | 77 | Amended Complaint         | Complaint for Fraudulent Transfer, Indemnification, and Unjust Enrichment<br>• Linked to (1)  | Accepted | 1.2MB |
|          |                      |                |  |   |    | Exhibits                  | Patrick Daugherty's Amended Complaint for Fraudulent Transfer, Indemnification, and Unjust Enrichment [Confidential Filing]<br>• Linked to (1)<br>• Linked from (8)   | Accepted | 1.5MB |
|          |                      |                |  |   |    | Certificate of Service    | Exhibit A to Notice of Filing of Amended Complaint (Blackline Version) [Confidential Filing]  | Accepted | 0.2MB |
|          |                      |                |  |   |    | Verification to Complaint | Certificate of Service to Notice of Filing of Amended Complaint   | Accepted | 0.1MB |
|          |                      |                |  |   |    | Certificate of Service    | Patrick Daugherty's Verification to Amended Complaint   | Accepted | 0.2MB |
|          |                      |                |  |   |    | Letter                    | Certificate of Service to Amended Complaint   | Accepted | 0.3MB |
| 62296940 | 8/1/2018 4:52 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Kerry Porter, McCollom D'Emilio Smith Uebler LLC  | 75 | Letter                    | Letter from Kerry M. Porter to Vice Chancellor Sam Glasscock III regarding courtesy copies of Plaintiff Patrick Daugherty's Brief in Opposition to Defendants' Motion to Quash and Motion for Protective Order<br>• Linked to (2) | Accepted | 0.6MB |
| 62283671 | 8/1/2018 3:41 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital                  | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 74 | Brief                     | Plaintiff Patrick Daugherty's Brief in Opposition to Defendants' Motion to Quash and Motion for   | Accepted |       |

|          |                       |                |  |   |    |                        |  |          |       |
|----------|-----------------------|----------------|--|---|----|------------------------|--|----------|-------|
|          |                       |                | Management, L.P.   |   |    |                        | Protective Order (FILED UNDER SEAL)<br>• Linked to (2)<br>• Linked from (4)  |          |       |
|          |                       |                |  |   |    | Exhibits               | Exhibits A through K to Plaintiff Patrick Daugherty's Brief in Opposition to Defendants' Motion to Quash and Motion for Protective Order (FILED UNDER SEAL)<br>• Linked from (1) | Accepted | 3.5MB |
|          |                       |                |  |   |    | Certificate of Service | Certificate of Service to Plaintiff Patrick Daugherty's Brief in Opposition to Defendants' Motion to Quash and Motion for Protective Order                                       | Accepted | 0.2MB |
| 62296054 | 8/1/2018 2:49 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Sam Glasscock, DE Court of Chancery Civil Action  | 73 | Order                  | Granted ([Proposed] Order granting Plaintiff's unopposed motion to extend the word count limit under Rule 171(f))<br>• Linked to (1)   | Accepted | 0.3MB |
| 62283541 | 7/27/2018 4:07 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, McCollom D'Emilio Smith Uebler LLC | 71 | Motion                 | Plaintiff's unopposed motion to extend the word count limit under Rule 171(f), with certificate of service<br>• Linked to (2)  | Accepted | 0.3MB |
|          |                       |                |  |   | 72 | Proposed Order         | [Proposed] Order granting Plaintiff's unopposed motion to extend the word count limit under Rule 171(f)<br>• Linked from (1)   | Accepted | 0.1MB |
| 62283371 | 7/27/2018 3:43 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Sam Glasscock, DE Court of Chancery Civil Action  | 70 | Order                  | Granted ([Proposed] Order granting Plaintiff's unopposed motion for confidential treatment)<br>• Linked to (1)<br>• Linked from (1)  | Accepted | 0.3MB |

|          |                              |                   |   |   |    |                           |  |          |       |
|----------|------------------------------|-------------------|---|---|----|---------------------------|--|----------|-------|
| 62282939 | 7/27/2018<br>2:47 PM<br>EDT  | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Thomas A. Uebler,<br>McCollow<br>D'Emilio Smith<br>Uebler LLC | 69 | Motion                    | Plaintiff's<br>unopposed<br>motion for<br>confidential<br>treatment, with<br>certificate of<br>service<br>• Linked to (1)<br>• Linked from (1)                   | Accepted | 0.3MB |
|          |                              |                   |   |   |    | Proposed Order            | [Proposed] Order<br>granting Plaintiff's<br>unopposed<br>motion for<br>confidential<br>treatment<br>• Linked from (1)  | Accepted | 0.1MB |
| 62226796 | 7/11/2018<br>10:22 AM<br>EDT | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Sam<br>Glasscock,<br>DE Court of<br>Chancery Civil<br>Action  | 68 | Order                     | Granted<br>([Proposed] Order<br>Granting, in Part,<br>and Denying, in<br>Part, Defendants'<br>Motion to<br>Dismiss)<br>• Linked to (1)                           | Accepted | 0.3MB |
| 62224754 | 7/10/2018<br>4:03 PM<br>EDT  | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Nicholas J<br>Brannick,<br>Cole Schotz<br>PC                  | 67 | Proposed Order            | [Proposed] Order<br>Granting, in Part,<br>and Denying, in<br>Part, Defendants'<br>Motion to Dismiss<br>• Linked to (2)<br>• Linked from (1)                      | Accepted | 0.1MB |
|          |                              |                   |   |   |    | Certificate of<br>Service | Certificate of<br>Service to<br>[Proposed] Order<br>Granting, in Part,<br>and Denying, in<br>Part, Defendants'<br>Motion to Dismiss                              | Accepted | 0.1MB |
| 62192260 | 7/2/2018<br>9:22 AM<br>EDT   | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Sam<br>Glasscock,<br>DE Court of<br>Chancery Civil<br>Action  | 66 | Memorandum<br>Opinion     | Memorandum<br>Opinion<br>• Linked from (1)   | Accepted | 0.4MB |
| 62157787 | 6/20/2018<br>2:00 PM<br>EDT  | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Kerry Porter,<br>McCollow<br>D'Emilio Smith<br>Uebler LLC     | 65 | Notice                    | Notice of Change<br>of Address for<br>Thomas A. Uebler<br>and Kerry M.<br>Porter, counsel<br>for plaintiff, with<br>certificate of<br>service<br>• Linked to (1) | Accepted | 0.2MB |
| 61740842 | 2/28/2018<br>3:09 PM<br>EST  | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital                        | Kerry Porter,<br>Cooch &<br>Taylor Pa-<br>Wilmington          | 64 | Notice                    | Notice of Change<br>of Firm Affiliation<br>and Address of<br>Thomas A. Uebler<br>and Kerry M.<br>Porter, counsel   | Accepted | 0.1MB |

|          |                       |                |  |                                     |    |  |  |          |       |
|----------|-----------------------|----------------|--|-------------------------------------|----|--|--|----------|-------|
|          |                       |                | Management, L.P.   |                                     |    |  | for plaintiff Patrick Daugherty  |          |       |
|          |                       |                |  |                                     |    | Certificate of Service                       | Certificate of Service for Notice of Change of Firm Affiliation and Address  | Accepted | 0.2MB |
| 61728895 | 2/26/2018 1:55 PM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Nicholas J Brannick, Cole Schotz PC | 63 | Letter                                       | Letter to Vice Chancellor Sam Glasscock, III from Nicholas J. Brannick Enclosing Courtesy Copies of Defendants' Motion to Quash and Motion for Protective Order  | Accepted | 0.1MB |
| 61702021 | 2/16/2018 5:24 PM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | John M Seaman, Abrams & Bayliss LLP | 62 | Notice of Service of Objections to Discovery | Notice and Certificate of Service to (i) Non-Party Abrams & Bayliss LLP's Responses and Objections to Plaintiff's Subpoena Duces Tecum and Ad Testificandum; and (ii) this Notice and Certificate of Service | Accepted | 0.1MB |
| 61701737 | 2/16/2018 4:50 PM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Nicholas J Brannick, Cole Schotz PC | 61 | Motion for Protective Order                  | Defendants', Highland Capital Management, L.P., Highland Employee Retention Assets LLC, Highland ERA Management LLC, and James Dondero, Motion to Quash and Motion for Protective Order                      | Accepted | 0.6MB |
|          |                       |                |  |                                     |    | Exhibits                                     | Exhibits 1 and 2 to Defendants' Motion to Quash and Motion for Protective Order  | Accepted | 0.2MB |
|          |                       |                |  |                                     |    | Proposed Order                               | [Proposed] Order Granting Defendants' Motion to Quash  | Accepted | 0.1MB |

|          |                       |                |  |   |    |                        |   |          |       |
|----------|-----------------------|----------------|--|---|----|------------------------|---|----------|-------|
|          |                       |                |  |   |    |                        | and Motion for Protective Order   |          |       |
|          |                       |                |  |   |    | Certificate of Service | Certificate of Service for Defendants' Motion to Quash and Motion for Protective Order  | Accepted | 0.1MB |
| 61701244 | 2/16/2018 4:07 PM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | John M Seaman, Abrams & Bayliss LLP         | 60 | Entry of Appearance    | Entry of Appearance of John M. Seaman, Esq. of Abrams & Bayliss LLP on behalf of non-party Abrams & Bayliss LLP, with Certificate of Service <ul style="list-style-type: none"> <li>Linked to (2)</li> <li>Linked from (3)</li> </ul>   | Accepted | 0.1MB |
| 61700826 | 2/16/2018 3:35 PM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Nicholas J Brannick, Cole Schotz PC         | 59 | Letter                 | Letter to Vice Chancellor Sam Glasscock, III from Nicholas J. Brannick Enclosing Courtesy Copies of Defendants', Highland Capital Management, L.P., Highland Employee Retention Assets LLC, Highland ERA Management LLC, and James Dondero, Reply to Patrick Daugherty's Supplemental Memorandum in Opposition to Defendants' Motion to Dismiss <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul> | Accepted | 0.1MB |
| 61700193 | 2/16/2018 2:27 PM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, Cooch & Taylor Pa-Wilmington | 58 | Public Version         | Public Version of Patrick Daugherty's Supplemental Memorandum in Opposition to Defendants' Motion to Dismiss <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>  | Accepted | 0.1MB |
|          |                       |                |  |   |    | Certificate of Service | Certificate of Service to Public Version of Patrick Daugherty's Supplemental Memorandum in Opposition to  | Accepted | 0.2MB |

|          |                        |                |  |   |    |            |   |          |       |
|----------|------------------------|----------------|--|---|----|------------|---|----------|-------|
|          |                        |                |  |   |    |            | Defendants' Motion to Dismiss   |          |       |
| 61696357 | 2/15/2018 5:04 PM EST  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Nicholas J Brannick, Cole Schotz PC         | 57 | Reply      | Defendants', Highland Capital Management, L.P., Highland Employee Retention Assets LLC, Highland ERA Management LLC, and James Dondero , Reply to Patrick Daugherty's Supplemental Memorandum in Opposition to Defendants' Motion to Dismiss with Certificate of Service <ul style="list-style-type: none"> <li>• Linked to (4)</li> <li>• Linked from (1)</li> </ul> | Accepted | 0.3MB |
| 61677517 | 2/12/2018 12:04 PM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Kerry Porter, Cooch & Taylor Pa-Wilmington  | 56 | Letter     | Letter from Kerry M. Porter to Vice Chancellor Sam Glasscock III regarding courtesy copies of Patrick Daugherty's Supplemental Memorandum in Opposition to Defendants' Motion to Dismiss. <ul style="list-style-type: none"> <li>• Linked to (5)</li> </ul>   | Accepted | 0.1MB |
| 61675216 | 2/9/2018 5:37 PM EST   | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, Cooch & Taylor Pa-Wilmington | 54 | Memorandum | Patrick Daugherty's Supplemental Memorandum in Opposition to Defendants' Motion to Dismiss [Confidential Filing] <ul style="list-style-type: none"> <li>• Linked to (4)</li> <li>• Linked from (3)</li> </ul>   | Accepted | 0.1MB |
|          |                        |                |  |   | 55 | Compendium | Compendium of Key Authorities Cited in Patrick Daugherty's Supplemental Memorandum in Opposition to Defendants' Motion to Dismiss <ul style="list-style-type: none"> <li>• Linked to (2)</li> <li>• Linked from (1)</li> </ul>  | Accepted | 0.5MB |
|          |                        |                |  |   |    | Exhibits   | Exhibit A to Patrick  | Accepted | 0.4MB |



Case 3:21-cv-00538-N Document 26-53 Filed 06/09/21 Page 223 of 254 PageID 17107

|          |                      |                |  |   |    |                        |   |          |       |
|----------|----------------------|----------------|--|---|----|------------------------|---|----------|-------|
|          |                      |                |  |   |    |                        | Daugherty's Supplemental Memorandum in Opposition to Defendants' Motion to Dismiss <ul style="list-style-type: none"><li>• Linked from (1)</li></ul>  |          |       |
|          |                      |                |  |   |    | Certificate of Service | Certificate of Service to Patrick Daugherty's Supplemental Memorandum in Opposition to Defendants' Motion to Dismiss <ul style="list-style-type: none"><li>• Linked from (1)</li></ul>  | Accepted | 0.2MB |
|          |                      |                |  |   |    | Certificate of Service | Certificate of Service to Compendium of Key Authorities <ul style="list-style-type: none"><li>• Linked from (1)</li></ul>   | Accepted | 0.2MB |
| 61659972 | 2/7/2018 3:00 PM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Nicholas J Brannick, Cole Schotz PC         | 53 | Notice                 | Notice of Change of Address and Firm Affiliation of Marc D. Katz and Crystal Jamison Woods, co-counsel for Defendants, Highland Capital Management, L.P., Highland Employee Retention Assets LLC, Highland ERA Management LLC, and James Dondero, with Certificate of Service <ul style="list-style-type: none"><li>• Linked to (2)</li></ul> | Accepted | 0.1MB |
| 61640727 | 2/2/2018 1:32 PM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, Cooch & Taylor Pa-Wilmington | 52 | Subpoena               | Subpoena Duces Tecum and Ad Testificandum directed to Abrams & Bayliss LLP, with attached affidavit of service <ul style="list-style-type: none"><li>• Linked to (1)</li><li>• Linked from (6)</li></ul>  | Accepted | 0.2MB |
|          |                      |                |  |   |    | Exhibits               | Schedules A and B to Subpoena directed to Abrams & Bayliss LLP  | Accepted | 0.3MB |
|          |                      |                |  |   |    | Exhibits               | Exhibits A and B to Subpoena directed to Abrams & Bayliss LLP   | Accepted | 0.3MB |

|          |                             |                   |   |  |    |                           |   |          |       |
|----------|-----------------------------|-------------------|---|--|----|---------------------------|---|----------|-------|
| 61639058 | 2/2/2018<br>12:11 PM<br>EST | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Thomas<br>Uebler,<br>Cooch &<br>Taylor Pa-<br>Wilmington | 31 | Certificate of<br>Service | Certificate of<br>Service to Parties'<br>Joint Appendix of<br>Exhibits for<br>Supplemental<br>Briefing on<br>Defendants'<br>Motion to Dismiss<br>• Linked to (27) | Accepted | 0.2MB |
|----------|-----------------------------|-------------------|---|--|----|---------------------------|---|----------|-------|

251-300 of 349 transactions    <<Prev   Page 6 of 7   Next>>

Case History Search

Search Created:  
2/1/2021 14:55:18 GMT-0500 (Eastern Standard Time)

**Court:** DE Court of Chancery  
Civil Action

**Judge:** Zurn, Morgan

**File & ServeXpress Live Date:** 7/6/2017  
**Document(s) Filed:** 616  
**Date Range:** All

**Division:** N/A

**Case Number:** 2017-0488-MTZ

**Case Type:** Breach of Contract  
**Case Name:** STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P.  
**Linked Case(s):** 292,2019 [View Case History]

301-349 of 349 transactions <<Prev Page 7 of 7 Next>>

| Transaction | ▼Date/Time                 | Option            | Case Number<br>Case Name  | Authorizer<br>Organization                               | #  | Document Type | Document Title  | Review<br>Status | Size  |
|-------------|----------------------------|-------------------|---|--|----|---------------|---|------------------|-------|
| 61637571    | 2/1/2018<br>8:09 PM<br>EST | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Thomas<br>Uebler,<br>Cooch &<br>Taylor Pa-<br>Wilmington | 50 | Exhibits      | Exhibits 80 to 81<br>to Joint Appendix<br>• Linked to (1)<br>• Linked from<br>(1) | Accepted         | 8.6MB |
|             |                            |                   |   |  |    | Exhibits      | Exhibits 82 to 83<br>to Joint Appendix<br>• Linked from<br>(1)                    | Accepted         | 8.2MB |
|             |                            |                   |   |  |    | Exhibits      | Exhibits 84 to 87<br>to Joint Appendix<br>• Linked from<br>(1)                    | Accepted         | 3.3MB |
| 61637565    | 2/1/2018<br>8:05 PM<br>EST | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Thomas<br>Uebler,<br>Cooch &<br>Taylor Pa-<br>Wilmington | 49 | Exhibits      | Exhibit 73 to Joint<br>Appendix<br>• Linked to (1)<br>• Linked from<br>(1)        | Accepted         | 7.2MB |
|             |                            |                   |   |  |    | Exhibits      | Exhibit 74 to Joint<br>Appendix<br>• Linked from<br>(1)                           | Accepted         | 2.5MB |
|             |                            |                   |   |  |    | Exhibits      | Exhibits 75 to 79<br>to Joint Appendix<br>• Linked from<br>(1)                    | Accepted         | 7.4MB |
| 61637554    | 2/1/2018<br>8:00 PM<br>EST | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Thomas<br>Uebler,<br>Cooch &<br>Taylor Pa-<br>Wilmington | 48 | Exhibits      | Exhibits 47 to 51<br>to Joint Appendix<br>• Linked to (1)<br>• Linked from<br>(1) | Accepted         | 3.3MB |
|             |                            |                   |   |  |    | Exhibits      | Exhibit 52 to Joint<br>Appendix<br>• Linked from<br>(1)                           | Accepted         | 8.2MB |

|          |                      |                |  |   |    |          |   |          |       |
|----------|----------------------|----------------|--|---|----|----------|---|----------|-------|
|          |                      |                |  |   |    | Exhibits | Exhibits 55 to 72 to Joint Appendix<br>• Linked from (1)                      | Accepted | 8.9MB |
| 61637541 | 2/1/2018 7:57 PM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, Cooch & Taylor Pa-Wilmington | 47 | Exhibits | Exhibit 46 (Part 1) to Joint Appendix<br>• Linked to (1)<br>• Linked from (1) | Accepted | 9.4MB |
|          |                      |                |  |   |    | Exhibits | Exhibit 46 (Part 2) to Joint Appendix<br>• Linked from (1)                    | Accepted | 9.4MB |
| 61637533 | 2/1/2018 7:52 PM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, Cooch & Taylor Pa-Wilmington | 46 | Exhibits | Exhibit 40 (Part 3) to Joint Appendix<br>• Linked to (1)<br>• Linked from (1) | Accepted | 6.5MB |
|          |                      |                |  |   |    | Exhibits | Exhibit 40 (Part 4) to Joint Appendix<br>• Linked from (1)                    | Accepted | 5.1MB |
|          |                      |                |  |   |    | Exhibits | Exhibits 41 to 45 to Joint Appendix<br>• Linked from (1)                      | Accepted | 5.1MB |
| 61637522 | 2/1/2018 7:48 PM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, Cooch & Taylor Pa-Wilmington | 45 | Exhibits | Exhibit 40 (Part 1) to Joint Appendix<br>• Linked to (1)<br>• Linked from (1) | Accepted | 9.7MB |
|          |                      |                |  |   |    | Exhibits | Exhibit 40 (Part 2) to Joint Appendix<br>• Linked from (1)                    | Accepted | 9.5MB |
| 61637511 | 2/1/2018 7:44 PM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, Cooch & Taylor Pa-Wilmington | 44 | Exhibits | Exhibits 32 to 34 to Joint Appendix<br>• Linked to (1)<br>• Linked from (1)   | Accepted | 9.6MB |
|          |                      |                |  |   |    | Exhibits | Exhibits 35 to 38 to Joint Appendix<br>• Linked from (1)                      | Accepted | 2.7MB |
|          |                      |                |  |   |    | Exhibits | Exhibit 39 to Joint Appendix<br>• Linked from (1)                             | Accepted | 6.4MB |
| 61637503 | 2/1/2018 7:39 PM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, Cooch & Taylor Pa-Wilmington | 43 | Exhibits | Exhibits 26 to 27 to Joint Appendix<br>• Linked to (1)<br>• Linked from (1)   | Accepted | 7.9MB |
|          |                      |                |  |   |    | Exhibits | Exhibits 28 to 31 to Joint Appendix<br>• Linked from (1)                      | Accepted | 8.7MB |

|          |                            |                   |   |  |    |            |  |          |        |
|----------|----------------------------|-------------------|---|--|----|------------|--|----------|--------|
| 61637497 | 2/1/2018<br>7:35 PM<br>EST | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Thomas<br>Uebler,<br>Cooch &<br>Taylor Pa-<br>Wilmington | 42 | Exhibits   | Exhibits 17 to 23<br>to Joint Appendix<br>• Linked to (1)<br>• Linked from<br>(1)  | Accepted | 9.6MB  |
|          |                            |                   |   |  |    | Exhibits   | Exhibits 24 to 25<br>to Joint Appendix<br>• Linked from<br>(1)   | Accepted | 10.0MB |
| 61637460 | 2/1/2018<br>7:30 PM<br>EST | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Thomas<br>Uebler,<br>Cooch &<br>Taylor Pa-<br>Wilmington | 41 | Appendix   | Parties' Joint<br>Appendix of<br>Exhibits for<br>Supplemental<br>Briefing on<br>Defendants'<br>Motion to<br>Dismiss<br>• Linked to (2)<br>• Linked from<br>(3)   | Accepted | 0.1MB  |
|          |                            |                   |   |  |    | Exhibits   | Exhibits 1 to 8 to<br>Joint Appendix<br>• Linked from<br>(1)   | Accepted | 8.7MB  |
|          |                            |                   |   |  |    | Exhibits   | Exhibit 9 to Joint<br>Appendix<br>• Linked from<br>(1)   | Accepted | 4.2MB  |
|          |                            |                   |   |  |    | Exhibits   | Exhibits 10 to 16<br>to Joint Appendix<br>• Linked from<br>(1)   | Accepted | 7.7MB  |
| 61637081 | 2/1/2018<br>5:31 PM<br>EST | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Nicholas J<br>Brannick,<br>Cole Schotz<br>PC             | 40 | Memorandum | Defendants',<br>Highland Capital<br>Management,<br>L.P., Highland<br>Employee<br>Retention Assets<br>LLC, Highland<br>ERA Management<br>LLC, and James<br>Dondero, Post-<br>Hearing<br>Memorandum<br>Regarding Res<br>Judicata,<br>Collateral<br>Estoppel And<br>Laches<br>• Linked to (2)<br>• Linked from<br>(2) | Accepted | 0.3MB  |
|          |                            |                   |   |  |    | Exhibits   | Exhibit A to<br>Defendants' Post-<br>Hearing<br>Memorandum<br>Regarding Res<br>Judicata,<br>Collateral<br>Estoppel And<br>Laches   | Accepted | 0.1MB  |

|          |                        |                |  |  |    |                                       |  |          |       |
|----------|------------------------|----------------|--|--|----|---------------------------------------|--|----------|-------|
|          |                        |                |  |  |    | Certificate of Service                | Certificate of Service to Defendants' Post-Hearing Memorandum Regarding Res Judicata, Collateral Estoppel And Laches   | Accepted | 0.1MB |
| 61619145 | 1/29/2018 10:47 AM EST | File Only      | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Dennel Niezgoda, DE Court of Chancery Civil Action | 39 | Official Transcript (Addl Fees Apply) | Transcript of the 12-14-17 Oral Argument on Defendants' Motion to Dismiss  | Accepted | 0.4MB |
| 61614450 | 1/26/2018 11:48 AM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Nicholas J Brannick, Cole Schotz PC                | 38 | Stipulation & (Proposed) Order        | Agreed Amendment to Stipulation and [Proposed] Order Governing Supplemental Briefing on Defendants' Motion to Dismiss <ul style="list-style-type: none"> <li>• Linked to (1)</li> <li>• Linked from (3)</li> </ul>   | Accepted | 0.2MB |
| 61581728 | 1/18/2018 9:53 AM EST  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Sam Glasscock, DE Court of Chancery Civil Action   | 37 | Letter                                | Letter to Counsel regarding Letter Opinion   | Accepted | 0.1MB |
| 61581721 | 1/18/2018 9:52 AM EST  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Sam Glasscock, DE Court of Chancery Civil Action   | 36 | Letter Decision                       | Letter Opinion and Order <ul style="list-style-type: none"> <li>• Linked from (1)</li> </ul>   | Accepted | 0.1MB |
| 61576025 | 1/17/2018 10:25 AM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Sam Glasscock, DE Court of Chancery Civil Action   | 35 | Order - Pro Hac Vice                  | Granted (Woods, Crystal Jamison: [Proposed] Order Granting Motion for Admission of Crystal Jamison Woods Pro Hac Vice to represent Defendants, Highland Capital Management, L.P., Highland Employee Retention Assets | Accepted | 0.2MB |

|          |                       |                |  |                                     |    |                                |   |          |       |
|----------|-----------------------|----------------|--|-------------------------------------|----|--------------------------------|---|----------|-------|
|          |                       |                |  |                                     |    |                                | <p>LLC, Highland ERA Management LLC and James Dondero)</p> <ul style="list-style-type: none"> <li>• Linked to (1)</li> <li>• Linked from (1)</li> </ul>   |          |       |
| 61572628 | 1/16/2018 3:17 PM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Nicholas J Brannick, Cole Schotz PC | 34 | Motion for Pro Hac Vice        | <p>Woods, Crystal Jamison: Motion for Admission of Crystal Jamison Woods Pro Hac Vice to represent Defendants, Highland Capital Management, L.P., Highland Employee Retention Assets LLC, Highland ERA Management LLC and James Dondero</p> <ul style="list-style-type: none"> <li>• Linked to (1)</li> </ul>                             | Accepted | 0.1MB |
|          |                       |                |  |                                     |    | Certification for Pro Hac Vice | <p>Woods, Crystal Jamison: Certificate in Support of Motion for Admission of Crystal Jamison Woods Pro Hac Vice to represent Defendants, Highland Capital Management, L.P., Highland Employee Retention Assets LLC, Highland ERA Management LLC and James Dondero</p>   | Accepted | 0.1MB |
|          |                       |                |  |                                     |    | Proposed Order - Pro Hac Vice  | <p>Woods, Crystal Jamison: [Proposed] Order Granting Motion for Admission of Crystal Jamison Woods Pro Hac Vice to represent Defendants, Highland Capital Management, L.P., Highland Employee Retention Assets LLC, Highland ERA Management LLC and James Dondero</p> <ul style="list-style-type: none"> <li>• Linked from (1)</li> </ul> | Accepted | 0.1MB |

|          |                        |                |  |   |    |                                |   |          |       |
|----------|------------------------|----------------|--|---|----|--------------------------------|---|----------|-------|
|          |                        |                |  |   |    | Certificate of Service         | Woods, Crystal Jamison: Certificate of Service for Motion for Admission of Crystal Jamison Woods Pro Hac Vice   | Accepted | 0.1MB |
| 61520862 | 1/3/2018 11:22 AM EST  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Sam Glasscock, DE Court of Chancery Civil Action        | 33 | Order                          | Granted (Stipulation and [Proposed] Order Governing Supplemental Briefing on Defendants' Motion to Dismiss) <ul style="list-style-type: none"> <li>• Linked to (1)</li> <li>• Linked from (14)</li> </ul>   | Accepted | 0.4MB |
| 61516129 | 1/2/2018 2:12 PM EST   | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, Cooch & Taylor Pa-Wilmington             | 32 | Stipulation & (Proposed) Order | Stipulation and [Proposed] Order Governing Supplemental Briefing on Defendants' Motion to Dismiss <ul style="list-style-type: none"> <li>• Linked to (1)</li> <li>• Linked from (2)</li> </ul>  | Accepted | 0.2MB |
| 61468683 | 12/14/2017 1:29 PM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Register in Chancery, DE Court of Chancery Civil Action | 31 | Judicial Action Form           | Judicial Action Form completed by Katrina Kruger. Oral Argument on Defendants' Motion to Dismiss held 12-14-17. Counsel to supplement record filing a Joint Statement referencing Texas lawsuit. Form of order with projected due date of Joint Statement to be submitted by the end of the month. <ul style="list-style-type: none"> <li>• Linked to (1)</li> <li>• Linked from (2)</li> </ul> | Accepted | 0.1MB |
| 61442575 | 12/7/2017 3:02 PM EST  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital                  | Sam Glasscock, DE Court of Chancery Civil Action        | 30 | Order - Pro Hac Vice           | Granted (Katz, Marc D.: [Proposed] Order Granting Motion for Admission of Marc D. Katz Pro  | Accepted | 0.2MB |



|          |                        |                |  |                                     |    |                                |   |          |       |
|----------|------------------------|----------------|--|-------------------------------------|----|--------------------------------|---|----------|-------|
|          |                        |                | Management, L.P.   |                                     |    |                                | Hac Vice to represent Defendants, Highland Capital Management, L.P., Highland Employee Retention Assets LLC, Highland ERA Management LLC and James Dondero)<br><ul style="list-style-type: none"> <li>• Linked to (1)</li> <li>• Linked from (1)</li> </ul>                             |          |       |
| 61440108 | 12/7/2017 12:24 PM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Nicholas J Brannick, Cole Schotz PC | 29 | Motion for Pro Hac Vice        | Katz, Marc D.: Motion for Admission of Marc D. Katz Pro Hac Vice to represent Defendants, Highland Capital Management, L.P., Highland Employee Retention Assets LLC, Highland ERA Management LLC and James Dondero<br><ul style="list-style-type: none"> <li>• Linked to (2)</li> </ul> | Accepted | 0.5MB |
|          |                        |                |  |                                     |    | Certification for Pro Hac Vice | Katz, Marc D.: Certificate in Support of Motion for Admission Pro Hac Vice of Marc D. Katz to represent Defendants, Highland Capital Management, L.P., Highland Employee Retention Assets LLC, Highland ERA Management LLC and James Dondero  | Accepted | 0.1MB |
|          |                        |                |  |                                     |    | Proposed Order - Pro Hac Vice  | Katz, Marc D.: [Proposed] Order Granting Motion for Admission of Marc D. Katz Pro Hac Vice to represent Defendants, Highland Capital Management, L.P., Highland Employee Retention Assets   | Accepted | 0.1MB |

|          |                         |                |  |                                     |    |                        |  |          |       |
|----------|-------------------------|----------------|--|-------------------------------------|----|------------------------|--|----------|-------|
|          |                         |                |  |                                     |    |                        | LLC, Highland ERA Management LLC and James Dondero<br><ul style="list-style-type: none"> <li>Linked from (1)</li> </ul>  |          |       |
|          |                         |                |  |                                     |    | Certificate of Service | Katz, Marc D.: Certificate of Service for Motion for Admission of Marc D. Katz Pro Hac Vice  | Accepted | 0.1MB |
| 61379357 | 11/20/2017 3:50 PM EST  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Nicholas J Brannick, Cole Schotz PC | 28 | Public Version         | Public (Redacted) Version of Defendants', Highland Capital Management, L.P., Highland Employee Retention Assets LLC, Highland ERA Management LLC, and James Dondero, Reply Brief in Support of Motion to Dismiss Verified Complaint with Certificate of Service<br><ul style="list-style-type: none"> <li>Linked to (1)</li> </ul> | Accepted | 0.1MB |
| 61369911 | 11/16/2017 2:23 PM EST  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Nicholas J Brannick, Cole Schotz PC | 27 | Letter                 | Letter to Vice Chancellor Sam Glasscock, III from Nicholas J. Brannick Enclosing Courtesy Copies of Defendants' Reply Brief in Support of Motion to Dismiss Verified Complaint<br><ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>  | Accepted | 0.1MB |
| 61361653 | 11/14/2017 10:33 PM EST | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Nicholas J Brannick, Cole Schotz PC | 26 | Reply Brief            | Defendants', Highland Capital Management, L.P., Highland Employee Retention Assets LLC, Highland ERA Management LLC, and James Dondero, Reply Brief in Support of Motion to Dismiss Verified Complaint with Certificate of   | Accepted | 0.6MB |

|          |                        |                |  |   |    |                        |   |          |       |
|----------|------------------------|----------------|--|---|----|------------------------|---|----------|-------|
|          |                        |                |  |   |    |                        | Service (Filed Under Seal)  |          |       |
|          |                        |                |  |   |    |                        | <ul style="list-style-type: none"> <li>• Linked to (5)</li> <li>• Linked from (2)</li> </ul>  |          |       |
|          |                        |                |  |   |    | Exhibits               | Exhibit 1 - Part 1 of 2 - to Defendants' Reply Brief in Support of Motion to Dismiss Verified Complaint (Filed Under Seal)  | Accepted | 7.2MB |
|          |                        |                |  |   |    | Exhibits               | Exhibit 1 - Part 2 of 2 - to Defendants' Reply Brief in Support of Motion to Dismiss Verified Complaint (Filed Under Seal)  | Accepted | 3.9MB |
| 61326794 | 11/6/2017 4:18 PM EST  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, Cooch & Taylor Pa-Wilmington | 25 | Public Version         | Public Version of Patrick Daugherty's Answering Brief in Opposition to Defendants' Motion to Dismiss <ul style="list-style-type: none"> <li>• Linked to (1)</li> </ul>  | Accepted | 1.0MB |
|          |                        |                |  |   |    | Certificate of Service | Certificate of Service to Public Version of Patrick Daugherty's Answering Brief in Opposition to Defendants' Motion to Dismiss  | Accepted | 0.1MB |
| 61298689 | 10/30/2017 4:39 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Kerry Porter, Cooch & Taylor Pa-Wilmington  | 24 | Letter                 | Letter from Kerry M. Porter to Vice Chancellor Sam Glasscock III regarding courtesy copies of Patrick Daugherty's Answering Brief in Opposition to Defendants' Motion to Dismiss. <ul style="list-style-type: none"> <li>• Linked to (3)</li> </ul> | Accepted | 0.1MB |
| 61297770 | 10/30/2017 3:28 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital                  | Thomas Uebler, Cooch & Taylor Pa-Wilmington | 23 | Answering Brief        | Patrick Daugherty's Answering Brief in Opposition to Defendants' Motion to  | Accepted | 1.1MB |

|          |                               |                   |   |  |    |                                   |   |          |       |
|----------|-------------------------------|-------------------|---|--|----|-----------------------------------|---|----------|-------|
|          |                               |                   | Management,<br>L.P.   |  |    |                                   | Dismiss<br>[Confidential<br>Filing]<br>• Linked to (3)<br>• Linked from<br>(3)  |          |       |
|          |                               |                   |   |  |    | Exhibits                          | Exhibits A<br>through H to<br>Patrick<br>Daugherty's<br>Answering Brief<br>in Opposition to<br>Defendants'<br>Motion to<br>Dismiss<br>[Confidential<br>Filing]<br>• Linked to (3)<br>• Linked from<br>(1) | Accepted | 8.6MB |
|          |                               |                   |   |  |    | Certificate of<br>Service         | Certificate of<br>Service to Patrick<br>Daugherty's<br>Answering Brief<br>in Opposition to<br>Defendants'<br>Motion to<br>Dismiss<br>• Linked from<br>(1)   | Accepted | 0.1MB |
| 61252275 | 10/17/2017<br>1:01 PM<br>EDT  | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Sam<br>Glasscock,<br>DE Court of<br>Chancery Civil<br>Action | 22 | Order                             | Granted<br>(Proposed<br>Stipulation and<br>Amended Order<br>Governing<br>Briefing on<br>Defendants'<br>Motion to<br>Dismiss)<br>• Linked to (1)<br>• Linked from<br>(2)                                   | Accepted | 0.3MB |
| 61251085 | 10/17/2017<br>10:49 AM<br>EDT | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Thomas<br>Uebler,<br>Cooch &<br>Taylor Pa-<br>Wilmington     | 21 | Stipulation &<br>(Proposed) Order | Proposed<br>Stipulation and<br>Amended Order<br>Governing<br>Briefing on<br>Defendants'<br>Motion to<br>Dismiss<br>• Linked to (2)<br>• Linked from<br>(1)  | Accepted | 0.2MB |
| 61181504 | 9/29/2017<br>3:52 PM<br>EDT   | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Nicholas J<br>Brannick,<br>Cole Schotz<br>PC                 | 20 | Public Version                    | Public (Redacted)<br>Version of<br>Defendants'<br>Opening Brief in<br>Support of<br>Motion to<br>Dismiss Verified<br>Complaint with   | Accepted | 0.2MB |

|          |                       |                |  |                                     |    |                        |   |          |       |
|----------|-----------------------|----------------|--|-------------------------------------|----|------------------------|---|----------|-------|
|          |                       |                |  |                                     |    |                        | Certificate of Service  |          |       |
|          |                       |                |  |                                     |    |                        | <ul style="list-style-type: none"> <li>• Linked to (1)</li> <li>• Linked from (1)</li> </ul>  |          |       |
| 61157669 | 9/25/2017 2:36 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Nicholas J Brannick, Cole Schotz PC | 19 | Letter                 | Letter to Vice Chancellor Sam Glasscock, III from Nicholas J. Brannick Enclosing Courtesy Copies of Defendants' Opening Brief in Support of Motion to Dismiss Verified Complaint and the Transmittal Affidavit of Nicholas J. Brannick in Support of Opening Brief in Support of Motion to Dismiss Verified Complaint with Exhibits | Accepted | 0.1MB |
| 61153205 | 9/22/2017 5:16 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Nicholas J Brannick, Cole Schotz PC | 17 | Opening Brief          | Defendants' Opening Brief in Support of Motion to Dismiss Verified Complaint (FILED UNDER SEAL)   | Accepted | 0.9MB |
|          |                       |                |  |                                     | 18 | Affidavit              | Transmittal Affidavit of Nicholas J. Brannick in Support of Opening Brief in Support of Motion to Dismiss Verified Complaint with Certificate of Service  | Accepted | 0.2MB |
|          |                       |                |  |                                     |    | Certificate of Service | Certificate of Service for Defendants' Opening Brief in Support of Motion to Dismiss Verified Complaint   | Accepted | 0.1MB |

|          |   |          |       |
|----------|---|----------|-------|
| Exhibits | Exhibit A to Transmittal Affidavit of Nicholas J. Brannick in Support of Opening Brief in Support of Motion to Dismiss Verified Complaint                           | Accepted | 1.7MB |
| Exhibits | Exhibits B and C to Transmittal Affidavit of Nicholas J. Brannick in Support of Opening Brief in Support of Motion to Dismiss Verified Complaint (FILED UNDER SEAL) | Accepted | 6.9MB |
| Exhibits | Exhibit D to Transmittal Affidavit of Nicholas J. Brannick in Support of Opening Brief in Support of Motion to Dismiss Verified Complaint                           | Accepted | 2.8MB |
| Exhibits | Exhibit E to Transmittal Affidavit of Nicholas J. Brannick in Support of Opening Brief in Support of Motion to Dismiss Verified Complaint (FILED UNDER SEAL)        | Accepted | 1.5MB |
| Exhibits | Exhibit F to Transmittal Affidavit of Nicholas J. Brannick in Support of Opening Brief in Support of Motion to Dismiss Verified Complaint                           | Accepted | 0.7MB |
| Exhibits | Exhibit G to Transmittal Affidavit of Nicholas J. Brannick in   | Accepted | 3.7MB |

|          |                       |                |  |  |    |                        |  |          |       |
|----------|-----------------------|----------------|--|--|----|------------------------|--|----------|-------|
|          |                       |                |  |  |    |                        | Support of Opening Brief in Support of Motion to Dismiss Verified Complaint (FILED UNDER SEAL)   |          |       |
|          |                       |                |  |  |    | Exhibits               | Exhibits H, I and J to Transmittal Affidavit of Nicholas J. Brannick in Support of Opening Brief in Support of Motion to Dismiss Verified Complaint                                  | Accepted | 0.4MB |
| 61147116 | 9/21/2017 4:32 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Sam Glasscock, DE Court of Chancery Civil Action | 16 | Order                  | Granted ([Proposed] Order Granting Defendants' Unopposed Motion for Confidential Treatment) <ul style="list-style-type: none"> <li>Linked to (1)</li> <li>Linked from (4)</li> </ul> | Accepted | 0.3MB |
| 61142371 | 9/20/2017 5:11 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Nicholas J Brannick, Cole Schotz PC              | 15 | Motion                 | Defendants' Unopposed Motion for Confidential Treatment <ul style="list-style-type: none"> <li>Linked to (2)</li> </ul>  | Accepted | 0.1MB |
|          |                       |                |  |  |    | Proposed Order         | [Proposed] Order Granting Defendants' Unopposed Motion for Confidential Treatment <ul style="list-style-type: none"> <li>Linked from (1)</li> </ul>                                  | Accepted | 0.1MB |
|          |                       |                |  |  |    | Certificate of Service | Certificate of Service for Defendants' Unopposed Motion for Confidential Treatment   | Accepted | 0.1MB |
| 61083215 | 9/7/2017 11:01 AM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Sam Glasscock, DE Court of Chancery Civil Action | 14 | Order                  | Granted ([Proposed] Stipulation and Order Governing Briefing on Defendants' Motion to Dismiss) <ul style="list-style-type: none"> <li>Linked to (1)</li> </ul>                       | Accepted | 0.3MB |

|          |                       |                |  |                                     |    |                                |  |          |       |
|----------|-----------------------|----------------|--|-------------------------------------|----|--------------------------------|--|----------|-------|
|          |                       |                |  |                                     |    |                                | Linked from (4)  |          |       |
| 61077488 | 9/6/2017 4:15 PM EDT  | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Nicholas J Brannick, Cole Schotz PC | 13 | Stipulation & (Proposed) Order | [Proposed] Stipulation and Order Governing Briefing on Defendants' Motion to Dismiss <ul style="list-style-type: none"> <li>• Linked to (1)</li> <li>• Linked from (2)</li> </ul>  | Accepted | 0.2MB |
| 61024787 | 8/23/2017 4:32 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Nicholas J Brannick, Cole Schotz PC | 12 | Letter                         | Letter to Vice Chancellor Sam Glasscock, III from Nicholas J. Brannick, Esquire Enclosing Courtesy Copies of Defendants' Motion to Dismiss Verified Complaint <ul style="list-style-type: none"> <li>• Linked to (1)</li> </ul>  | Accepted | 0.1MB |
| 61023157 | 8/23/2017 2:07 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Nicholas J Brannick, Cole Schotz PC | 11 | Motion to Dismiss              | Motion to Dismiss Verified Complaint <ul style="list-style-type: none"> <li>• Linked to (1)</li> <li>• Linked from (5)</li> </ul>  | Accepted | 0.1MB |
|          |                       |                |  |                                     |    | Certificate of Service         | Certificate of Service to Motion to Dismiss Verified Complaint   | Accepted | 0.1MB |
|          |                       |                |  |                                     |    | Proposed Order                 | [Proposed] Order Granting Motion to Dismiss Verified Complaint   | Accepted | 0.1MB |
| 60953372 | 8/8/2017 12:06 PM EDT | File And Serve | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Michael F Bonkowski, Cole Schotz PC | 10 | Entry of Appearance            | Entry of Appearance of Michael F. Bonkowski, Esquire, and Nicholas J. Brannick, Esquire, of Cole Schotz P.C., as counsel for Defendants, Highland Capital Management, L.P., Highland Employee Retention Assets LLC, Highland ERA Management LLC, and James Dondero with Certificate of Service | Accepted | 0.1MB |



|          |                             |                   |   |   |   |                        |  |          |       |
|----------|-----------------------------|-------------------|---|---|---|------------------------|--|----------|-------|
|          |                             |                   |   |   |   |                        | <ul style="list-style-type: none"> <li>• Linked to (4)</li> <li>• Linked from (6)</li> </ul>   |          |       |
| 60919141 | 7/31/2017<br>7:02 PM<br>EDT | File<br>Only      | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Thomas<br>Uebler,<br>Cooch &<br>Taylor Pa-<br>Wilmington            | 9 | Affidavit              | Rule 23.1<br>Affidavit of<br>Plaintiff Patrick<br>Daugherty <ul style="list-style-type: none"> <li>• Linked to (1)</li> </ul>  | Accepted | 0.1MB |
| 60883348 | 7/21/2017<br>2:54 PM<br>EDT | File<br>Only      | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Kerry Porter,<br>Cooch &<br>Taylor Pa-<br>Wilmington                | 8 | Summons                | Summons and<br>Proof of Service<br>for James<br>Dondero. <ul style="list-style-type: none"> <li>• Linked to (1)</li> <li>• Linked from (1)</li> </ul>  | Accepted | 0.1MB |
| 60883309 | 7/21/2017<br>2:52 PM<br>EDT | File<br>Only      | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Kerry Porter,<br>Cooch &<br>Taylor Pa-<br>Wilmington                | 7 | Summons                | Summons and<br>Proof of Service<br>for Highland<br>Capital<br>Management,<br>L.P. <ul style="list-style-type: none"> <li>• Linked to (1)</li> <li>• Linked from (1)</li> </ul>   | Accepted | 0.1MB |
| 60882696 | 7/21/2017<br>1:58 PM<br>EDT | File<br>Only      | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Kerry Porter,<br>Cooch &<br>Taylor Pa-<br>Wilmington                | 6 | Summons                | Summons and<br>Proof of Service<br>for Highland<br>Employee<br>Retention Assets<br>LLC and Highland<br>ERA<br>Management,<br>LLC. <ul style="list-style-type: none"> <li>• Linked to (1)</li> <li>• Linked from (1)</li> </ul> | Accepted | 0.1MB |
| 60841001 | 7/11/2017<br>6:01 PM<br>EDT | File<br>Only      | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Thomas<br>Uebler,<br>Cooch &<br>Taylor Pa-<br>Wilmington            | 5 | Public Version         | Public Version of<br>Verified<br>Complaint <ul style="list-style-type: none"> <li>• Linked to (1)</li> </ul>   | Accepted | 0.3MB |
| 60839318 | 7/11/2017<br>3:48 PM<br>EDT | File And<br>Serve | 2017-0488-MTZ<br>STAYED - CONF<br>ORDER Patrick<br>Daugherty v.<br>Highland<br>Capital<br>Management,<br>L.P. | Register in<br>Chancery,<br>DE Court of<br>Chancery Civil<br>Action | 4 | Issuance of<br>Summons | 3 Summons 4<br>copies are ready<br>to be picked up<br>@ RIC Office<br>Georgetown.<br>07.11.2017.<br>Please note<br>under Court of<br>Chancery Rule<br>4(g) the summons<br>must be served<br>and returned                       | Accepted | 0.3MB |

|          |                        |           |  |   |   |                                     |   |          |       |
|----------|------------------------|-----------|--|---|---|-------------------------------------|---|----------|-------|
|          |                        |           |  |   |   |                                     | within 20 days of issuance.<br>• Linked to (1)<br>• Linked from (3)   |          |       |
| 60835985 | 7/11/2017 11:29 AM EDT | File Only | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Kerry Porter, Cooch & Taylor Pa-Wilmington  | 3 | Summons Instructions                | Letter from Thomas Uebler to Register in Chancery regarding summons<br>• Linked to (1)<br>• Linked from (1)   | Accepted | 0.1MB |
| 60821033 | 7/6/2017 7:38 PM EDT   | File Only | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, Cooch & Taylor Pa-Wilmington | 2 | Exhibits                            | Exhibits L to M to Verified Complaint [Confidential Filing]<br>• Linked to (1)  | Accepted | 2.3MB |
| 60811368 | 7/6/2017 1:03 AM EDT   | File Only | 2017-0488-MTZ STAYED - CONF ORDER Patrick Daugherty v. Highland Capital Management, L.P. | Thomas Uebler, Cooch & Taylor Pa-Wilmington | 1 | Complaint with 3 or More Defendants | Verified Complaint to impose an judgment for return assets fraudulently transferred from Highland Employee Retention Assets [Confidential Filing]<br>• Linked from (30) | Accepted | 0.7MB |
|          |                        |           |  |   |   | Exhibits                            | Exhibits A to C to Verified Complaint [Confidential Filing]   | Accepted | 6.5MB |
|          |                        |           |  |   |   | Exhibits                            | Exhibits D to H to Verified Complaint [Confidential Filing]   | Accepted | 5.5MB |
|          |                        |           |  |   |   | Exhibits                            | Exhibits I to J to Verified Complaint [Confidential Filing]   | Accepted | 2.8MB |
|          |                        |           |  |   |   | Exhibits                            | Exhibit K to Verified Complaint [Confidential Filing]   | Accepted | 9.0MB |
|          |                        |           |  |   |   | Verification to Complaint           | Verification of Patrick Daugherty to Verified Complaint   | Accepted | 0.3MB |

|  |  |  |  |  |  |                                      |  |          |       |
|--|--|--|--|--|--|--------------------------------------|--|----------|-------|
|  |  |  |  |  |  | Supplemental<br>Information<br>Sheet | Supplemental<br>Information<br>Sheet, with<br>attached<br>Statement of<br>Good Cause                   | Accepted | 0.1MB |
|  |  |  |  |  |  | Certificate of<br>Rule 5.1           | Letter from<br>Thomas Uebler to<br>Register in<br>Chancery<br>regarding<br>compliance with<br>Rule 5.1 | Accepted | 0.1MB |

301-349 of 349 transactions &lt;&lt;Prev Page 7 of 7 Next&gt;&gt;

# **EXHIBIT OOOOOOO**

BTXN 208 (rev. 07/09)

IN RE: Highland Capital Management, L.P.

U.S. Trustee's Motion To Appoint A Trustee

Case # 19-34054-sgj11

DEBTOR

**TYPE OF HEARING**

Department of Justice Representing The U.S. Trustee

VS

Highland Capital Management, L.P.

**PLAINTIFF / MOVANT**

**DEFENDANT / RESPONDENT**

Lisa L. Lambert

Jeffrey N. Pomerantz & John A. Morris

**ATTORNEY**

**ATTORNEY**

**EXHIBITS**

Exhibit #4 – Statement of Financial Affairs, With Any Amendments

Exhibit #5 – Schedules With Any Amendments

Exhibit #7 – Waterhouse Deposition

Exhibit #8 – Highland Capital Term Sheet and Court Order Approving Management Agreement

Exhibit #9 – Stipulation In Support of Motion To Approve Settlement (ECF #338)

Exhibit #10 – Strand Advisors SEC Summary Page and SEC Initial Statement of Ownership, Affinity Gaming (Dated 6/6/2013)

Exhibit #11 – TOOK JUDICIAL NOTICE – Motion For Protective Order and Proposed Order (ECF #280)

Michael Edmond

January 21,  
2020

Stacey G. Jernigan

REPORTED BY

HEARING  
DATE

JUDGE PRESIDING



1934054200123000000000003

014170

## **EXHIBIT P P P P P P P P**

***Highland Capital Management, L.P.***  
***Disclaimer For Financial Projections***

This document includes financial projections for July 2020 through December 2022 (the “Projections”) for Highland Capital Management, L.P. (“Company”). These Projections have been prepared by DSI with input from management at the Company. The historical information utilized in these Projections has not been audited or reviewed for accuracy by DSI.

This document includes certain statements, estimates and forecasts provided by the Company with respect to the Company’s anticipated future performance. These estimates and forecasts contain significant elements of subjective judgment and analysis that may or may not prove to be accurate or correct. There can be no assurance that these statements, estimates and forecasts will be attained and actual outcomes and results may differ materially from what is estimated or forecast herein.

These Projections should not be regarded as a representation of DSI that the projected results will be achieved.

Management may update or supplement these Projections in the future, however, DSI expressly disclaims any obligation to update its report.

These Projections were not prepared with a view toward compliance with published guidelines of the Securities and Exchange Commission or the American Institute of Certified Public Accountants regarding historical financial statements, projections or forecasts.

# **EXHIBIT QQQQQQQ**



PACHULSKI STANG ZIEHL & JONES LLP  
Jeffrey N. Pomerantz (CA Bar No. 143717) (*admitted pro hac vice*)  
Ira D. Kharasch (CA Bar No. 109084) (*admitted pro hac vice*)  
Maxim B. Litvak (TX Bar No. 24002482)  
Gregory V. Demo (NY Bar No. 5371992) (*admitted pro hac vice*)  
10100 Santa Monica Blvd., 13th Floor  
Los Angeles, CA 90067  
Telephone: (310) 277-6910  
Facsimile: (310) 201-0760

HAYWARD & ASSOCIATES PLLC  
Melissa S. Hayward  
Texas Bar No. 24044908  
MHayward@HaywardFirm.com  
Zachery Z. Annable  
Texas Bar No. 24053075  
ZAnnable@HaywardFirm.com  
10501 N. Central Expy, Ste. 106  
Dallas, Texas 75231  
Tel: (972) 755-7100  
Fax: (972) 755-7110

*Proposed Counsel for the Debtor and Debtor-in-Possession*

**IN THE UNITED STATES BANKRUPTCY COURT  
FOR THE NORTHERN DISTRICT OF TEXAS  
DALLAS DIVISION**

|   |   |                           |
|---|---|---------------------------|
| -----   | § |                           |
| In re:  | § | Chapter 11                |
|   | § |                           |
| HIGHLAND CAPITAL MANAGEMENT, L.P., <sup>1</sup> | § | Case No. 19-34054-sgj11   |
|   | § |                           |
| Debtor.   | § | Related to Docket No. 281 |
| -----   |   |                           |

**STIPULATION IN SUPPORT OF MOTION OF THE DEBTOR FOR APPROVAL OF  
SETTLEMENT WITH THE OFFICIAL COMMITTEE OF UNSECURED CREDITORS  
REGARDING GOVERNANCE OF THE DEBTOR AND PROCEDURES FOR  
OPERATIONS IN THE ORDINARY COURSE**

This Stipulation (the “Stipulation”) is being entered into by and among Highland Capital Management, L.P., as the above-captioned debtor and debtor-in-possession (the “Debtor”), the

<sup>1</sup> The Debtor’s last four digits of its taxpayer identification number are (6725). The headquarters and service address for the above-captioned Debtor is 300 Crescent Court, Suite 700, Dallas, TX 75201.

Official Committee of Unsecured Creditors appointed in the above captioned case (the “Committee”), Strand Advisors, Inc. (“Strand”), and James Dondero (“Mr. Dondero” and together with the Debtor, the Committee, and Strand, the “Parties”), with reference to the following facts:

### **Recitals**

1. On October 16, 2019 (the “Petition Date”), the Debtor filed a voluntary petition for relief under chapter 11 of the Bankruptcy Code in the Bankruptcy Court for the District of Delaware, Case No. 19-12239 (CSS) (the “Delaware Court”).

2. On October 29, 2019, the Official Committee of Unsecured Creditors (the “Committee”) was appointed by the U.S. Trustee in the Delaware Court.

3. On December 4, 2019, the Delaware Court entered an order transferring venue of the Debtor’s bankruptcy case to this Court [Docket No. 186].<sup>2</sup> The Debtor has continued in the possession of its property and has continued to operate and manage its business as a debtor-in-possession pursuant to sections 1107(a) and 1108 of the Bankruptcy Code. No trustee or examiner has been appointed in this chapter 11 case.

4. On December 27, 2019, the Debtor filed the *Motion of the Debtor for Approval of Settlement with the Official Committee of Unsecured Creditors Regarding Governance of the Debtor and Procedures for Operations in the Ordinary Course* [Docket No. 281] (the “Settlement Motion”).<sup>3</sup>

5. The Settlement Motion seeks approval of a proposed settlement embodied in the

---

<sup>2</sup> All docket numbers refer to the docket maintained by this Court.

<sup>3</sup> All capitalized terms used but not defined herein have the meaning given to them in the Settlement Motion.

Term Sheet (as amended). The Term Sheet, among other things, contemplated the creation of a new independent board of directors of Strand.

6. Strand is the Debtor's general partner and the ultimate party in control of the Debtor.

7. Mr. Dondero is the sole shareholder of Strand.

8. The Term Sheet provides that James Seery, John Dubel, and Russell Nelms (collectively, the "Independent Directors") will be appointed to Strand's board of directors (the "Board") pursuant to the terms of the Term Sheet and the Governing Documents.

9. The Governing Documents provide, among other things, that the Parties will enter into this Stipulation and seek this Court's approval of the Stipulation.

### **Stipulation**

10. In consideration for the Committee entering into the Term Sheet, Mr. Dondero, being the sole shareholder of Strand, agrees as follows:

- a. not to transfer or assign his shares in Strand or exercise the voting power of such shares to remove any of the Independent Directors from Strand's Board or further change the authorized number of directors on the Board from three directors;
- b. to exercise the voting power of his shares so as to cause each of the Independent Directors to be re-elected upon the expiration of each such person's term;
- c. upon the death, disability, or resignation of any member of the Board, to exercise the voting power of his shares so as to cause the resulting vacancy to be filled by a successor that is both independent and (i) acceptable to Mr. Dondero and the Committee or (ii) selected by the remaining members of the Board; and
- d. not take any action or exercise the voting power of his shares in Strand in any way that is inconsistent with the Term Sheet or any order of this Court approving the Term Sheet.

11. The Parties stipulate and agree that this Stipulation will no longer be effective or bind Strand or Mr. Dondero following the termination of the Debtor's bankruptcy case and that the Parties will take such steps as may be reasonably necessary to cause this Stipulation to terminate at such time.

12. The Parties further stipulate and agree that the Court shall have exclusive jurisdiction over (a) all matters arising from or related to the interpretation and implementation of this Stipulation and (b) the adjudication of any Party's breach of this Stipulation.

*[Remainder of page intentionally left blank]*

Dated: January 9, 2020

Submitted and Agreed to by,

**PACHULSKI STANG ZIEHL & JONES LLP**

/s/ Jeffrey N. Pomerantz

Jeffrey N. Pomerantz (CA Bar No.143717)

(admitted pro hac vice)

Ira D. Kharasch (CA Bar No. 109084)

(admitted pro hac vice)

Maxim B. Litvak (SBN: 24002482)

Gregory V. Demo (NY Bar 5371992)

(admitted pro hac vice)

10100 Santa Monica Blvd., 13th Floor

Los Angeles, CA 90067

Telephone: (310) 277-6910

Facsimile: (310) 201-0760

E-mail: [jpomerantz@pszjlaw.com](mailto:jpomerantz@pszjlaw.com)

[ikharasch@pszjlaw.com](mailto:ikharasch@pszjlaw.com)

[mlitvak@pszjlaw.com](mailto:mlitvak@pszjlaw.com)

[gdemo@pszjlaw.com](mailto:gdemo@pszjlaw.com)

-and-

**HAYWARD & ASSOCIATES PLLC**

Melissa S. Hayward

Texas Bar No. 24044908

[MHayward@HaywardFirm.com](mailto:MHayward@HaywardFirm.com)

Zachery Z. Annable

Texas Bar No. 24053075

[ZAnnable@HaywardFirm.com](mailto:ZAnnable@HaywardFirm.com)

10501 N. Central Expy, Ste. 106

Dallas, Texas 75231

Tel: (972) 755-7100

Fax: (972) 755-7110

*Counsel and Proposed Counsel for the Debtor and  
Debtor-in-Possession*

Dated: January 9, 2020

**AGREED AS TO FORM AND SUBSTANCE:**

**SIDLEY AUSTIN LLP**

*/s/ Matthew A. Clemente*

---

Bojan Guzina, Esq. (*admitted pro hac vice*)  
Matthew A. Clemente, Esq. (*admitted pro hac vice*)  
Dennis M. Twomey, Esq. (*pro hac vice pending*)  
Alyssa Russell, Esq. (*admitted pro hac vice*)  
One South Dearborn Street  
Chicago, Illinois 60603  
Telephone: (312) 853-7000  
Facsimile: (312) 853-7036

*Proposed Counsel for the Official Committee of  
Unsecured Creditors*

Dated: January 9, 2020

**AGREED AS TO FORM AND SUBSTANCE:**

**STRAND ADVISORS, INC.**



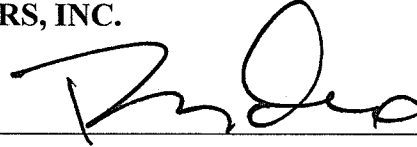
---

Scott Ellington  
Secretary

Dated: January 9, 2020

**AGREED AS TO FORM AND SUBSTANCE:**

**JAMES DONDERO, SOLELY IN HIS CAPACITY  
AS THE SOLE SHAREHOLDER OF STRAND  
ADVISORS, INC.**

A handwritten signature in black ink, appearing to read "J. Dondero", is written over a horizontal line.